

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization U.S.DISTRICT COURT OF AZ	3. Date of Report 07/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE SENIOR STATUS-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 W WASHINGTON ST, SPC 60 PHOENIX, AZ 85003-2158		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2.	MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION
3.	MEMBER	UNIVERSITY OF CINCINNATI ALUMNI BOARD OF DIRECTORS HOUSING COMMITTEE
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	STATE OF ARIZONA, 2010 INCOME TAX REFUND	\$5,093.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	ST LUKES HEALTH INITIATIVE - SALARY
2. 2011	HEALTH CARE EXCEL - BOARD MEMBER
3. 2011	HCE QUALITYQUEST - BOARD MEMBER
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA, US BANK, PHOENIX, AZ -CD	A	Interest	J	T					
2. IRA, US BANK PHOENIX, AZ-CD	A	Interest	J	T					
3. MERRILL LYNCH-IRA#1:									
4. -FIA CARD SVCS	A	Dividend	J	T					
5. -CD MORGAN STANLEY	A	Interest	J	T					
6. -AMERICAN CAPITAL WORLD BOND FUND CL F	A	Dividend	J	T					
7. -AMERICAN HIGH INCOME TRUST CL F	A	Dividend	J	T					
8. -ISHARES IBOXX CORP BOND FUND	A	Dividend	J	T					
9. -ISHARES BARCLAYS AGENCY BOND FUND	A	Dividend	J	T					
10. MERRILL LYNCH-IRA#2									
11. -FIA CARD SERVICES	A	Dividend	K	T					
12. -CURRENCY SHARES AUSTRALIAN	A	Interest			Sold (part)	01/27/11	J	A	
13.					Buy (add'l)	02/25/11	J		
14.					Sold (part)	03/31/11	J	A	
15.					Buy (add'l)	06/27/11	J		
16.					Sold (part)	09/28/11	J	A	
17.					Buy (add'l)	11/15/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	12/06/11	J	A	
19. -VANGUARD EMERGING MARKETS	A	Dividend	J	T					
20. -VANGUARD SMALL CAP	A	Dividend			Sold	10/11/11	J	A	
21. -VANGUARD SMALL CAP GROWTH	A	Dividend			Sold	10/11/11	J	A	
22. -POWERSHARES EXCHANGE PTH	A	Dividend			Sold	01/27/11	J	A	
23. -POWERSHARES EXCHANGE PXI	A	Dividend	K	T	Sold (part)	01/27/11	J	A	
24.					Buy (add'l)	06/29/11	K		
25.					Sold (part)	08/17/11	J	A	
26.					Sold (part)	11/15/11	J	A	
27. -POWERSHARES EXCHANGE PSL	A	Dividend			Sold	01/27/11	J	A	
28. -POWERSHARES EXCHANGE PYZ	A	Dividend			Sold	01/27/11	J	A	
29. -POWERSHARES EXCHANGE PEZ	A	Dividend			Sold	01/27/11	J	A	
30. -POWERSHARES DYN OIL AND GAS	A	Dividend			Sold (part)	01/27/11	J	A	
31.					Buy (add'l)	08/17/11	K		
32.					Sold	09/28/11	J	A	
33. -POWERSHARES ETF TR DYNAMIC SOFTWARE PORT	A	Dividend			Buy (add'l)	01/27/11	J		
34.					Sold	08/17/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -POWERSHARES ETF TR DYNAMIC SEMICONDUCTOR	A	Dividend			Sold	01/27/11	J	A	
36. -POWERSHARES ETF DYNAMIC LEISURE & ENT PORTFOLIO	A	Dividend	K	T	Buy (add'l)	01/27/11	J		
37.					Sold (part)	08/17/11	J	A	
38. -POWERSHARES ETF TR DYNAMIC MEDIA PORTFOLIO	A	Dividend			Sold	08/17/11	J	A	
39. -POWERSHARES ETF TR DYNAMIC NETWORKING PORTFOLIO	A	Dividend	K	T	Buy (add'l)	01/27/11	J		
40.					Sold (part)	11/15/11	J	A	
41. -POWERSHARES ETF DYNAMIC FOOD AND BEVERAGE PORTFOLIO	A	Dividend	K	T	Sold (part)	01/27/11	J	A	
42.					Buy (add'l)	09/28/11	K		
43. -POWERSHARES ETF DYNAMIC BIOTECH AND GENO	A	Dividend			Sold	01/27/11	J	A	
44. -POWERSHARES ETF TR DYNAMIC RETAIL PORTFOLIO	A	Dividend			Sold	01/27/11	J	A	
45. -POWERSHARES ETF TR DYNAMIC ENERGY EXPL	A	Dividend			Sold	01/27/11	J	A	
46. -VANGUARD MID CAP VAL	A	Dividend	J	T					
47. -CURRENCY SHARES CANADA	A	Int./Div.			Sold	01/05/11	J	A	
48. -SPDR INDEX SHS IRV	A	Dividend			Sold	10/11/11	J	A	
49. -SPDR INDEX SHS IPD	A	Dividend	J	T					
50. -POWERSHARES EXCHANGE PTJ	A	Dividend			Closed	01/01/11	J	A	
51. -SPDR INDEX SHS IPN	A	Dividend	J	T					

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52. -POWERSHARES EXCHANGE PRN	A	Dividend			Sold	01/27/11	J	A	
53. -CURRENCY SHARES BR	A	Int./Div.			Sold	01/05/11	J	A	
54. -POWERSHARES ETF DYNAMIC PHARM PORTFOL	A	Dividend	K	T	Sold (part)	01/27/11	J	A	
55.					Buy (add'l)	08/17/11	K		
56.					Sold (part)	09/28/11	J	A	
57. -CURRENCY SHARES SWISS FRANC	A	Interest			Buy	01/05/11	J		
58.					Sold (part)	02/25/11	J	A	
59.					Buy (add'l)	03/31/11	J		
60.					Sold (part)	06/29/11	J	A	
61.					Sold (part)	08/17/11	J	A	
62.					Sold	09/28/11	J	A	
63. -POWERSHARES WILDERHILL PROGRESSIVE ENERGY PORT	A	Dividend			Buy	01/27/11	K		
64.					Sold	06/29/11	K	B	
65. -CURRENCY SHARES SWEEDISH KRONA	A	Interest			Buy	01/27/11	J		
66.					Sold	06/29/11	J	A	
67. -POWERSHARES NASDAQ INT PNQI	A	Dividend	K	T	Buy	03/31/11	K		
68.					Sold (part)	08/17/11	J	A	

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69. -POWERSHARES GLOBAL COAL	A	Dividend			Buy	03/31/11	K		
70.					Buy (add'l)	06/29/11	J		
71.					Sold (part)	08/17/11	J	A	
72.					Sold	09/28/11	J	A	
73. -POWERSHARES EXCHANGE TRAD FD KBW HIGH DIVID YIELD	A	Dividend	K	T	Buy	09/28/11	J		
74. -CURRENCY SHARES JAPANESE YEN	A	Interest			Buy	09/28/11	J		
75.					Sold	11/15/11	J	A	
76.					Buy	12/06/11	J		
77. -SPDR INDES SHS FDS IPS	A	Dividend	J	T	Buy	10/11/11	J		
78. -VANGUARD GROWTH ETF	A	Dividend	J	T	Buy	10/11/11	J		
79. -VANGUARD LARGE CAP	A	Dividend	J	T	Buy	10/11/11	J		
80. MERRILL LYNCH-IRRA #1:									
81. -FIA CARD SVCE	A	Dividend	J	T					
82. -CD FIRST NATL BK	A	Interest			Redeemed	01/15/11	J	A	
83. -CD COWLITZ BK	A	Interest			Redeemed	01/15/11	J	A	
84. -EATON VANCE FLOATING	A	Dividend	J	T					
85. -CD BLOOMFIELD STATE BANK	A	Interest	J	T					

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86. -ISHARES IBOXX	A	Dividend	J	T					
87. -ISHARES BARCLAYS AGENCY	A	Dividend	J	T					
88. -PIMCO FOREIGN BOND	A	Interest	J	T					
89. -SPDR GOLD TRUST		None	J	T					
90. MERRILL LYNCH CMA BANKING ADVANTAGE ACCOUNT	A	Interest	J	T					
91. IBM COMMON STOCK	A	Dividend	J	T					
92. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
93. WELLS FARGO BANK, CKG ACCOUNT, PHX, AZ		None	J	T					
94. FAIRFIELD ESTATES FLAGSTAFF		None	J	W					
95. MARRIOT CANYON VILLAS PHOENIX		None	J	W					
96. ST LUKES HEALTH 403(B)7:									
97. -VANGUARD 500 INDEX FD	B	Dividend	M	T	Buy	01/01/11	K		
98. US BANK CHECKING ACCOUNT	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII LINES 96 & 97 - VESTED PARTICIPANT 01/01 ST. LUKES 403(B) PLAN WITH REGULAR MONTHLY CONTRIBUTIONS

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544