

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOODY, JR., JAMES S.	2. Court or Organization U.S. DISTRICT COURT MIDDLE DISTRICT FL	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE (SENIOR STATUS)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. DISTRICT COURT 801 N. FLORIDA AVE. #13A TAMPA, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Humana/Compbenefits, Inc. - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Merrill Lynch	Margin Loan	M
2.	Moody Investments, LP	Loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST CASH ACCOUNTS	A	Interest	K	T					
2. TRUST ACCOUNT #1 (H)									
3. ML BANK DEPOSIT PROGRAM	A	Interest	K	T					
4. AKTS		None	K	T	Buy	04/27/17	J		
5.					Buy (add'l)	05/04/17	J		
6.					Buy (add'l)	07/25/17	J		
7. ALGN		None			Sold (part)	02/23/17	K	A	
8.					Buy (add'l)	02/28/17	L		
9.					Sold	04/26/17	K	B	
10. ALGT	A	Dividend			Buy	06/23/17	L		
11.					Sold	11/09/17	L		
12. AMBA		None	L	T	Sold	05/24/17	L	C	
13.					Buy	06/20/17	L		
14.					Buy (add'l)	06/23/17	J		
15. NLY	B	Dividend	K	T					
16. APHQF		None	K	T	Buy	10/26/17	J		
17.					Buy (add'l)	12/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. APTI		None	K	T					
19. ARDX		None	J	T	Buy	11/24/17	J		
20. ARCC	B	Dividend	K	T					
21. ABX	A	Dividend			Sold	11/09/17	K		
22. GBTC	D	Dividend	K	T	Buy	10/31/17	K		
23.					Sold (part)	12/14/17	J	D	
24. ACBFF		None	K	T	Buy	10/24/17	J		
25.					Buy (add'l)	12/01/17	J		
26. BP	B	Dividend	K	T	Buy	02/13/17	K		
27. SAM		None	M	T					
28. TWMJF		None	K	T	Buy	10/24/17	J		
29.					Buy (add'l)	12/01/17	J		
30. CYBR		None	K	T	Buy	06/23/17	K		
31. EGO	A	Dividend			Sold	11/09/17	J		
32. ESV	A	Dividend	K	T	Buy	03/13/17	K		
33.					Buy (add'l)	05/04/17	K		
34.					Buy (add'l)	06/22/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. FEYE		None	K	T	Buy (add'l)	12/07/17	K		
36. LITE		None	K	T	Buy	07/21/17	L		
37. MVIS		None			Buy	04/27/17	J		
38.					Sold	11/09/17	J		
39. NEWR		None			Buy	01/31/17	K		
40.					Sold	05/24/17	K	C	
41. NGD		None	J	T	Buy	03/15/17	J		
42. OSTK		None	K	T	Buy	10/19/17	K		
43.					Sold	11/14/17	K	C	
44.					Buy	11/22/17	L		
45.					Sold (part)	11/22/17	J	A	
46.					Sold (part)	12/05/17	K		
47. RF	A	Dividend	L	T					
48. RGLD	B	Dividend			Buy (add'l)	05/04/17	L		
49.					Sold (part)	05/19/17	K		
50.					Sold	05/24/17	M		
51. RL	A	Dividend			Buy	01/10/17	K		

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52.					Sold	06/22/17	K		
53.					Sold	07/26/17	L	B	
54.					Buy	07/28/17	L		
55.					Sold	08/23/17	L	B	
56. SNAP		None	J	T	Buy	08/31/17	J		
57. SQ		None			Sold (part)	03/22/17	K	C	
58.					Buy (add'l)	03/27/17	K		
59.					Sold (part)	05/24/17	K	C	
60.					Buy (add'l)	05/25/17	K		
61.					Sold	06/21/17	K	A	
62. SU	B	Dividend	L	T	Sold (part)	05/24/17	L		
63.					Buy (add'l)	05/25/17	L		
64. STI	A	Dividend	J	T					
65. TORXF		None	J	T	Buy	11/10/17	J		
66. TWLO		None			Buy	05/22/17	K		
67.					Sold	06/21/17	K	B	
68. WAL		None	L	T	Buy	03/27/17	K		

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69.					Sold (part)	10/24/17	K	C	
70.					Buy (add'l)	10/25/17	L		
71. WFC	B	Dividend	L	T	Sold (part)	03/22/17	L	C	
72.					Buy (add'l)	03/27/17	L		
73. WYNN	A	Dividend			Sold (part)	01/25/17	L	B	
74.					Sold (part)	03/22/17	L	E	
75.					Buy (add'l)	04/27/17	M		
76.					Sold (part)	05/01/17	K	A	
77.					Sold	05/03/17	L	C	
78. CSQ	A	Dividend	J	T					
79. TRUST ACCOUNT #2 (H)									
80. ML BANK DEPOSIT PROGRAM	A	Interest	K	T					
81. NLY	B	Dividend	K	T					
82. BAC	D	Dividend	O	T					
83. RGLD	A	Dividend	M	T	Buy (add'l)	10/20/17	L		
84. VIVHY		None	J	T	Buy	12/07/17	J		
85. BROKERAGE ACCOUNT #1 (H)									

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86. ML BANK DEPOSIT PROGRAM	A	Interest	K	T					
87. BP	A	Dividend	J	T					
88. VLO	A	Dividend	K	T					
89. WFC	A	Dividend	J	T					
90. BROKERAGE ACCOUNT #2 (H)									
91. ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
92. BP	A	Dividend	J	T					
93. VLO	A	Dividend	K	T					
94. WFC	A	Dividend	J	T					
95. BROKERAGE ACCOUNT #3 (H)									
96. ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
97. COP	A	Dividend			Sold	10/30/17	K	B	
98. PG	A	Dividend	K	T					
99. TRV	A	Dividend	K	T					
100. DITEX	A	Dividend			Sold	10/31/17	J		
101. SBUX	A	Dividend	J	T	Buy	10/30/17	J		
102. VLO	A	Dividend	J	T	Buy	10/30/17	J		

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103. CSQ	A	Dividend	J	T	Buy	10/30/17	J		
104. CUSTODIAL ACCOUNT #1 (H)									
105. ML CASH ACCOUNT	A	Dividend	J	T					
106. NLY	A	Dividend	J	T					
107. RGLD	A	Dividend	J	T					
108. SBUX		None	J	T	Buy	02/13/17	J		
109. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
110. CSQ	A	Dividend	J	T					
111. CUSTODIAL ACCOUNT #2 (H)									
112. ML CASH ACCOUNT	A	Dividend	J	T					
113. NLY	A	Dividend	J	T					
114. RGLD	A	Dividend	J	T					
115. SBUX		None	J	T	Buy	02/13/17	J		
116. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
117. CSQ	A	Dividend	J	T					
118. CUSTODIAL ACCOUNT #3 (H)									
119. ML CASH ACCOUNT	A	Dividend	J	T					

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120. NLY	A	Dividend	J	T					
121. RGLD	A	Dividend	J	T					
122. SBUX		None	J	T	Buy	02/13/17	J		
123. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
124. CSQ	A	Dividend	J	T					
125. CUSTODIAL ACCOUNT #4 (H)									
126. ML CASH ACCOUNT	A	Dividend	J	T					
127. NLY	A	Dividend	J	T					
128. RGLD	A	Dividend	J	T					
129. SBUX		None	J	T	Buy	02/13/17	J		
130. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
131. CSQ	A	Dividend	J	T					
132. CUSTODIAL ACCOUNT #5 (H)									
133. ML CASH ACCOUNT	A	Dividend	J	T					
134. NLY	A	Dividend	J	T					
135. RGLD	A	Dividend	J	T					
136. SBUX		None	J	T	Buy	02/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
138. CSQ	A	Dividend	J	T					
139. CUSTODIAL ACCOUNT #6 (H)									
140. ML CASH ACCOUNT	A	Dividend	J	T					
141. NLY	A	Dividend	J	T					
142. RGLD	A	Dividend	J	T					
143. SBUX		None	J	T	Buy	02/13/17	J		
144. VIVHY	A	Dividend	J	T	Buy	12/11/17	J		
145. CSQ	A	Dividend	J	T					
146. OTHER HOLDINGS (H)									
147. MOODY INVESTMENTS FAMILY LIMITED PARTNERSHIP	G	Int./Div.	P1	T					
148. GEO THERMAL	B	Distribution	K	W					
149. FIRST TAMPA ALEXANDER		None	M	W					
150. MISSOURI MIDWAY	D	Interest	L	W	Distributed (part)	11/01/17	M		
151. REAL PROPERTY (H)									
152. Investment Property #1, Valrico, FL		None	M	W					
153. Investment Property #2, Longboat Key, FL	D	Rent	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Investment Property #3, Hillsborough Co., FL	D	Rent	N	W					
155. Investment Property #4, Celo, NC		None	O	W					
156. Investment Property #5, Highlands, NC		None	M	W					
157. Investment Property #6, Highlands, NC	C	Rent	N	W					
158. Investment Property #7, Highlands, NC	B	Rent	O	W					
159. Investment Property #8, Plant City, FL	C	Rent	O	W					
160. Investment Property #9, Plant City, FL		None	N	W					
161. Investment Property #10, Tampa, FL	D	Rent	M	W					
162. Investment Property #11, Tampa FL	D	Rent	M	W					
163. Investment Property #12, Tampa FL	D	Rent	M	W					
164. Investment Property #13, Tampa FL	E	Rent	N	W					
165. Investment Property #14, Tampa FL		None	N	W					
166. Investment Property #15, Tampa FL	D	Rent	M	W					
167. Investment Property #16, Tampa FL	D	Rent	N	W					
168. Investment Property #17, St. Petersburg, FL	E	Rent	N	W					
169. Investment Property #18, St. Petersburg, FL	E	Rent	N	W					
170. Investment Property #19, Gainesville, FL		None	N	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. OPTIONS (H)									
172. CALL WYNN (option)		None			Sold	01/27/17	J		
173.					Sold	04/25/17	J		
174. CALL WFC (option)		None			Sold	01/27/17	J		
175. CALL SAM (option)		None			Sold	02/24/17	J		
176.					Sold	05/02/17	J		
177.					Sold	11/21/17	J		
178. CALL AMBA (option)		None			Sold	01/30/17	J		
179.					Sold	03/23/17	J		
180.					Sold	05/02/17	J		
181.					Sold	11/21/17	J	C	
182.					Sold	12/18/17	J		
183. CALL ALGT (option)		None			Sold	07/26/17	J		
184. CALL APTI (option)		None			Sold	11/21/17	J		
185. CALL FEYE (option)		None			Sold	07/26/17	J		
186. CALL LITE (option)		None			Sold	07/21/17	J		
187.					Sold	11/21/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CALL OSTK (option)		None			Sold	10/18/17	J		
189.					Sold	12/21/17	J		
190. CALL RGLD (option)		None			Sold	01/27/17	J		
191.					Sold	03/23/17	J		
192.					Sold	05/02/17	J		
193. CALL RL (option)		None			Sold	01/06/17	J		
194.					Sold	07/26/17	J	B	
195. CALL SQ (option)		None			Sold	05/23/17	J		
196. CALL ALGN (option)		None			Sold	02/24/17	J		
197. CALL ESV (option)		None			Sold	05/23/17	J		
198. CALL WAL		None			Sold	10/24/17	J	B	
199. PUT RGLD (option)		None			Sold	05/20/17	J		
200.					Sold	06/20/17	J		
201.					Sold	09/21/17	J		
202. PUT TWLO (option)		None			Sold	06/20/17	J		
203. PUT WYNN (option)		None			Sold	02/17/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 147: This entry represents a limited partnership interest. The entity was duly registered in the state of Florida. Its business offices and operations are located in another state and run by an individual whose information is not subject to this disclosure. As a limited partner, the filer has no knowledge of, and no control over, the specific assets or activities of the limited partnership; his interest is reported per page 24 of the Alphabetical Reference. All holdings in which the filer, or any other individual subject to this disclosure, has a personal ownership share are separately identified in Part VII.

Part VII, lines 172-203: Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual options can be used to either open or close a contract; they do not generate income. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **JAMES S. MOODY, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544