

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sammartino, Janis L.	2. Court or Organization Southern District of California	3. Date of Report 08/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 221 W. Broadway, Suite 4194 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust I
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	City of San Diego Pension	\$48,110.00
2. 2016	California State Judges Retirement	\$97,319.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	California State Judges Retirement
2. 2016	PDR Corp. - salary
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	PARENT PLUS LOANS	UNSECURED LOANS FOR COLLEGE TUITION	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MITEK		None	J	T					
2. FISERV		None	M	T					
3. TEVA	A	Dividend	J	T					
4. PRUDENTIAL	A	Dividend	J	T					
5. AMERICAN GEN LIFE INS CO POLICY-- CASH VALUE		None	K	T					
6. RENTAL PROPERTY #1, SAN DIEGO, CA (TRUST I)	E	Rent	O	W					
7. RENTAL PROPERTY #2, DEL MAR, CA	E	Rent	O	W					
8. MORGAN STANLEY SELECT UMA, TRADITIONAL IRA (H)									
9. -MS Bank Account	A	Interest	K	T					
10. -Mutual Funds:									
11. --AMG Systematic Mid Cap Val Int		None			Sold	01/22/16	J		
12. --AQR Managed Futures Strategy I	A	Dividend	K	T	Sold (part)	01/22/16	J	A	
13.					Sold (part)	04/22/16	J		
14. --Cambiar Intl Equity Inv	B	Dividend	L	T	Buy	01/22/16	L		
15.					Sold (part)	07/15/16	J	A	
16.					Sold (part)	08/12/16	J	A	
17. --Causeway Emerging Mkts Inst	B	Dividend	L	T	Buy	01/22/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	04/22/16	J	A	
19.					Sold (part)	08/12/16	J	A	
20. --Causeway Intl Value Instl		None			Sold	01/22/16	L		
21. --Center Coast MLP Focus I	B	Dividend	K	T	Buy	04/22/16	K		
22.									
23. --Delaware Inv Sm Cap Val Inst		None			Sold	01/22/16	K		
24. --Delaware Value Instl	B	Dividend	L	T	Buy	01/22/16	L		
25.					Sold (part)	04/22/16	J	A	
26.					Sold (part)	07/15/16	J	A	
27. --Edgewood Growth Instl	A	Dividend	L	T	Buy	01/22/16	L		
28.					Sold (part)	04/22/16	J	A	
29.					Sold (part)	08/12/16	J	A	
30. --Goldman Sachs Multi Mgr Alt I	A	Dividend	K	T	Sold (part)	01/22/16	J		
31. --Harding Loevner Intl Eqty Inst		None	L	T	Buy	01/22/16	L		
32.					Sold (part)	07/15/16	J	A	
33.					Sold (part)	08/12/16	J	A	
34. --Hartford Midcap I		None	K	T	Buy	01/22/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Ivy Mid Cap Growth I		None			Sold	01/22/16	K		
36. --John Hancock Displnd Val Inst		None			Sold	01/22/16	M		
37. --John Hancock Seaport I		None	K	T	Sold (part)	01/22/16	J		
38. --JP Morgan Dynamic Sm Cp Gr Sel		None			Sold	01/22/16	K		
39. --Lazard Intl Strat Eq Ptf Inst	A	Dividend	L	T	Buy	01/22/16	L		
40.					Sold (part)	07/15/16	J	A	
41. --Lyrical US Value Equity Inst	A	Dividend	L	T	Buy	01/22/16	L		
42.					Sold (part)	04/22/16	J	A	
43.					Sold (part)	07/15/16	J	A	
44. --Mainstay Large Cap Grw I		None			Sold	01/22/16	J		
45. --Matthews Asian Japan Inv	A	Dividend	J	T	Buy	01/22/16	K		
46.					Sold (part)	07/15/16	J	A	
47. --Neuberger Intrinsic Value Inst		None	K	T	Buy	01/22/16	K		
48. --Nuance Mid Cap Value Instnl	A	Dividend	K	T	Buy	01/22/16	K		
49.					Sold (part)	04/22/16	J	A	
50. --Nuveen Gresham Div Comm Strt I		None			Sold	01/22/16	J		
51. --Riverpark/Wedgewood Inst	A	Dividend	L	T	Sold (part)	01/22/16	J		

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52.					Sold (part)	04/22/16	J		
53. --Touchstone Small Cap Growth Y		None	K	T	Buy	01/22/16	J		
54. --Virtus Insight Emerg Mkts I		None			Sold (part)	01/22/16	K		
55.					Sold	03/24/16	J		
56. --Voya Global Real Estate I		None			Sold	01/22/16	J		
57. TRUST #2 (MS Brokerage Account) (H)									
58. --Common Stocks									
59. --Apple Inc	A	Dividend	K	T					
60. --EXAS stock (common)		None	J	T					
61. --Facebook Inc		None	J	T					
62. --Municipal Bonds:									
63. --CA St. Genl Oblig Build America Bonds		None			Sold	04/01/16	L		
64. --CA St. Genl Oblig Ser-2L		None			Sold	10/13/16	K		
65. --Banning CA Util Auth Wastew	B	Interest	L	T					
66. --Galt Schs Jt Pwrs Auth	B	Interest	L	T					
67. --Liberty Calif Un High Sch Dist Ref	B	Interest	K	T					
68. --CA St Pub Wks Brd Lease Rev	B	Interest	K	T					

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69. --CA St Genl Oblig Ref	B	Interest	K	T					
70. --CA St Genl Oblig Ser-1		None			Sold	09/01/16	K		
71. --CA St Genl Oblig Ref	B	Interest	K	T					
72. --Foothill-De Anza Calif Cmnty College Di Oid	A	Interest	L	T					
73. --CA Health Facs Fing Auth Rev Ser-D	B	Interest	L	T					
74. --Los Angeles Community College Dist Ser- G	B	Interest	K	T					
75. --Montebello Calif Uni Sch Dis Oid	B	Interest	K	T					
76. --Chula Vista Calif Cfts Part Rev Ref	B	Interest	L	T					
77. --El Centro Calif Fing Auth Wtr Rev Ref-A	B	Interest	L	T					
78. --Corporate Bonds									
79. --Bank of America Corp 6.1% Fixed	B	Interest	L	T	Buy	04/01/16	L		
80. --Citigroup Inc 6.3% Fixed Div	A	Interest	K	T	Buy	10/21/16	L		
81. --ETrade Financial Corp 5.875%	B	Interest	L	T	Buy	09/14/16	L		
82. --Mutual Funds									
83. --John Hancock Intl Growth C		None	J	T	Buy	01/05/16	J		
84. --Principal Glb Divers Inc C (transfer from Trust #7)	A	Dividend	K	T	Open	12/07/16	L		
85.					Sold (part)	12/08/16	K		

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86. --MS Bank Acct	A	Interest	K	T					
87. TRUST #3 (MS Brokerage Account) (H)									
88. --MS Bank account (formerly also listed as Money Market Fund)	A	Interest	J	T					
89. --Preferred stocks:									
90. --Aegon	A	Dividend	J	T	Sold (part)	01/06/16	J	A	
91.					Sold (part)	12/20/16	J	A	
92.					Sold (part)	12/21/16	J		
93. --Alexandria Real Estate	A	Dividend	J	T					
94. --Allstate Corp	A	Dividend	J	T					
95. --Allstate Corp 6.625%-E	A	Dividend	J	T					
96. --Allstate Corp Dep	A	Dividend	J	T					
97. --Arch Cap Group Ltd 5.25	A	Dividend	J	T	Buy	09/26/16	J		
98. --Arch Cap Grp	A	Dividend	J	T	Sold (part)	09/20/16	J	A	
99. --Axis Cap Holdings Ltd 5.5%-E	A	Dividend	J	T	Buy	11/02/16	J		
100. --Axis Capital Hldgs Ltd 5.5%-D	A	Dividend	J	T					
101. --Axis Capital Hldgs Ltd 6.875%		None			Sold	11/23/16	J		
102. --Bank New York Mellon	A	Dividend	J	T					

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103. --Bank of America	B	Dividend	J	T	Sold (part)	01/26/16	J	A	
104.					Sold (part)	01/29/16	J	A	
105.					Sold (part)	02/05/16	J	A	
106.					Sold (part)	05/12/16	J	A	
107. --Bank of America Corp 6%-EE	A	Dividend	J	T	Buy	04/19/16	J		
108.					Buy (add'l)	05/19/16	J		
109. --Bank of America Corp 6.2%-CC	A	Dividend	J	T	Buy	02/04/16	J		
110.					Buy (add'l)	02/05/16	J		
111. --Bank of America Corp 6.5%-Y	A	Dividend	J	T					
112. --Bank of America Corp 6.625%-W	A	Dividend	J	T					
113. --BB&T Corp 5.20%-G	A	Dividend	J	T					
114. --BB&T Corp 5.2%-F	A	Dividend	J	T					
115. --BB&T Corporation 5.625 Ser-E	A	Dividend	J	T					
116. --BB&T Corporation 5.65%-H	A	Dividend	J	T	Buy	03/10/16	J		
117. --BB&T Corporation 5.85%	A	Dividend	J	T					
118. --Capital One 6.25%-C	A	Dividend	J	T					
119. --Capital One Financial 6%-B	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Capital One Finl Corp 6.70% Ser-B	A	Dividend	J	T					
121. --Charles Schwab Corp 5.95%-D	A	Dividend	J	T	Buy	03/10/16	J		
122. --Charles Schwab Corp 6% Ser-B	A	Dividend	J	T					
123. --Citigroup Inc 5.80% Series C	A	Dividend	J	T					
124. --Citigroup Inc 6.875%-K	A	Dividend	J	T					
125. --Citigroup Inc 6.875%-L	A	Dividend	J	T					
126. --Citigrp Inc 7.125%-J	A	Dividend	J	T					
127. --Deutsche Bank		None			Sold (part)	02/12/16	J		
128.					Sold (part)	03/11/16	J	A	
129.					Sold (part)	09/27/16	J		
130.					Sold	10/12/16	J		
131. --Digital Realty	A	Dividend	J	T	Sold (part)	09/15/16	J	A	
132. --Digital Realty Trust 7.375%-H	A	Dividend	J	T					
133. --Goldman Sachs Group Inc 6.3%-N	A	Dividend	J	T	Buy	04/19/16	J		
134. --Goldman Sachs Group	A	Dividend	K		Buy (add'l)	12/06/16	J		
135.					Buy (add'l)	12/07/16	J		
136. --Goldman Sachs Grp Inc 5.95%-I	A	Dividend	J	T	Buy (add'l)	12/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Goldman Sachs Grp Inc 6.375%-K	A	Dividend	J	T					
138. --Hospitality Pptys TR PFD-D	A	Dividend	J	T					
139. --Ing Group NV 06.375	A	Dividend	J	T	Sold (part)	09/12/16	J	A	
140. --Ing Group NV 6.125		None			Sold	09/12/16	J	A	
141. --JPMorgan Chase & Co 5.45%-P	A	Dividend	J	T	Sold (part)	02/12/16	J		
142. --JPMorgan Chase & Co 5.5%-O	A	Dividend	J	T					
143. --JPMorgan Chase & Co 6.125%-Y	A	Dividend	J	T					
144. --JPMorgan Chase & Co 6.15% BB	A	Dividend	J	T					
145. --JPMorgan Chase & Co 6.3%-W	A	Dividend	J	T					
146. --JPMorgan Chase & Co 6.70%-T	A	Dividend	J	T					
147. --Kimco Realty	A	Dividend	J	T					
148. --Morgan Stanley 6.625%-G	A	Dividend	J	T					
149. --Morgan Stanley 6.875%-F	A	Dividend	J	T					
150. --Morgan Stanley 7.125%-E	A	Dividend	J	T					
151. --Morgan Stanley Ser-A	A	Dividend	J	T					
152. --National Retail Prop 5.7%-E	A	Dividend	J	T					
153. --National Retail Prop 6.625%	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --National Retail Prop 5.2%-F	A	Dividend	J	T	Buy	10/05/16	J		
155. --PNC Finl-P 6.125% FLTS	A	Dividend	K	T					
156. --PS Business Parks Inc 5.7%-V	A	Dividend	J	T	Buy	11/18/16	J		
157. --PS Business Park	A	Dividend	J	T					
158. --Public Storage 5.20%-W		None	J	T	Buy	12/13/16	J		
159. --Public Storage 5.20%	A	Dividend	J	T					
160. --Public Storage	A	Dividend	J	T	Sold (part)	04/15/16	J		
161.					Sold (part)	05/19/16	J	A	
162.					Sold (part)	07/26/16	J		
163.					Sold (part)	09/15/16	J	A	
164. --Public Storage 5.875%-A	A	Dividend	J	T					
165. --Public Storage 6%-Z	A	Dividend	J	T					
166. --Public Storage 6.375%-Y	A	Dividend	J	T					
167. --RBS Cap Fndg Trust V 5.9%		None			Sold	06/13/16	J	A	
168. --Realty Income Corp	A	Dividend	J	T					
169. --Regency Centers	A	Dividend	J	T					
170. --Regions Financial Co 6.375%-B	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Renaissancere		None			Sold	09/12/16	J		
172. --Renaissancere Hlds Ser-E 5.375	A	Dividend	J	T					
173. --Royal Bk Scot	A	Dividend	J	T	Sold (part)	09/08/16	J	B	
174. --SCE Trust IV 5.375%-J	A	Dividend	J	T	Buy	11/18/16	J		
175. --SCE Trust V 5.45%-K	A	Dividend	J	T	Buy	03/02/16	J		
176. --Schwab Charles Corp Ser C	A	Dividend	J	T					
177. --State St Co 5.90%-D	A	Dividend	J	T					
178. --State Street Corp 5.25%	A	Dividend	J	T					
179. --State Street Corp 6% SER-E	A	Dividend	J	T					
180. --Suntrust Banks Inc 5.875%-E	A	Dividend	J	T					
181. --TCF Financial Corp 7.5%A	A	Dividend	J	T					
182. --UBS stock		None			Sold	06/15/16	J	C	
183. --US Bancorp 5.15%-H	A	Dividend	J	T					
184. --US Bancorp	A	Dividend	J	T	Sold (part)	01/22/16	J	A	
185. --Vornado Realty	A	Dividend	J	T					
186. --Vornado Realty TR Ser-L 5.40%	A	Dividend	J	T					
187. --Wells Fargo & Co 5.5% Ser-X	A	Dividend	J	T	Buy	06/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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08/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Wells Fargo & Company 6.625%-R	A	Dividend	J	T					
189. --Wells Fargo	A	Dividend	J	T					
190. --Wells Fargo 5.85-Q	A	Dividend	J	T					
191. --Welltower Inc PFD Ser J (X)	A	Dividend	J	T	Sold (part)	09/12/16	J	A	
192. --Fixed Rate Capital Securities:									
193. --Entergy Louisiana LLC (ELB)		None			Sold	09/16/16	J	A	
194. --Entergy Arkansas Inc.		None			Sold	06/29/16	J		
195. --Entergy Louisiana LLC (ELA)		None			Sold	01/07/16	J		
196. --Aegon NV 8%	A	Dividend	J	T					
197. --Raymond James Financial		None			Sold	12/14/16	J		
198. --Hartford finl Svc Grp 7.875%	A	Interest	J	T					
199. --Protective Life Corp 6.25%	A	Interest	J	T					
200. --American Financial Group	A	Interest			Sold	09/13/16	J	A	
201. --Senior Hsg Prop Trust 5.625%	A	Interest	J	T	Buy (add'l)	02/04/16	J		
202. --Affiliated Managers Group Inc	A	Interest	J	T					
203. --American Financial Group Inc 5.75%	A	Interest	J	T					
204. --Reinsurance Group America Inc (RZA)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Sammartino, Janis L.

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08/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Ventas Realty LP/Capital Corp 5/45%	A	Interest	J	T					
206. --Entergy Mississippi Inc 6%	A	Interest			Sold	07/01/16	J		
207. --Senior Hsg Properties 6.25%	A	Interest	J	T	Buy	02/12/16	J		
208. --Qwest Corp	A	Interest	J	T	Sold (part)	08/29/16	J	A	
209.					Sold (part)	09/02/16	J	A	
210. --Stanley Black & Decker 5.75%	A	Interest	J	T					
211. --Aflac Inc	A	Interest	J	T	Buy (add'l)	02/08/16	J		
212. --General Elec Cap Corp 4.875%-A	A	Interest	J	T					
213. --Entergy Arkansas Inc. 4.9%		None			Sold	09/09/16	J	A	
214. --Prudential Financial Inc 5.75%	A	Interest	J	T					
215. --Torchmark Corp 5.875%	A	Interest	J	T	Sold (part)	07/06/16	J	A	
216. --Allstate Corp. 5.1%	A	Interest	J	T	Buy (add'l)	05/20/16	J		
217. --General Elec Cap Corp 4.875-A	A	Interest	J	T					
218. --Prudential Financial Inc 5.70%	A	Interest	J	T					
219. --W.R. Berkley Corp 5.625%	A	Interest	J	T					
220. --General Elec Capital Corp 4.70%	A	Interest	J	T					
221. --Verizon Communications 5.9% (VZA)	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --American Financial Group	A	Interest	J	T					
223. --American Financial Group 6.0%	A	Interest	J	T	Buy	09/09/16	J		
224. --Goldman Sachs Group Inc. (-111/GSF)		None			Sold (part)	01/04/16	J	A	
225.					Sold	02/02/16	J		
226. --DTE Energy Co Ser I		None			Sold	09/08/16	J		
227. --Berkley Corp		None	J	T	Buy	12/08/16	J		
228. --WR Berkley Corp	A	Interest	J	T	Buy	05/19/16	J		
229.					Buy (add'l)	06/23/16	J		
230. --Qwest Corp 6.5%		None	J	T	Buy	08/31/16	J		
231. --Comcast Corp 5%	A	Interest	J	T	Sold (part)	09/09/16	J	A	
232. --DTE Energy Co 5.25%		None			Sold	12/15/16	J		
233. --Entergy Arkansas Inc. 4.75%	A	Interest	J	T					
234. --Entergy New Orleans Inc		None	J	T	Buy	11/18/16	J		
235. --Gatx Corp	A	Interest	J	T	Buy	05/19/16	J		
236. --Entergy Arkansas Inc 4.875%	A	Interest	J	T	Buy	08/12/16	J		
237.					Buy (add'l)	08/18/16	J		
238.					Buy (add'l)	09/08/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --Entergy Louisiana LLC 4.875%		None	J	T	Buy	08/12/16	J		
240.					Buy (add'l)	12/19/16	J		
241. --Entergy Mississippi Inc 4.90%		None	J	T	Buy	09/13/16	J		
242. --Nextera Energy Capital	A	Interest	J	T					
243. --Duke Energy Corp	A	Interest	J	T	Buy (add'l)	12/14/16	J		
244. --Nextera Energy Capital Holdings Inc Ser J	A	Interest	J	T					
245. --PPL Capital Funding Inc 5.90%-B	A	Interest	J	T	Buy (add'l)	12/14/16	J		
246. --Integrus Energy Grp Inc 6.00% Fixed		None	J	T	Buy	11/18/16	J		
247. --The Southern Co 6.25%-A (SOJA)	A	Interest	J	T					
248. --DTE Energy Co Jr Deb	A	Interest	J	T	Buy	06/07/16	J		
249. --Nextera Energy Capital Holdings Inc 5.25%	A	Interest	J	T	Buy	06/02/16	J		
250.					Buy (add'l)	06/24/16	J		
251. --Dominion Resources Inc 5.25%	A	Interest	J	T	Buy	07/13/16	J		
252.					Buy (add'l)	09/09/16	J		
253. --The Southern Co 5.25% Ser-A		None	J	T	Buy	09/20/16	J		
254. --DTE Energy Co Ser F 6% Pfd		None	J	T	Buy	12/16/16	J		
255. --Mutual Funds:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Principal Capital Sec S	D	Dividend	M	T	Buy (add'l)	01/08/16	J		
257.					Buy (add'l)	01/12/16	J		
258.					Buy (add'l)	01/26/16	J		
259.					Buy (add'l)	02/09/16	J		
260.					Buy (add'l)	02/12/16	J		
261.					Buy (add'l)	04/26/16	K		
262.					Buy (add'l)	09/08/16	J		
263.					Sold (part)	12/15/16	J		
264. TRUST #4 (MS Brokerage Account) (H)									
265. --Morgan Stanley Bank Account	A	Interest	K	T					
266. --Common stocks:									
267. --Acceleron Pharma Inc		None	J	T					
268. --Affiliated Mgrs Group Inc		None			Sold	02/19/16	J	A	
269. --Ageas Sponsored ADR		None			Sold	06/16/16	J	A	
270. --Acuty Brands Inc	A	Dividend	J	T	Buy	10/11/16	J		
271. --Agree Realty Corp	A	Dividend	J	T					
272. --Agrium Inc		None			Sold	06/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. --Akorn Inc		None	J	T					
274. --Alexandria Real Estate Eq Inc	A	Dividend	J	T					
275. --Alexion Pharm Inc		None	J	T	Buy (add'l)	11/14/16	J		
276. --Allergan Plc Shs		None			Sold	11/14/16	J		
277. --Alliance Data Systems Corp	A	Dividend	J	T					
278. --Allianz Se Ads	A	Dividend	J	T	Sold (part)	06/16/16	J		
279. --Alphabet Inc Cl A		None	J	T					
280. --Alphabet Inc Cl C		None	J	T					
281. --Altria Group Inc	A	Dividend	K	T	Sold (part)	03/24/16	J	A	
282.					Buy (add'l)	06/16/16	K		
283. --Amazon Com Inc		None	J	T					
284. --American Campus Cmmtys Inc (X)	A	Dividend	J	T					
285. --American Tower REIT Com (X)	A	Dividend	J	T					
286. --Ametek Inc	A	Dividend	J	T					
287. --ANSYS Inc		None	J	T					
288. --Apartment Invt & Mgmt Co	A	Dividend	J	T					
289. --Apple Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --Astrazeneca Plc Ads		None	J	T	Buy	11/15/16	J		
291. --AT&T Inc		None			Sold	01/06/16	J	A	
292. --Avalonbay Comm Inc	A	Dividend	J	T					
293. --Axis Capital Holdings Ltd		None			Sold	06/16/16	J	B	
294. --BAE Sys Plc Spon ADR	A	Dividend	J	T					
295. --Bank of Nova Scotia		None			Sold	11/15/16	J	A	
296. --Bayer Ag Spon ADR		None			Sold	06/16/16	J		
297. --Berkshire Hathaway Cl-B New		None	J	T	Buy	02/01/16	J		
298.					Buy (add'l)	06/16/16	J		
299. --Best Buy Co (X)	A	Dividend	J	T	Buy (add'l)	11/15/16	J		
300. --BHP Billiton Plc Spons ADR		None			Sold	02/24/16	J		
301. --Biogen Inc Com		None	J	T					
302. --Biomed Realty Trust Inc		None			Sold	01/28/16	J		
303. --BK Montreal	A	Dividend	J	T	Sold (part)	11/15/16	J		
304. --Blackrock Inc	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
305. --Boeing Co	A	Dividend	J	T	Buy	11/15/16	J		
306. --Boston Properties Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sammartino, Janis L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. --BP PLC ADS	A	Dividend	J	T	Buy	11/15/16	J		
308. --Bristol Myers Squibb Co		None			Sold	01/06/16	J		
309. --Brixmore Pty Group Inc	A	Dividend	J	T					
310. --CA Incorporated	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
311. --Cameco Corp	A	Dividend			Sold	06/16/16	J		
312. --Canadian Natural Resources Ltd		None			Sold	06/16/16	J	A	
313. --Canon Inc ADR New	A	Dividend	J	T					
314. --Cardinal Health Inc.	A	Dividend	J	T	Sold (part)	02/19/16	J	A	
315. --Carnival Cp New Paired Com	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
316.					Buy (add'l)	09/29/16	J		
317. --Carrefour SA	A	Dividend			Sold	06/16/16	J	A	
318. --Caterpillar Inc	A	Dividend	J	T	Sold (part)	11/15/16	J	A	
319. --Celgene Corp		None	J	T					
320. --Centurylink Inc	A	Dividend	J	T					
321. --Cerner Corp.		None			Sold	11/07/16	J	A	
322. --Chevron Corp	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
323.					Buy (add'l)	07/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. --China Mobile Ltd		None			Sold	04/12/16	J		
325. --China Resources Pwr Holdings Co		None			Sold	06/16/16	J		
326. --Church & Dwight Co	A	Dividend	J	T					
327. --Cincinnati Financial Ohio	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
328. --Cisco Sys Inc	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
329.					Buy (add'l)	07/13/16	J		
330. --Citrix Systems Inc		None			Sold	10/21/16	J	B	
331. --City Dev Ltd Spon ADR		None			Sold	06/16/16	J		
332. --Cnooc Ltd ADS	A	Dividend	J	T					
333. --Coach Inc		None			Sold	10/19/16	J	A	
334. --Coca Cola Co	A	Dividend	J	T	Buy (add'l)	03/24/16	J		
335.					Buy (add'l)	06/16/16	J		
336. --Cognizant Tech Solutions		None	J	T					
337. --Colony Starwood	A	Dividend	J	T	Buy	10/18/16	J		
338. --Communications Sales&Leas Inc		None			Sold	02/01/16	J		
339. --Conocophillips		None			Sold	02/09/16	J		
340. --Corrections Corp of Amer New		None			Sold (part)	09/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold	09/26/16	J		
342. --Costco Wholesale Corp New	A	Dividend	J	T	Buy	10/11/16	J		
343. --Crown Castle Intl Corp New Com	A	Dividend	J	T					
344. --Cubsmart Com	A	Dividend	J	T					
345. --Cummins Inc	A	Dividend	J	T	Buy	11/15/16	J		
346. --CVS Health Corp Com	A	Dividend	J	T					
347. --DAI NIPPPN	A	Dividend			Sold	06/16/16	J		
348. --Danaher Corp	A	Dividend	J	T					
349. --Decker Outdoor Corp		None			Sold	02/11/16	J	A	
350. --Depomed Inc		None	J	T					
351. --Diageo Plc Spon Adr New	A	Dividend	J	T	Buy	06/24/16	J		
352.					Buy (add'l)	06/27/16	J		
353.					Buy (add'l)	06/28/16	J		
354. --Digital Realty Trust Inc		None			Sold	07/15/16	J	B	
355. --Dicks Sporting Goods	A	Dividend	J	T	Buy	11/04/16	J		
356. --Dominion Res Inc	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
357. --Dow Chemical	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. --Duke Energy Corp New	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
359. --Eastgroup Properties Inc	A	Dividend	J	T					
360. --Ecolab Inc	A	Dividend	J	T					
361. --Education Rlty Tr Inc Com	A	Dividend	J	T					
362. --Eli Lilly & Co	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
363. --Embraer SA ADR		None			Buy	03/28/16	J		
364.					Sold	06/16/16	J		
365. --Emerson Electric Co	A	Dividend	J	T					
366. --Eog Resources Inc	A	Dividend	J	T					
367. --Epam Systems		None	J	T					
368. --EPR Pptys Com	A	Dividend	J	T					
369. --Ericsson LM Tel ADR		None			Sold	06/16/16	J		
370. --Express Scripts Inc		None			Sold	07/20/16	J	A	
371. --Facebook Inc Cl-A		None	J	T					
372. --Fedl Rlty Invst Trust S B I	A	Dividend	J	T					
373. --First Indust Realty Tr Inc	A	Dividend	J	T					
374. --Flextronics Intl Ltd		None			Sold	06/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. --Fortinet Inc		None	J	T					
376. --Fortive Corp	A	Dividend	J	T	Spinoff (from line 348)	06/15/16	J		
377. --Fujifilm Hldgs Corp		None			Sold	06/16/16	J	B	
378. --Gaming & Leisure Pptys Inc Com	A	Dividend	J	T	Buy	10/06/16	J		
379. --Gazprom O A O Spon ADR		None			Sold	09/13/16	J		
380. --General Electric Co	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
381. --General Mills Inc	A	Dividend	K	T	Buy	03/24/16	J		
382.					Buy (add'l)	03/28/16	J		
383.					Buy (add'l)	06/16/16	J		
384. --Genl Dynamics Corp	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
385. --GEO Group Inc		None			Sold	10/18/16	J		
386. --Gilead Science	A	Dividend	J	T					
387. --Glaxosmithkline PLC	A	Dividend	J	T	Sold (part)	06/16/16	J		
388.					Sold (part)	11/15/16	J		
389. --Glencore Plc Adr		None			Sold	01/13/16	J		
390. --Gramercy Property Trust Inc		None	J	T					
391. --H & R Block Inc	A	Dividend	J	T	Buy (add'l)	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

08/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --H Lundbeck as Spon ADR		None			Sold (part)	03/10/16	J	A	
393.					Sold	03/11/16	J	A	
394. --Hain Celestial Group Inc		None			Sold	08/16/16	J		
395. --Hasbro Inc		None	J	T	Buy (add'l)	06/16/16	J		
396.					Sold (part)	06/24/16	J	B	
397.					Sold (part)	06/27/16	J	B	
398. --HCP Incorporated		None			Sold	02/17/16	J		
399. --Henderson Ld Dev Co Ltd		None			Sold	06/16/16	J		
400. --Home Retail Group ADR		None			Sold	02/03/16	J	A	
401. --Hospira Inc (acquired by Pfizer)		None			Merged (with line 461)				
402. --Hospitality Pptys Tr Com	A	Dividend	J	T	Buy	02/22/16	J		
403. --Host Hotel & Resorts Inc	A	Dividend	J	T					
404. --HSBC Holdings PLC	A	Dividend			Sold (part)	06/16/16	J		
405. --Husky Energy		None			Sold	01/11/16	J		
406. --Ill Tool Works Inc.	A	Dividend	J	T					
407. --Incyte Corp		None	J	T					
408. --ING Groep NV ADR		None			Sold	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. --Intel Corp	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
410. --Intercontinentalexchange Inc	A	Dividend	J	T					
411. --International Paper Co	A	Dividend	J	T					
412. --Intl Business Machines Corp	A	Dividend	J	T					
413. --Ionis Pharmaceuticals Inc		None			Sold	05/26/16	J		
414. --Iron Mtn Inc New Com	A	Dividend	J	T					
415. --Ishares Msci Emerginig Mkts Etf		None			Buy	03/21/16	J		
416.					Sold	05/09/16	J		
417. --Japan Tob Inc		None			Sold	06/16/16	J	A	
418. --Johnson & Johnson		None			Sold	11/15/16	J	A	
419. --Kinder Morgan Incorp	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
420. --Kite Rlty Group Tr	A	Dividend	J	T					
421. --Kohls Corporation Wisc	A	Dividend	J	T	Buy	01/06/16	J		
422. --Lamar Advertising Co New		None	J	T	Buy	10/18/16	J		
423. --LKQ Corporation		None	J	T					
424. --Lowes Companies Inc	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
425.					Sold (part)	07/19/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

08/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. --LTC Properties Inc	A	Dividend	J	T					
427. --Lyondellbasell Nv Cl-A	A	Dividend	J	T					
428. --Macy's Inc	A	Dividend	J	T	Buy	04/12/16	J		
429. --Manpowergroup INC COM		None			Sold	06/16/16	J	A	
430. --Maximus Inc	A	Dividend	J	T					
431. --Merck & Co Inc New Com	A	Dividend	K	T	Buy	01/06/16	J		
432.					Buy (add'l)	06/16/16	J		
433. --Metlife Incorporated	A	Dividend	J	T					
434. --Microsoft Corp	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
435.					Buy (add'l)	06/16/16	J		
436.					Buy (add'l)	11/14/16	J		
437. --Mitie Group Plc Unspn Adr		None			Buy	01/06/16	J		
438.					Sold	06/16/16	J		
439. --Mitsui & Co Ltd Adr	A	Dividend	J	T	Sold (part)	11/15/16	J	A	
440. --Mobile Telesystems Pjsc	A	Dividend	J	T	Buy	06/14/16	J		
441. --Mondelez Intl Inc Com	A	Dividend	J	T					
442. --MS&AD Ins Group		None			Sold	06/16/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. --National Retail Properties	A	Dividend	J	T	Sold (part)	03/23/16	J	A	
444. --Nevro Corp		None	J	T	Buy	11/07/16	J		
445. --Newmarket Corp	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
446. --Nippon Telegraph&Telephone		None			Sold	06/16/16	J	C	
447. --Noble Corp PLC Shs Usd		None			Sold	08/04/16	J		
448. --Norfolk Southern Corp	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
449. --Northstar Rlty Fin Corp	A	Dividend	J	T					
450. --Nucor Corporation	A	Dividend	J	T					
451. --Oreilly Automotive Inc		None	J	T	Sold (part)	10/25/16	J	B	
452. --Orange New		None			Sold	08/17/16	J	A	
453. --Outfront Media Inc Com Npv	A	Dividend	J	T					
454. --Oversea-Chinese Bkg Corp Ltd		None			Sold	06/16/16	J		
455. --Pa RI Est Invt Tr Sbi	A	Dividend	J	T	Buy	10/18/16	J		
456. --Panasonic Corp		None			Sold	06/16/16	J	A	
457. --Paychex Inc	A	Dividend	J	T	Buy (add'l)	06/16/16	J		
458. --Pepsico Inc	A	Dividend	J	T					
459. --Perrigo Co Ltd	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. --Petrochina Co Ltd		None			Sold	06/14/16	J		
461. --Pfizer Inc	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
462. --PJSC Lukoil Sponsored Adr	A	Dividend	J	T					
463. --Posco ADS	A	Dividend	J	T	Sold (part)	06/16/16	J		
464. --Proshares Ultrashrt S&P500 New		None			Buy	01/22/16	J		
465.					Buy (add'l)	02/02/16	J		
466.					Sold (part)	02/08/16	J	A	
467.					Sold (part)	02/11/16	J	A	
468.					Sold	02/12/16	J	A	
469. --Priceline.com Inc		None	J	T					
470. --Proofpoint Inc		None			Sold	10/21/16	J	A	
471. --Principal Finl Group Inc	A	Dividend	J	T	Buy	06/14/16	J		
472. --Prudential Financial Inc	A	Dividend	J	T					
473. --Publicis Groupe Sa Ads		None			Buy	03/04/16	J		
474.					Sold	06/16/16	J	A	
475. --Qualcomm Inc	A	Dividend	J	T					
476. --Refresco Gerber Adr		None			Sold	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. --Reynolds American Inc	A	Dividend	J	T	Sold (part)	03/24/16	J	A	
478.					Sold (part)	03/28/16	J	A	
479.					Buy (add'l)	06/16/16	J		
480. --Rio Tinto Plc		None			Sold	02/16/16	J		
481. --Roche Holdings ADR		None			Sold	06/16/16	J		
482. --Rohm Co Ltd		None			Sold	06/16/16	J		
483. --Ross Stores Inc	A	Dividend	J	T					
484. --Royal Bank of Canada	A	Dividend	J	T					
485. --Royal Bk Scotland Group Sp ADR		None			Sold	06/16/16	J		
486. --Royal DSM NV Sponsored ADR		None			Sold	06/16/16	J	A	
487. --Royal Dutch Shell PLC (RDSA)	A	Dividend	J	T	Sold (part)	11/15/16	J		
488. --Royal Dutch Shell PLC		None			Sold	06/16/16	J		
489. --Sage Therapeutics Inc		None	J	T	Buy	11/14/16	J		
490. --Sally Beauty Holdings Inc		None			Sold	10/11/16	J	A	
491. --Sanofi ADR		None			Sold	06/16/16	J		
492. --Sap Ag		None			Sold	06/16/16	J	A	
493. --Shire Plc ADR	A	Dividend	J	T	Buy	05/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	11/07/16	J		
495. --Sekisui House Ltd		None			Sold	06/16/16	J	B	
496. --Seven & I Holdings Co		None			Sold	06/16/16	J	B	
497. --Shiseido Ltd		None			Sold	03/24/16	J	B	
498. --Siemens Aktiengesellschaft		None			Sold	06/16/16	J	A	
499. --Simon Ppty Group Inc	A	Dividend			Sold (part)	11/08/16	J	A	
500. --SK Telecom Co	A	Dividend	J	T	Sold (part)	06/16/16	J	A	
501. --Sky PLC		None			Sold	02/16/16	J		
502. --Starbucks Corp	A	Dividend	J	T					
503. --Statoil Asa Adr		None			Sold	05/11/16	J		
504. --Stericycle Inc		None			Sold	10/11/16	J	A	
505. --Sumitomo Mitsui Tr Hldgs		None			Sold	06/16/16	J		
506. --Swiss Re Ltd Sponsored Adr	A	Dividend	J	T	Sold (part)	08/17/16	J	A	
507. --Synchronoss Tech Inc (X)		None	J	T					
508. --Telefonica Brasil Sa Spon Adr		None			Sold	03/09/16	J		
509. --Telefonica Sa Adr		None			Sold	02/16/16	J		
510. --Telenor Asa Adr		None			Sold	06/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. --Teliasonera Ab Unspn Adr		None			Sold	02/16/16	J		
512. --Telstra Corp Ltd Spon Adr		None			Sold	01/06/16	J		
513. --Tenaris S.A.		None			Sold	06/16/16	J	A	
514. --Target Corporation (X)	A	Dividend	J	T					
515. --Terreno Rlty Corp Com	A	Dividend	J	T					
516. --Tesco PLC Sponsored ADR		None			Sold	06/16/16	J		
517. --TEVA Pharmaceuticals		None			Sold	06/16/16	J	A	
518. --The Mosaic Co	A	Dividend	J	T	Buy (add'l)	03/20/16	J		
519. --Total S A Spon Adr	A	Dividend	J	T					
520. --Toyota Motor Cp		None			Sold	06/16/16	J	A	
521. --Transdigm Group Inc		None	J	T					
522. --UBS Ag New	A	Dividend	J	T	Sold (part)	06/16/16	J		
523. --Ulta Salon Cos & Fragr Inc		None	J	T					
524. --Unilever Nv Ny Sh New		None			Sold	06/14/16	J		
525. --Unitedhealth Gp Inc	A	Dividend	J	T	Buy (add'l)	07/20/16	J		
526. --UPM Kymmene Corp Adr (X)	A	Dividend	J	T					
527. --VF Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. --Valero Energy CP Dela New (X)	A	Dividend	J	T					
529. --Ventas Inc	A	Dividend	J	T					
530. --Verisk Analytics Inc Com		None	J	T	Sold (part)	01/25/16	J	A	
531. --Verizon Communications	A	Dividend	J	T	Buy (add'l)	07/18/16	J		
532. --Visa Inc	A	Dividend	J	T					
533. --Vornado Realty TR S B I	A	Dividend	J	T					
534. --Wacoal Cp		None			Sold	06/16/16	J		
535. --Washington Prime Group Inc	A	Dividend	J	T	Buy	10/18/16	J		
536. --Waste Connections	A	Dividend	J	T	Sold (part)	06/01/16	J	C	
537.					Buy (add'l)	06/01/16	J		
538. --Weingarten Realty Inv SBI	A	Dividend	J	T					
539. --Wells Fargo & Co New	A	Dividend	K	T	Buy (add'l)	06/16/16	J		
540.					Buy (add'l)	07/18/16	J		
541.					Buy (add'l)	10/13/16	J		
542. --Welltower Inc	A	Dividend	J	T					
543. --Western Un Co	A	Dividend	J	T					
544. --Weyerhaeuser Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. --Wolters Kluwer		None			Sold (part)	03/03/16	J	A	
546.					Sold	06/16/16	J	B	
547. --Preferred Stocks									
548. --Wells Fargo & Company 6%		None			Sold	10/17/16	J	A	
549. --Exchange-Traded & Closed-End Funds									
550. --Ishares Russell 2000 ETF		None			Sold (part)	01/07/16	J		
551.					Sold (part)	03/15/16	K	A	
552.					Sold	06/16/16	J		
553. --Powershares QQQ Tr		None			Sold (part)	01/14/16	J		
554.					Sold (part)	04/19/16	K	A	
555.					Sold	06/07/16	J	A	
556. --SPDR Barclays Capital 1-3 Mont		None			Sold (part)	01/22/16	J		
557.					Sold (part)	02/02/16	J		
558.					Sold (part)	02/17/16	J		
559.					Sold (part)	02/29/16	K		
560.					Sold (part)	03/07/16	K		
561.					Sold (part)	04/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	04/28/16	J	A	
563.					Sold (part)	05/10/16	J	A	
564.					Sold (part)	05/23/16	J	A	
565.					Sold (part)	05/31/16	J	A	
566.					Sold	06/16/16	K		
567. --Spdr S&P 500 Etf Trust		None			Buy	03/15/16	K		
568.					Buy (add'l)	04/19/16	J		
569.					Buy (add'l)	04/21/16	J		
570.					Sold (part)	04/29/16	J	A	
571.					Buy (add'l)	05/09/16	J		
572.					Buy (add'l)	05/10/16	J		
573.					Sold (part)	05/18/16	J		
574.					Sold (part)	05/20/16	J		
575.					Sold (part)	06/14/16	J		
576.					Sold	06/16/16	J	A	
577. --SPDR Trust Seris I		None			Sold (part)	01/13/16	J		
578.					Sold (part)	01/14/16	J		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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579.					Buy (add'l)	02/17/16	J		
580.					Sold	02/25/16	K	A	
581. TRUST #5 (MS Brokerage Account) (H)									
582. --MS Bank Account (formerly listed as MS Liquid Asset Fund)	A	Interest	J	T					
583. --Common Stocks:									
584. --3M Company	A	Dividend	J	T	Buy	09/16/16	J		
585. --AAC Hldgs Inc		None	J	T	Buy	09/16/16	J		
586. --Abbott Laboratories		None	J	T	Buy	09/16/16	J		
587. --Abbvie Inc Com		None	J	T	Buy	09/16/16	J		
588.					Sold (part)	11/02/16	J		
589. --Air Prod & Chem Inc		None	J	T	Buy	11/30/16	J		
590. --Altria Group Inc		None	J	T	Buy	09/16/16	J		
591. --Amgen Inc	A	Dividend	J	T	Buy	09/16/16	J		
592. --Ansys Inc		None	J	T	Buy	09/16/16	J		
593. --AON Corp		None			Buy (add'l)	02/05/16	J		
594.					Sold	09/16/16	K	D	
595. --Apache Corp		None			Sold (part)	04/21/16	J		

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596.					Sold (part)	07/27/16	J		
597.					Sold	09/16/16	J		
598. --Arris Group Inc Com New		None			Sold	09/16/16	J	B	
599. --Aryzta Ag Zuerich		None			Sold	09/16/16	J		
600. --Automatic Data Processing Inc		None	J	T	Buy	09/16/16	J		
601. --Axis Capital Holdings Ltd		None			Buy	06/24/16	J		
602.					Sold	09/16/16	J	A	
603. --Bank of America Corp		None			Sold	09/16/16	J		
604. --BB & T Corp	A	Dividend			Buy	09/16/16	J		
605. --Bio Rad Lab A		None			Sold	09/16/16	K	D	
606. --Bio-Techne Corp		None	J	T	Buy	09/16/16	J		
607. --Blackbaud Inc	A	Dividend	J	T	Buy	09/16/16	J		
608. --Blackrock Inc	A	Dividend	J	T	Buy	09/16/16	J		
609. --Brandywine Realty Tr		None			Sold (part)	07/12/16	J	A	
610.					Sold (part)	07/25/16	J	A	
611.					Sold	09/16/16	J		
612. --Britvic Plc Sponsored Adr		None			Buy	06/24/16	J		

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613.					Sold	09/16/16	J		
614. --Carmax Inc		None	J	T	Buy	09/16/16	J		
615.					Sold (part)	12/15/16	J	A	
616.					Sold (part)	12/23/16	J	A	
617. --Cbre Group Inc		None	J	T	Buy	10/04/16	J		
618.					Buy (add'l)	10/12/16	J		
619. --Cheniere Energy Inc New		None			Buy	02/09/16	J		
620.					Sold	09/16/16	K	D	
621. --Chipotle Mexican Grill Inc Com		None			Buy	09/16/16	J		
622.					Sold	10/12/16	J	A	
623. --Cigna Corporation Com		None			Buy	05/09/16	J		
624.					Sold (part)	07/29/16	J		
625.					Sold	09/16/16	J	A	
626. --Cisco Sys Inc		None	J	T	Buy	09/16/16	J		
627. --Cit Group Inc New		None			Sold	09/16/16	K		
628. --Citigroup Inc.		None			Sold	09/16/16	K	C	
629. --Cna Financial		None			Buy	08/31/16	J		

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630.					Sold	09/16/16	J		
631. --Coca Cola Co	A	Dividend	J	T	Buy	09/16/16	J		
632. --Coherent Inc					Sold (part)	01/29/16	J	B	
633.					Sold (part)	08/03/16	J	A	
634.					Sold	09/16/16	K	D	
635. --Colony Cap Inc Com		None			Buy	08/02/16	J		
636.					Sold	09/16/16	J		
637. --Copa Holdings		None			Sold (part)	05/06/16	J		
638.					Sold	06/29/16	J		
639. --Costar Group Inc	A	Dividend	J	T	Buy	09/16/16	J		
640. --Crown Castle Intl Corp	A	Dividend	J	T	Buy	09/16/16	J		
641. --Discover Fincl Svcs		None			Sold	09/16/16	K		
642. --Ebay Inc		None			Sold (part)	03/21/16	J	A	
643.					Sold	03/22/16	J	A	
644. --Ecolab Inc		None	J	T	Buy	09/16/16	J		
645. --Electronics for Imaging Inc		None			Buy	07/26/16	J		
646.					Sold	09/16/16	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. --Envestnet Inc		None	J	T	Buy	09/16/16	J		
648. --Enesco Plc Class A		None			Buy	04/15/16	J		
649.					Sold	06/30/16	J	A	
650. --EQT Corporation Com New		None			Buy (add'l)	01/06/16	J		
651.					Sold	09/16/16	K	C	
652. --Exponent Inc	A	Dividend	J	T	Buy	09/16/16	J		
653.					Sold (part)	11/03/16	J	A	
654.					Sold (part)	11/15/16	J	A	
655. --Express Inc		None			Sold (part)	03/08/16	J	A	
656.					Sold	03/09/16	J	B	
657. --Fastenal Co		None	J	T	Buy	09/16/16	J		
658. --FBR & Co		None			Sold	09/16/16	J		
659. --Financial Engines		None			Buy	09/16/16	J		
660.					Sold	10/25/16	J		
661. --Ford Motor Co		None			Sold (part)	06/29/16	J		
662.					Sold (part)	06/30/16	J		
663.					Sold	07/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. --Gamestop Corp		None			Buy	08/26/16	J		
665.					Sold	09/16/16	J		
666. --General Electric		None	J	T	Buy	09/16/16	J		
667. --GM		None			Sold	09/16/16	K	B	
668. --Glaxosmithkline Plc Ads		None			Buy	05/10/16	J		
669.					Sold	09/16/16	J	A	
670. --Hasbro Inc		None	J	T	Buy	09/16/16	J		
671. --Hess Corporation		None			Buy	02/08/16	J		
672.					Sold (part)	04/27/16	J		
673.					Buy (add'l)	07/27/16	J		
674.					Sold	09/16/16	J		
675. --Health Care Svc Grp	A	Dividend	J	T	Buy	09/16/16	J		
676.					Sold (part)	12/30/16	J	A	
677. --Home Depot Inc	A	Dividend	J	T	Buy	09/16/16	J		
678. --Ill Tool Works Inc		None	J	T	Buy	09/16/16	J		
679. --Illumina Inc		None	J	T	Buy	09/16/16	J		
680.					Buy (add'l)	10/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. --Imax Corp	A	Dividend	J	T	Buy	09/16/16	J		
682.					Sold (part)	12/20/16	J	A	
683. --Impax Laboratories Inc		None			Sold	09/16/16	J	A	
684. --Ingersoll-Rand PLC		None			Sold	09/16/16	J	D	
685. --Interpublic Group of Cos Inc		None			Sold (part)	06/07/16	J	A	
686.					Sold (part)	06/08/16	J	B	
687.					Sold	09/16/16	J	D	
688. --Intuitive Surgical Inc		None	J	T	Buy	09/16/16	J		
689.					Buy (add'l)	11/17/16	J		
690. --Johnson & Johnson	A	Dividend	J	T	Buy	09/16/16	J		
691. --JP Morgan Chase	A	Dividend	J	T	Sold (part)	09/16/16	J	B	
692. --Kimberly Clark Corp		None	J	T	Buy	09/16/16	J		
693. --Koninklijke Phil El Sp Adr New		None			Sold	09/16/16	J	A	
694. --LKQ Corporation		None	J	T	Buy	09/16/16	J		
695. --Lockheed Martin Corp	A	Dividend	J	T	Buy	09/16/16	J		
696. --Loews Corp		None			Sold (part)	01/20/16	J		
697.					Sold (part)	05/02/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold	05/06/16	J	A	
699. --Lyondellbasell Nv Cl-A	A	Dividend	J	T	Buy	09/16/16	J		
700. --Markel Corp		None	J	T	Buy	09/16/16	J		
701. --Maxim Integrated Products Inc	A	Dividend	J	T	Buy	09/16/16	J		
702. --Medtronic PLC Shs		None	J	T	Buy	09/16/16	J		
703. --Merck & Co Inc New Com		None	J	T	Buy	09/16/16	J		
704.					Sold (part)	11/30/16	J		
705. --Metro AG Unspn ADR		None			Sold (part)	07/22/16	J		
706.					Sold (part)	07/25/16	J		
707.					Sold (part)	07/29/16	J		
708.					Sold	08/09/16	J		
709. --Mitel Networks Corp		None			Sold	09/16/16	J		
710. --Microsoft Corp	A	Dividend	K	T	Buy	09/16/16	J		
711. --Moody's Corp	A	Dividend	J	T	Buy	09/16/16	J		
712. --Nextera Energy Inc.	A	Dividend	J	T	Buy	09/16/16	J		
713. --Occidental Petroleum Corp	A	Dividend	J	T	Sold (part)	09/16/16	J		
714. --Oracle Corp		None			Sold	09/16/16	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. --Orkla ADR A Shs		None			Sold (part)	01/26/16	J		
716.					Sold (part)	02/19/16	J	A	
717.					Sold (part)	05/10/16	J	A	
718.					Sold	09/16/16	J	B	
719. --Paccar Inc		None			Sold (part)	02/26/16	J	A	
720.					Sold (part)	03/01/16	J	A	
721.					Sold (part)	03/08/16	J	A	
722.					Sold (part)	03/09/16	J	A	
723.					Sold (part)	03/11/16	J	A	
724.					Sold	03/14/16	J	A	
725. --Paramount Group Inc		None			Sold	09/16/16	J		
726. --Paychex Inc		None	J	T	Buy	09/16/16	J		
727.					Sold (part)	10/24/16	J		
728. --Pennymac Mortgage Investment		None			Sold (part)	08/05/16	J		
729.					Sold	09/16/16	J		
730. --Pepsico Inc Nc		None	J	T	Buy	09/16/16	J		
731.					Buy (add'l)	10/24/16	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. --Pfizer Inc	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
733.					Sold (part)	06/07/16	J	B	
734. --Philip Morris Int Inc		None	J	T	Buy	09/16/16	J		
735. --Phillips 66 Com		None			Sold	09/16/16	J	B	
736. --Pnc Finl Svc Gp		None	J	T	Buy	09/16/16	J		
737. --Pra Group Inc		None			Buy	09/16/16	J		
738.					Sold (part)	10/21/16	J		
739.					Sold (part)	12/23/16	J	A	
740.					Sold	12/29/16	J	A	
741. --Pros Holdg Inc		None	J	T	Buy	09/16/16	J		
742. --Public Storage	A	Dividend	J	T	Buy	09/16/16	J		
743. --Qualcomm Inc	A	Dividend	J	T	Buy	09/16/16	J		
744. --Realty Income Corp	A	Dividend	J	T	Buy	09/16/16	J		
745. --Red Hat Inc		None	J	T	Buy	09/16/16	J		
746. --Redwood Trust Inc		None			Sold (part)	08/05/16	J	A	
747.					Sold	09/16/16	J		
748. --Reinsurance Group of America		None			Sold (part)	08/26/16	J	C	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold (part)	08/31/16	J	B	
750.					Sold	09/16/16	J	D	
751. --Roper Technologies, Inc		None	K	T	Buy	09/16/16	K		
752. --Royal Dutch Shell Plc		None			Sold	02/05/16	J		
753. --Sagent Pharmaceuticals Inc		None			Buy	06/06/16	J		
754.					Sold (part)	07/12/16	J	A	
755.					Sold (part)	07/27/16	J	B	
756.					Sold (part)	07/28/16	J	A	
757.					Sold	08/01/16	J	A	
758. --Sanofi ADR		None			Sold (part)	05/10/16	J		
759.					Sold (part)	05/11/16	J		
760.					Sold	05/12/16	J		
761. --SBA Communications Corp		None	J	T	Buy	09/16/16	J		
762.					Buy (add'l)	10/14/16	J		
763. --SEI Investments Co		None	J	T	Buy	09/16/16	J		
764. --Signet Jewelers Limited	A	Dividend	J	T	Buy	09/16/16	J		
765. --Southwest Airlines		None			Buy	06/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Sold	09/16/16	J		
767. --Spectra Energy Corp Com	A	Dividend	J	T	Buy	09/16/16	J		
768.					Sold (part)	10/24/16	J		
769. --Stericycle Inc		None	J	T	Buy	09/16/16	J		
770.					Sold (part)	10/28/16	J		
771.					Buy (add'l)	12/27/16	J		
772. --Steris PLC	A	Dividend	J	T	Buy	10/27/16	J		
773.					Buy (add'l)	12/16/16	J		
774. --Suncor Energy Inc New Com		None			Sold	09/16/16	J		
775. --Symantec Corp		None			Buy	08/02/16	J		
776.					Sold	09/16/16	J	B	
777. --T Rowe Price Group Inc	A	Dividend	J	T	Buy	09/16/16	J		
778. --Target Corporation	A	Dividend	J	T	Sold (part)	03/02/16	J	B	
779.					Sold (part)	09/16/16	J	A	
780. --Teradyne Inc		None			Sold (part)	01/12/16	J	A	
781.					Sold (part)	01/14/16	J	A	
782.					Sold	09/16/16	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. --Terex Cp New Del		None			Sold (part)	01/27/16	J		
784.					Sold	09/16/16	J	A	
785. --Teva Pharmaceuticals		None			Sold	09/16/16	J	B	
786. --Texas Instruments		None	J	T	Buy	09/16/16	J		
787. --Transdigm Group Inc		None	J	T	Buy	09/16/16	J		
788. --Trimble Inc		None	J	T	Buy	09/16/16	J		
789. --Tyler Technologies Inc	A	Dividend	J	T	Buy	09/16/16	J		
790.					Buy (add'l)	11/18/16	J		
791.					Buy (add'l)	12/01/16	J		
792. --Unumprovident Corp		None			Sold (part)	05/18/16	J	B	
793.					Sold	09/16/16	K	D	
794. --Ventas Inc	A	Dividend	J	T	Buy	09/16/16	J		
795. --Verifone Systems Inc		None			Sold	09/16/16	J		
796. --Verisk Analytics Inc Com		None	K	T	Buy	09/16/16	J		
797. --Viacom Inc		None			Sold	09/16/16	J		
798. --Wabtec		None	J	T	Buy	09/16/16	J		
799. --Wageworks Inc Com		None	J	T	Buy	09/16/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Sold (part)	11/18/16	J	A	
801. --Wal Mart Stores Inc		None			Sold (part)	06/07/16	J		
802.					Sold	09/07/16	J		
803. --Waste Connections Inc	A	Dividend	J	T	Buy	09/16/16	J		
804.					Buy (add'l)	10/13/16	J		
805. --WEC Energy Group Inc Com	A	Dividend	J	T	Buy	09/16/16	J		
806. TRUST #6 (MS Brokerage Account) (H)	E	Int./Div.	O	T					
807. --MS Bank Account (formerly listed as MS Liquid Asset Fund)	A	Interest	J	T					
808. --Common Stocks:									
809. --Abbot Laboratories	A	Dividend	K	T	Buy	06/22/16	J		
810. --Abbvie Inc Com	B	Dividend	K	T					
811. --Adient Plc Com		None			Buy	10/28/16	J		
812.					Sold	11/08/16	J		
813. --American Water Works Co		None			Sold (part)	03/23/16	J	D	
814.					Sold	03/28/16	J	C	
815. --Ares Capital Corp		None			Sold	02/18/16	J		
816. --AT&T	B	Dividend	K	T	Buy (add'l)	01/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. --BB&T Corp	A	Dividend	K	T	Buy	10/14/16	J		
818.					Buy (add'l)	10/19/16	J		
819. --BCE Inc	A	Dividend	J	T					
820. --BK Montreal	A	Dividend	J	T					
821. --Cisco Sys Inc	B	Dividend	K	T					
822. --CME Group Inc	A	Dividend	K	T					
823. --Coach Inc	A	Dividend	K	T					
824. --Cracker Barrel Old Ctry Store		None	K	T	Buy	10/20/16	J		
825.					Buy (add'l)	10/21/16	J		
826. --Crown Castle Intl Corp	A	Dividend	K	T	Buy	11/17/16	K		
827. --Digital Realty Trust Inc.	A	Dividend	K	T	Sold (part)	02/17/16	J	A	
828.					Sold (part)	07/14/16	J	B	
829. --Domtar Corporation New	A	Dividend	K	T					
830. --Dupont Fabros Technology Inc		None	J	T	Buy	10/18/16	J		
831.					Buy (add'l)	10/19/16	J		
832. --Eaton Corp Plc	A	Dividend	K	T					
833. --Energy Trans Eqty LP		None			Sold	01/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. --Energy Transfer Partners		None			Sold	01/12/16	K		
835. --Enterprise Prod Ptnrs LP	B	Dividend	K	T					
836. --GE	A	Dividend	K	T	Sold (part)	06/27/16	J	C	
837. --Genesis Energy LP Com	A	Dividend	K	T	Buy	07/14/16	K		
838. --Glaxosmithkline PLC	B	Dividend	K	T	Buy (add'l)	02/26/16	J		
839. --HCP Inc		None			Sold	06/27/16	J	A	
840. --Host Hotel & Resorts Inc		None			Sold	06/27/16	J		
841. --Intl Business Machines Corp	A	Dividend	K	T	Buy	07/20/16	K		
842. --John Ctrl		None			Buy	05/16/16	K		
843.					Sold	09/06/16	K	A	
844. --Johnson & Johnson	A	Dividend	K	T					
845. --Johnson Ctls Intl Plc		None	K	T	Buy	09/06/16	K		
846. --L Brands Inc Com	A	Dividend	J	T	Buy	02/10/16	K		
847. --Lamar Advertising Co	A	Dividend	K	T	Sold (part)	02/17/16	J	A	
848.					Sold (part)	03/28/16	J	B	
849. --Lyondellbasell Nv	A	Dividend	K	T					
850. --Magellan Midstream Partners LP	B	Dividend	K	T	Buy (add'l)	01/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. --Maxim Integrated Products Inc		None			Sold	02/03/16	J	B	
852. --Merck & Co	A	Dividend	K	T					
853. --Microchip Technology Inc	A	Dividend	K	T					
854. --Microsoft Corp		None			Sold (part)	02/03/16	J	B	
855.					Sold (part)	07/08/16	J	B	
856.					Sold	07/19/16	K	D	
857. --MPLX Lp Unit Rep Ltd	B	Dividend	K	T	Buy (add'l)	01/12/16	J		
858. --Natl Grid Transco		None			Sold	02/03/16	K	D	
859. --Nisource Inc		None			Sold	02/02/16	J	D	
860. --Novartis Ag Adr	A	Dividend	J	T					
861. --Omega Healthcare Inv Inc	A	Dividend	J	T					
862. --Pacwest Bancorp	A	Dividend	J	T	Buy	03/28/16	J		
863. --Pfizer Inc	B	Dividend	K	T	Buy (add'l)	03/28/16	J		
864. --Plains All American Piplin		None			Sold	05/20/16	J		
865. --Proctor & Gamble	A	Dividend	K	T	Sold (part)	10/20/16	J	A	
866. --Qualcomm Inc	A	Dividend	K	T	Buy	02/17/16	K		
867.					Buy (add'l)	02/24/16	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868.					Sold (part)	11/17/16	J	B	
869. --Seagate Technology PLC		None			Sold	02/03/16	J		
870. --Senior Hsg Ppty Tr		None			Sold	07/26/16	J		
871. --Spectra Energy Corp	A	Dividend	K	T	Buy (add'l)	01/12/16	J		
872. --Stmicroelectronics Nv		None			Sold (part)	04/04/16	J		
873.					Sold	04/05/16	J		
874. --The J.M. Smucker Company		None			Sold (part)	07/08/16	J	C	
875.					Sold	07/14/16	K	C	
876. --Toronto Dom Bk New	A	Dividend	K	T	Buy (add'l)	02/26/16	J		
877. --United Parcel Ser Inc Cl-B	A	Dividend	K	T	Buy	02/03/16	J		
878. --Verizon Communications	A	Dividend	J	T					
879. --Viacom Inc New Class B		None			Buy	07/08/16	K		
880.					Sold	09/13/16	J		
881. --Vodafone GP PLC	B	Dividend	K	T	Buy (add'l)	09/20/16	J		
882. --Wells Fargo & Co New		None			Buy	03/21/16	K		
883.					Sold	10/10/16	J		
884. --Western Digital Corporation	A	Dividend	K	T	Buy	07/08/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. --Weyerhaeuser Co	B	Dividend	K	T	Sold (part)	07/08/16	J		
886. --Williams Co Inc		None			Sold	02/26/16	J		
887. TRUST #7 (MS Brokerage Account) (H)									
888. --MS Bank Account	A	Interest	K	T					
889. --Common Stocks									
890. --Bank of America Corp		None			Sold	09/16/16	J		
891. --Ford Motor Co New	B	Dividend	K	T					
892. --Gilead Science	A	Dividend	J	T					
893. --Home Depot Inc	A	Dividend	J	T					
894. --Lowe's Companies Inc	A	Dividend	J	T					
895. --Mobileye N.V.		None	J	T					
896. --Wells Fargo & Co New	A	Dividend	K	T	Buy	09/13/16	K		
897. --Preferred Stocks									
898. --Citigroup Inc 6.875%	B	Dividend	K	T					
899. --Fifth Third Bancorp 6.625%-I	B	Dividend	K	T					
900. --Wells Fargo & Company 6.625%	B	Dividend	K	T					
901. --Corporate Bonds:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. --Bank of America 6.1%	B	Interest	L	T	Buy	06/16/16	L		
903. --Citigroup Inc 5.95%	B	Interest	L	T	Buy	05/12/16	L		
904.					Buy (add'l)	09/14/16	K		
905. --Etrade Financial Corp 5.875%	A	Interest	K	T	Buy	09/14/16	K		
906. --JP Morgan Chase & Co 6.12% Fixed to Float 4/30/2024	C	Interest	K	T					
907. --Morgan Stanley (-CE3)(Y)									
908. --Barclays Bank PLC		None			Matured	09/22/16	K	B	
909. --Marathon Oil Corp	A	Interest	J	T					
910. --General Electric Co	C	Interest	L	T					
911. --Goldman Sachs Group Inc	A	Interest	J	T					
912. --AT&T Inc	A	Interest	J	T					
913. --Verizon Communications	A	Interest	J	T					
914. --Mondelez International	A	Interest	J	T					
915. --Frontier Communications	B	Interest	K	T					
916. --Boeing Co	A	Interest	J	T					
917. --CVS Caremark Corp		None			Sold	07/27/16	J	A	
918. --Indiana Michigan Power	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. --Lorillard Tobacco Co	B	Interest	K	T					
920. --Altria Group Inc	A	Interest	J	T					
921. --Barclays Bank PLC	B	Interest	K	T					
922. --General Elec Cap Corp	B	Interest	K	T					
923. --Goldman Sachs Group Inc	B	Interest	K	T					
924. --Hewlett Packard Co	B	Interest	K	T					
925. --Other Fixed Income:									
926. --Countrywide Alternative Loan Trust	A	Interest	J	T					
927. --JP Morgan Mortgage Trust (-AE0)	A	Interest	J	T					
928. --Government Securities:									
929. --FNMA 09-19 TD	A	Interest	J	T					
930. --FHR 3249 CB	A	Interest	J	T					
931. --Mutual Funds:									
932. --Blackrock Strat Inc Opport		None			Sold	09/13/16	J		
933. --Franklin Income C		None			Sold	11/29/16	L		
934. --Highland Fltg Rate Opport C	B	Dividend	K	T					
935. --Invesco Equal Wghtd S&P 500 C		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. --Oppenheimer SR Floating Rate	C	Dividend	M	T					
937. --Principal GLB Divers Inc	D	Dividend	L	T	Distributed (part)	12/07/16	J		
938. --Templeton Global Bond Fd		None			Sold	09/13/16	L		
939. -Equity Trusts									
940. --Unit First Trust Target Global Dividend Leaders 1Q 2016	A	Dividend	L	T	Buy	03/01/16	L		
941. --Unit First Trust Target Global Dividend Leaders 3rd Atr 16	B	Dividend	K	T	Buy	08/12/16	K		
942. --Unit First Trust Target Global Dividend Leaders 1st Qtr 15		None			Sold	03/01/16	L		
943. --Unit First Trust Target Global Dividend Leaders 3rd Qtr 15		None			Sold	08/12/16	K	B	
944. -AIP Gbl L/S P Hedge Fund		None	J	W	Sold (part)	03/31/16	M		
945. TRUST #8 (MS Brokerage Account) (H)		None	L	T					
946. -MS Bank Account	A	Interest	K	T	Open	12/05/16	J		
947. -Wells Fargo & Co New Common Stock	A	Dividend	K	T	Buy	03/21/16	K		
948. -Deutsche GLB Infrastructure C Mutual Fund	A	Dividend	K	T	Buy	12/07/16	K		
949. -John Hancock Intl Growth C Mutual Fund		None			Buy	06/30/16	K		
950.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 88. Prior reports listed both a Morgan Stanley Bank Account and a Money Market Fund for Trust 3 (2015, lines 61 and 262). There is actually only a bank account, no money market fund.

Part VII, line 401. Hospira, Inc. was acquired by Pfizer on September 3, 2015, a transaction inadvertently omitted on last year's report.

Part VII, line 907. This Morgan Stanley bond matured on 4/28/15 (Value Code K, Gain None), a transaction inadvertently omitted on last year's report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Janis L. Sammartino**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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