

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Whitworth, Matt J.                                                                                                                           | <b>2. Court or Organization</b><br><br>United States District Court - Western Missouri                                                                                                                                                                                                              | <b>3. Date of Report</b><br><br>09/05/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Magistrate Judge - full time                                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>Matt J. Whitworth, United States Magistrate Judge<br>Christopher S. Bond United States Courthouse<br>80 Lafayette Street, Room 3108<br>Jefferson City, Missouri 65101 |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p>         |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| DATE    | SOURCE AND TYPE                                                             |
|---------|-----------------------------------------------------------------------------|
| 1. 2012 | accounting income - Mike Beatty, CPA - Blue Springs, Missouri               |
| 2. 2012 | income for rent for home office - Mike Beatty, CPA - Blue Springs, Missouri |
| 3.      |                                                                             |
| 4.      |                                                                             |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| SOURCE                    | DATES | LOCATION | PURPOSE                                            | ITEMS PAID OR PROVIDED                                                                                                                       |
|---------------------------|-------|----------|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Michael D. Beatty, CPA | 2012  | various  | spouse business expense reimbursements by employer | reimbursements by spouse's employer for business phone, cell phone, internet, mileage reimbursement and per diem when traveling for business |
| 2.                        |       |          |                                                    |                                                                                                                                              |
| 3.                        |       |          |                                                    |                                                                                                                                              |
| 4.                        |       |          |                                                    |                                                                                                                                              |
| 5.                        |       |          |                                                    |                                                                                                                                              |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>         | <u>DESCRIPTION</u>              | <u>VALUE CODE</u> |
|----|-------------------------|---------------------------------|-------------------|
| 1. | Bank of America         | credit card                     | J                 |
| 2. | Department of Education | college student loans           | L                 |
| 3. | Department of Education | student loans owed by dependent | K                 |
| 4. |                         |                                 |                   |
| 5. |                         |                                 |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Smith-Barney IRA                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 2. -Calamos Growth FD CL C                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 3. -Capital World Growth and Income Fund<br>Class C                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 4. -Europacific Growth Fund Class C                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 5. -Legg Mason Value Trust FD CL C                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 6. -Legg Mason Clearbridge Agress SAGCX<br>Growth Fund Class C                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. -Legg Mason Opportunity Trust FD CL C                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/31/12                | J                               | A                              |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. Bank of America Interest Checking Account                                                                               | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 12/31/12                | J                               |                                |                                                                   |
| 11. U.S. Bank Personal Savings Account                                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. U.S. Bank Personal Checking Account                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Adams Dairy Bank, Blue Springs, Mo.                                                                                     | A                                       | Dividend                                      | J                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Morgan Stanley Simple IRA                                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/31/12                | J                               |                                |                                                                   |
| 15. - Avon Products, Inc.                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 12/31/12                | J                               |                                |                                                                   |
| 16. - Central Fund Canada LTD A                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 12/31/12                | J                               |                                |                                                                   |
| 17. - Cisco Systems, Inc.                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 12/31/12                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                 |                                                              |                                                       |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000          | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000<br>J = More than \$50,000,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000.01 - \$500,000.00 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000.00 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                 | T = Cash Market                                              |                                                       |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |                |          |   |  |  |
|-------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 18. - Ebay, Inc.                    | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 19. - ENI Spa Amer Dep RCPT         | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 20. - France Telecom                | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 21. - Guggenheim S&P 500 EQU Weight | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 22. - Guggenheim Timber EFT         | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 23. - iShares MSCI Japan Sm Cap     | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 24. - Market Vectors Jr Gold Mines  | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 25. - Molycorp Inc. Del Com         | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 26. - Powershares DB Agriculture FD | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 27. - Transocean LTD                | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 28. - Uranium Energy Corp           | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 29. - Doubleline Emerg Mkts FX Inc  | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 30. - Doubleline Total Return       | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 31. - First Eagle Overseas I        | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 32. - Invesco Balanced-Risk Alloc Y | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 33. - Janus Forty I                 | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 34. - Janus Overseas I              | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                          |   |          |   |   |                |          |   |  |  |
|------------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 35. - Legg Mason Cap Mgmt Opport Inst    | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 36. - Loomis Sayles Strategic Inc Y      | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 37. - Royce Low Priced Stock Invest      | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 38. - Victory Established Val A          | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 39. - Wasatch Emerging Mkts Small CP     | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 40. Morgan Stanley Smith Barney Roth IRA | A | Dividend | J | T |                |          |   |  |  |
| 41. -Calamos Growth C                    | A | Dividend | J | T |                |          |   |  |  |
| 42. Morgan Stanley Smith Barney Roth IRA | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |
| 43. - Legg Mason Cap Mgmt Opport C       | A | Dividend | J | T | Buy<br>(add'l) | 12/31/12 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Matt J. Whitworth**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544