

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mensah, Shirley P.	2. Court or Organization United States District Court, Eastern District of Missouri	3. Date of Report 01/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/09/2012
7. Chambers or Office Address Thomas F. Eagleton Courthouse 111 South 10th Street St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	Husch Blackwell, LLP
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Husch Blackwell, LLP, partner compensation	\$161,204.32
2. 2011	Husch Blackwell, LLP, partner compensation	\$306,112.00
3. 2010	Husch Blackwell, LLP, partner compensation	\$329,075.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Pfizer - salary
2. 2011	Pfizer - salary
3. 2010	Pfizer - salary
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	New City School	Tuition	J
2.	United States Department of Education	Student Loan	L
3.	Bank of America	Mortgage on Rental Property#1 (St. Louis, Missouri)	M
4.	Bank of America	Mortgage on Rental Property #2 St. Louis, Missouri)	L
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking	A	Interest	J	T	Exempt				
2. First Community - Checking	B	Interest	K	T	Exempt				
3. ING Direct - Savings	B	Interest	L	T	Exempt				
4. Emigrant Direct - Savings	A	Interest			Exempt				
5. Husch Blackwell 401K - Vanguard Funds		None	M	T	Exempt				
6. ----Vanguard FTSE All-World ex-US Index F									
7. ---Vanguard Institutional Index Fund									
8. ----Vanguard Mid Cap Index Fund Instl									
9. ---Vanguard REIT Index Fund Signal									
10. ----Vanguard Small Cap Index Fund									
11. ---Vanguard Target Retirement 2025									
12. ---Vanguard Total Bnd Mrkt Index Instl									
13. Brokerage Account #1 (Merrill Lynch)									
14. -- PFE	C	Int./Div.			Exempt				
15. IRA #1	E	Int./Div.	N	T	Exempt				
16. -- First Trust Exchange Traded Fund II Health									
17. -- FT NASDAQ Tech DVD Index Fund									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

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18. --ISHARES Trust Russell 2000 Value Index Fund									
19. -- Invesco Balanced Risk Allocation Fund									
20. --Vanguard Total Stock Market									
21. --Blackrock Equity Dividend Fund A									
22. --Federated Kaufmann LG Cap Fund Class A									
23. --Goldman Sachs Rising Dividend Growth Fund									
24. --Goldman Sachs Small Mid Cap Growth Fund class A									
25. -- Oppenheimer Dev. Markets Fund Class A									
26. -- Putnam Equity Spectrum Fund Class A									
27. -- Delaware Diversified Income Fund, Class A									
28. -- Double Line Core Fixed Income Fund Class N									
29. --Federated Strategic Income Fund Class A									
30. -- Fidelity Advisor Strategic Income Fund Class A									
31. --Franklin Templeton Global Bond Fund Class A									
32. -- Goldman Sachs Emerging Markets Fund									
33. -- Loomis Sayles Investment Grade Bond Fund Class A									
34. -- Blackrock Multi-Asset Income Portfolio Fund class A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -- First Eagle Global Fund Class A									
36. -- Invesco Balanced Risk Allocation Fund A									
37. IRA #2	B	Int./Div.	J	T	Exempt				
38. --Blackrock Equity Divident Fund									
39. -- Blackrock International Opportunities A									
40. -- Federated Kaufmann Lge Cap Fund Class A									
41. -- Goldman Sachs Small Midcap Growth Fund Class A									
42. -- Lord Abbett Value Opportunities Fund Class A									
43. --Oppenheimer Dev. Markets Fund Class A									
44. --Templeton Global Opportunities Fund Class A									
45. -- Delaware Diversified Income Fund Class A									
46. -- Franklin/Templeton Global Bond Fund class A									
47. -- Loomis Sayles Strategic Income Fund Class A									
48. -- Blackrock Global Allocation Fund Inc. A									
49. -- First Eagle Global Fund Class A									
50. -- Ivy Asset Strategy Fund Class A									
51. 529 Plan #1		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. --- Columbia Growth 529 Portfolio Fund Class C									
53. -- Columbia Moderate Growth 529 Portfolio Fund Class C									
54. Trust #1	C	Int./Div.	L	T					
55. -- UBS RMA Money Market Portfolio									
56. --First Trust Exchange Traded Fund II Health Care Alphadex F									
57. -- FT Nasdaq Tech DVD Index Fund ETF									
58. -- Ishares Trust Russell 2000 Value Index Fund									
59. -- Vanguard Total International Stock ETF									
60. -- Vanguard Total Stock Mkt. ETF									
61. -- Blackrock Equity Dividend Fund A									
62. -- Federated Kaufmann Lg Cap Fund Class A									
63. -- Goldman Sachs Rising Dividend Growth Fund Class A									
64. -- Goldman Sachs Small Mid Cap Growth Fund Class A									
65. -- Oppenheimer Dev. Market Fund Class A									
66. -- Putnam Equity Spectrum Fund Class A									
67. -- Delaware Diversified Income Fund Class A									
68. -- Doublin Core Fixed Income Fund Class N									

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69. -- Federated Strategic Income Fund Class A									
70. -- Fidelity Advisor Strategic Income Fund Class A									
71. -- Franklin/Templeton Global Bond Fund Class A									
72. -- Goldman Sachs Emerging Mkt DBT - A									
73. -- Loomis Sayles Investment Grade Bond Fund Class A									
74. -- Blackrock Multi-asset Income Portfolio Fund Class A									
75. -- First Eagle Global Fund Class A									
76. -- Invesco Balanced-Risk Allocation Fund A									
77. Janus Enterprise Fund D Shares	C	Int./Div.	L	T	Exempt				
78. Brokerage Account #2 (Fidelity)									
79. -- PFE		None	K	T	Exempt				
80. --Pfizer 401(k)		None	N	T	Exempt				
81. ---- Large Cap Growth									
82. ----T Rowe Small Cap Stock									
83. ---- Pfizer Stock Fund									
84. ----Dodge & Cox Intl.									
85. ----Opphmr Dev. Mkts.									

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. ----Low Price Stock Fund									
87. ----Mid Cap Stock Fund									
88. ----Ev Large Cap Value									
89. ----Vanguard Target 2030									
90. ---- T Rowe Stable Value									
91. ----Pfizer Stock Match									
92. Brokerage Account #3 (TD Ameritrade)									
93. -- AAP		None	J	T	Exempt				
94. --AFL	A	Dividend	J	T	Exempt				
95. --AGU		None	J	T	Exempt				
96. --AAPL	A	Dividend	K	T	Exempt				
97. --ABC		None			Exempt				
98. --AGU		None	J	T	Exempt				
99. --ARW		None			Exempt				
100. --ASNA		None	J	T	Exempt				
101. --CAR		None			Exempt				
102. --AVT		None			Exempt				

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103. --BAC	A	Dividend	J	T	Exempt				
104. --BBBY		None	J	T	Exempt				
105. -- CELL		None			Exempt				
106. ---BT	A	Dividend	J	T	Exempt				
107. --CA	A	Dividend	J	T	Exempt				
108. --CACI		None	J	T	Exempt				
109. --CASC	A	Dividend	J	T	Exempt				
110. --CLS		None	J	T	Exempt				
111. --CF		None	J	T	Exempt				
112. --CIM	A	Dividend	J	T	Exempt				
113. --CI		None			Exempt				
114. --CRUS		None	J	T	Exempt				
115. --CLF		None			Exempt				
116. --CNH	A	Dividend	J	T	Exempt				
117. --CMCSK		None	J	T	Exempt				
118. --CCK		None			Exempt				
119. --DECK		None			Exempt				

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120. --DFS		None	J	T	Exempt				
121. --DISH	A	Dividend	J	T	Exempt				
122. --XOM	A	Dividend	J	T	Exempt				
123. --EZPW		None			Exempt				
124. --GS	A	Dividend	J	T	Exempt				
125. --GT		None			Exempt				
126. --GOOG		None	J	T	Exempt				
127. --HUN	A	Dividend	J	T	Exempt				
128. --INCY		None	J	T	Exempt				
129. --IMKTA		None			Exempt				
130. --INTC	A	Dividend	J	T	Exempt				
131. --KELYA		None			Exempt				
132. --KRO	A	Dividend	J	T	Exempt				
133. --LH		None	J	T	Exempt				
134. --MGA	A	Dividend	J	T	Exempt				
135. --MSFT	A	Dividend	J	T	Exempt				
136. --MIICF	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Mensah, Shirley P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --NP	A	Dividend	J	T	Exempt				
138. --ONTY		None			Exempt				
139. --SBH		None			Exempt				
140. --STX	A	Dividend	J	T	Exempt				
141. --SEM	A	Dividend	J	T	Exempt				
142. --SFD		None			Exempt				
143. --SMP		None			Exempt				
144. --TKR	A	Dividend	J	T	Exempt				
145. --UHS	A	Dividend	J	T	Exempt				
146. --LCC		None	J		Exempt				
147. Rental Property #1(Loft St. Louis, Mo) (comparable units)	E	Rent	N	V	Exempt				
148. Rental Property #2 (Condo, St. Louis, MO) (comparable units)	E	Rent	M	V	Exempt				
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- In 2012, all of the assets contained in Brokerage Account #1 (Merrill Lynch) were transferred to Fidelity (Brokerage Account #2). Although the Fidelity Account contains the same investments, Filer did not reflect the gains earned while the accounts were at Merrill, in the Fidelity Account. Part VII, Lines 147-148 reflect the current asking/sale price of comparable units in the area of each unit.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shirley P. Mensah

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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