

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ALQUIST, NANCY V.	2. Court or Organization BANKRUPTCY COURT, DISTRICT OF MARYLAND	3. Date of Report 07/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Chambers 2A United States Courthouse 101 w. Lombard street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, BOARD OF GOVERNORS - THROUGH SEPTEMBER 2017	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. FJC SPRING MEETING	MARCH 13-15, 2017	CHARLESTON, SC	NCBJ MID YEAR MEETING	TRAVEL, LODGING
2. BANKRUPTCY BAR ASSOCIATION	MAY 5- 6, 2017	ANNAPOLIS, MD	BBA SPRING BREAK WEEKEND	LODGING
3. DUBAI, U.A.E.	APRIL 17-21, 2017	DUBAI, U.A.E.	INSOLVENCY WORKSHOP	TRAVEL, LODGING
4. ABI MID-ATLANTIC	AUGUST 3-5, 2017	HERSHEY, PA	ABI CONFERENCE	LODGING
5. NCBJ ANNUAL CONFERENCE	OCTOBER 7 - 11, 2017	LAS VEGAS, NV	NCBJ ANNUAL CONFERENCE	TRAVEL, LODGING

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07/13/2018**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PERSONALLY OWNED (HEADER)									
2. M & T CHECKING	A	Interest	P1	T					
3. VANGUARD INSTITUTIONALINDEX	A	Dividend	N	T					
4. HARBOUR CAPITAL APPRECIATION	A	Dividend	N	T					
5.									
6. SPOUSE OWNED (HEADER)									
7. M&T	A	Interest	J	T					
8. BAIRD MONEY MARKET	A	Interest	K	T					
9. IRA (HEADER)									
10. ROYCE RUNDS PENNSYLVANIA RYPGX	A	Dividend	L	T					
11. BAIRD MONEY MARKET	A	Interest	J	T					
12. LEGG MASON CAP [MILLER OPPTY] MANAGEMENT OPPORTUNITY LMOPX	A	Dividend	M	T					
13. FED INT SMALL CAP MANAGEMENT FD ISGAX	A	Dividend			Sold	02/24/17	K	A	
14. COMPUGEN CGEN	A	Dividend							SOLD PRE-2017
15. PRUDENTIAL INV. JENNISONM EQUITY INCOME JEIBX	A	Dividend	L	T	Sold (part)	07/25/17	K	A	
16. FEDERATED INTERCONTINENTAL EQUITY RIMAX	A	Dividend							SOLD PRE-2017

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. PRUDENTIAL INV. JENNISON 20/20 FOCUS PTWBX	A	Dividend	K	T					
18. PUTNAM CAPITAL SPECTRUM C B PSBX	A	Dividend	L	T					
19. PRINCIPAL SMALL MID CAP DIVIDEND INCOME PMDAX	A	Dividend	L	T					
20. MILLER INCOME OPPTY LMCJX	A	Dividend	K	T					
21. FEDERATED INT LEADERS FGFAF	A	Dividend	L	T					
22. HARTFORD GROWTH OPPTY CL A HGOAX	A	Dividend	L	T					
23. STARBUCKS CORP. SBUX	A	Dividend			Sold	10/26/17	K	A	
24. VISA INC CL A V	A	Dividend	K	T					
25. CVS WORLD CORP. CVS	A	Dividend							SOLD PRE 2017
26. HARTFORD BALANCED INCOME CL A HDLAX	A	Dividend	K	T					
27. DAVIS GLOBAL CLA DGFAX	A	Dividend	K	T	Buy	11/30/17	K		
28. FEDERATED KAUFMANN SMALL CAP CL A FKASX	A	Dividend	L	T	Buy	07/25/17	K		
29.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ NANCY V. ALQUIST

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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