

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gropper, Allan L.	2. Court or Organization US Bankruptcy Court	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address US Bankruptcy Court, Alexander Hamilton Custom House, 1 Bowling Green, NY, NY 10004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee (to 6/2013); Honorary Trustee (from 6/2013)	The Browning School
2. Treasurer and Member of Executive Committee	Association of the Bar of the City of New York
3. Treasurer and Member, Board of Directors	Association of the Bar of the City of New York Fund, Inc.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/00	White & Case LLP Retirement Income Plans (former law firm; no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Fordham Law School (teaching)	\$5,000.00
2. 2013	Retirement income from White & Case LLP Retirement Income Plan	\$41,517.00
3. 2013	INSOL International (teaching)	\$2,828.00
4. 2013	White & Case Unfunded Pension Plan	\$98.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Columbia University (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	02/07/2013-02/08/2013	Miami, FL	Seminar and program	Transportation, meals, lodging
2. The National Academies	04/01/2013-04/01/2013	Washington, DC	Seminar and program	Transportation, meal
3. INSOL International	05/17/2013-05/22/2013	The Hague, Netherlands	Seminar and program	Transportation, meals, lodging
4. Turnaround Management Association	10/03/2013-10/04/2013	Washington, DC	Seminar and program	Transportation, meals, lodging
5. Univ. of Pennsylvania Law School	10/07/2013-10/07/2013	Philadelphia, PA	Teaching class	Transportation
6. Bay Area Bankruptcy Forum	10/15/2013-10/16/2013	San Francisco, CA	Seminar and program	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Manhattan Bank Account	A	Interest	J	T					
2. Keybank	A	Interest	M	T					
3. DNG Acquisition Group LLC	D	Distribution	J	U					
4. Sheridan Properties I, LLC		None	J	U					
5. Sheridan Equity Partners		None	J	U					
6. Trust u/w/o [REDACTED]	E	Int./Div.	O	T					
7. -US Treasury Notes									
8. - Allstate Corp. stock									
9. - Exxon Mobil Corp. stock									
10. - General Mills stock									
11. - NYS Environmental Facs Corp. Bond					Redeemed	08/12/13	K	A	
12. - Royal Dutch Petroleum stock									
13. -Tax Exempt Securities Trust									
14. -Vanguard Tax Managed Balanced Fund									
15. - Worldwide Golf Resources stock									
16. - Nassau County Swr Fin Auth Bond									
17. -Vanguard Life Strategy Inc. Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Lingleaf Partners Inc. Fund					Sold (part)	01/24/13	J	B	
19. -Lingleaf Partners Inc. Fund					Sold (part)	02/25/13	J	B	
20. -Lingleaf Partners Inc. Fund					Sold (part)	03/25/13	J	B	
21. -Lingleaf Partners Inc. Fund					Sold (part)	04/24/13	J	B	
22. -Lingleaf Partners Inc. Fund					Sold (part)	05/24/13	J	B	
23. -Lingleaf Partners Inc. Fund					Sold (part)	06/24/13	J	B	
24. -Lingleaf Partners Inc. Fund					Sold (part)	07/24/13	J	B	
25. - Bay Shore NY School Dist Bond					Redeemed	12/26/13	K		
26. - Met. Transp. Auth 5.25% Bond									
27. - NYC Ser C-1 5.25% Bond									
28. - NYC Ser C-1 5.25% Bond									
29. - NYC Ser E 5.0% Bond									
30. - NYC Ser K 4.0% Bond									
31. -Vanguard Index Fund Short Term					Sold	06/06/13	K	A	
32. - Vanguard World Utilities									
33. -Vanguard Whitehall High Dividend Fund									
34. -Vanguard Energy Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -NYC Health Hosp Corp Rfdg Ser A									
36. -Wisconsin St. Rfdg Ser 1									
37. -Tilson Dividend Fund									
38. -PIMCO Unconstrained Bond Fund					Sold	12/16/13	K		
39. -PIMCO All Asset All Authority Fund					Sold (part)	11/13/13	K		
40. -FPA Crescent Portfolio Fund					Buy (add'l)	06/06/13	K		
41. -Vanguard Life Income Fund									
42. -Clarence NY Cent Sch Dist Series B 4.375%									
43. -NYC Ser N 5.0% Bond									
44. -Vanguard REIT Index Fund					Buy	01/31/13	K		
45. -Westchester Co. Bond					Buy	12/17/13	K		
46. -Dutchess Co. Water Auth. Bond					Buy	10/01/13	J		
47. -NYS Dormitory Auth. Revs. St. Bond					Buy	09/27/13	J		
48. --NYS Dormitory Auth Revs. Non-St					Buy	10/23/13	K		
49. -NYS Twy Auth. Second Gen.Hwy					Buy	02/27/13	K		
50. -Rush-Henrietta NY School Dist. Bond					Buy	04/09/13	J		
51. Pershing LLC Fund (FDIC Insured Bank Deposits)	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Exxon Mobil Corp. stock	C	Dividend	M	T					
53. Allstate Corp. stock	A	Dividend	K	T					
54. Brown Forman stock	A	Dividend	K	T					
55. Costco Wholesale Corp stock	A	Dividend	K	T					
56. Johnson & Johnson stock	A	Dividend	K	T					
57. Vanguard Health Care Fund	A	Dividend	K	T					
58. Vanguard Dividend Growth Income Fund	B	Dividend	M	T					
59. Vanguard Tax Managed Small Cap Fund	A	Dividend	J	T					
60. Vanguard Total International Fund	B	Dividend	L	T					
61. Vanguard Index Fund-Short Term	A	Dividend			Sold	06/11/13	K		
62. Vanguard High Yield Corp. Fund	A	Dividend	K	T	Sold (part)	06/11/13	J	A	
63. Vanguard Wellesley Income Fund	A	Dividend	K	T					
64. PIMCO Unconstrained Bond Fund	A	Dividend			Sold	06/11/13	L	B	
65. Amherst NY Rfdg Bond	A	Interest			Redeemed	06/11/13	K	B	
66. NYS Dorm. Auth Fin. Authority Bonds	A	Interest			Redeemed	06/11/13	J	B	
67. Berkshire Hathaway		None	L	T					
68. NYS Twy Auth Ser A 5.0%	B	Interest	K	T					

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69. Port Auth NY&NJ 4.25% Bond	A	Interest	J	T					
70. Port Auth NY&NJ 5.0% Bond	A	Interest	J	T					
71. Charles Schwab IRA	G	Int./Div.	P1	T					
72. -Schwab US Treas. Money Fund									
73. -Blackrock GNMA					Sold (part)	02/14/13	M		
74. -Blackrock GNMA					Sold	05/15/13	N		
75. -Managers Bond Fund					Sold	06/24/13	N	D	
76. -Dodge & Cox Income Fund									
77. -DoubleLine Total Return Bond Fund					Sold (part)	06/07/13	N	D	
78. -FPA New Income Fund					Sold	10/22/13	N		
79. -Federated Strategic Income Fund					Sold (part)	03/15/13	M	D	
80. -Federated Strategic Income Fund					Sold	06/07/13	M	B	
81. -Ivy High Income Fund					Sold (part)	06/21/13	M	D	
82. -Ivy High Income Fund					Buy (add'l)	03/15/13	M		
83. -Oakmark Equity & Income Fund					Sold (part)	06/21/13	M	D	
84. -Oakmark Equity & Income Fund					Buy (add'l)	10/22/13	M		
85. - Vanguard STAR Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Vanguard Wellington Fund									
87. - Vanguard Growth & Income Fund									
88. - Vanguard Explorer Fund									
89. - Vanguard Equity Fund									
90. - Vanguard 500 Index Fund									
91. - Angel Oak Multi-Strategy Income Fund A					Buy	05/15/13	N		
92. - Angel Oak Multi-Strategy Income Fund A					Sold (part)	07/18/13	M		
93. - Angel Oak Multi-Strategy Income Fund A					Buy (add'l)	10/22/13	M		
94. - Gateway Index Plus Fund					Buy	06/07/13	N		
95. - Guggenheim Floating Rate Strategies Fund A					Buy	08/01/13	N		
96. - Invesco Floating Rate Fund C1 A					Buy	07/19/13	N		
97. - T.Rowe Price Capital Appreciation					Buy	09/11/13	N		
98. - T.Rowe Price Capital Appreciation					Buy (add'l)	10/22/13	M		
99. - Westwood Income Opportunity Fund C1 A					Buy	02/14/13	N		
100. NYS 529 College Savings Account (no control)	A	Dividend	J	T					
101. - Mid-Cap Stock Index Portfolio									
102. - Small-Cap Stock Index Portfolio									

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103. -Bond Market Index Portfolio									
104. Columbia Univ SIP	B	Dividend	N	T	Buy addtl	01/31/13	J		
105. Charles Schwab IRA	D	Int./Div.	O	T					
106. - Schwab US Treas. Money Fund									
107. -American High Income Bond Fund					Sold (part)	06/07/13	J	A	
108. -American High Income Bond Fund					Sold (part)	06/21/13	J	A	
109. -American High Income Bond Fund					Sold (part)	06/21/13	J	A	
110. -American High Income Bond Fund					Sold (part)	06/24/13	J	A	
111. -American Funds Capital Income Builder					Sold (part)	06/20/13	J	A	
112. -American Funds Capital Income Builder					Buy (add'l)	10/22/13	J		
113. -Bond Fund of America					Sold (part)	03/11/13	J	B	
114. -Bond Fund of America					Sold	05/29/13	J	A	
115. -Federated Bond Fund					Sold	06/20/13	K	B	
116. -Loomis Sayles Bond Fund					Sold (part)	06/06/13	J	A	
117. -Metropolitan West Total Return Bond Fund									
118. -PIMCO Foreign Bond D									
119. -PIMCO Total Return D					Sold (part)	02/28/13	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -PIMCO Total Return D					Sold	06/03/13	J		
121. -Gateway Index Plus Fund					Sold	04/25/13	K	C	
122. -Rydex Healthcare Fund									
123. -Rydex Leisure Fund					Sold (part)	11/19/13	J	B	
124. -Rydex Transportation Fund					Buy (add'l)	01/31/13	J		
125. -Rydex Transportation Fund					Sold (part)	02/05/13	J	B	
126. -DWS High-Yield Fund									
127. -Cohen & Steers Realty Shares					Sold	05/31/13	J	A	
128. -DoubleLine Total Return Bond Fund					Sold	09/05/13	K		
129. -Permanent Portfolio Fund					Sold (part)	04/16/13	J		
130. -Permanent Portfolio Fund					Sold	05/14/13	J		
131. -Franklin Utilities Series CI A					Sold	06/20/13	J		
132. -Schwab U.S. Broad Market ETF									
133. -iShares Gold Trust					Buy	08/20/13	J		
134. -iShares Gold Trust					Sold	10/14/13	J		
135. -BlackRock Global Allocation					Buy	06/05/13	J		
136. -BlackRock Global Allocation					Sold (part)	06/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gropper, Allan L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Cullen High Dividend Equity Fund Retail					Buy	03/12/13	K		
138. -Cullen High Dividend Equity Fund Retail					Buy (add'l)	03/27/13	K		
139. -Invesco Comstock Fund					Buy	06/04/13	J		
140. -Invesco Comstock Fund					Buy (add'l)	07/09/13	J		
141. -Invesco Comstock Fund					Buy (add'l)	09/11/13	J		
142. -Rydex Consumer Products Fund					Buy	07/22/13	K		
143. -Rydex Consumer Products Fund					Buy (add'l)	08/01/13	J		
144. -Rydex Inverse Gov't Long Bond Fund					Buy	05/29/13	J		
145. -Rydex Inverse Gov't Long Bond Fund					Buy (add'l)	06/20/13	J		
146. -Rydex Inverse Gov't Long Bond Fund					Sold (part)	06/26/13	J	A	
147. -Rydex Inverse Gov't Long Bond Fund					Buy (add'l)	08/01/13	J		
148. -Rydex Inverse Gov't Long Bond Fund					Sold (part)	09/18/13	K	A	
149. -Rydex Inverse Gov't Long Bond Fund					Buy (add'l)	10/15/13	J		
150. -Rydex Inverse Gov't Long Bond Fund					Sold	10/17/13	K		
151. -Rydex Inverse High Yield Strategy H Fund					Buy	02/13/13	K		
152. -Rydex Inverse High Yield Strategy H Fund					Buy (add'l)	06/03/13	J		
153. -Rydex Inverse High Yield Strategy H Fund					Sold	07/18/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Gropper, Allan L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -ProFunds Short Real Estate Fund					Buy	06/06/13	J		
155. -ProFunds Short Real Estate Fund					Buy (add'l)	06/20/13	J		
156. -ProFunds Short Real Estate Fund					Sold (part)	06/27/13	J	A	
157. -ProFunds Short Real Estate Fund					Sold (part)	07/11/13	K		
158. -ProFunds Short Real Estate Fund					Buy (add'l)	08/21/13	J		
159. -ProFunds Short Real Estate Fund					Sold (part)	09/18/13	J		
160. -ProFunds Short Real Estate Fund					Buy (add'l)	11/07/13	K		
161. -T. Rowe Price Capital Appreciation Fund					Buy	04/25/13	K		
162. -Vanguard Emerging Market Fund					Buy	10/24/13	K		
163. -Vanguard Emerging Market Fund					Buy (add'l)	11/18/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Gropper, Allan L.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 104 These are monthly contributions to a SIP account by automatic deductions from a paycheck. No further information is available.

Part VII, Line 131 In my 2012 report, line 144 (Franklin Utilities Series C1 A) showed "Sold." The entry should have shown "Sold (part)".

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Allan L. Gropper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544