

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dow, Jr., Robert M.	2. Court or Organization U.S. District Court, Northern District of Illinois	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge -- Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address E.M. Dirksen U.S. Courthouse 219 South Dearborn Street, Suite 1978 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Arthur J. Schmitt Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Bailey & Glasser LLP -- salary as attorney at law firm

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	credit card	K
2.	Target	credit card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northern Trust Bank accounts	A	Interest	J	T					
2. Northern Trust Bank IRA (CD)	A	Interest	J	T					
3. Prudential Individual Retirement Annuity									
4. -- AST Academic Strategies Asset Allocation		None	L	T	Sold (part)	02/23/11	K		
5.					Sold (part)	03/16/11	K		
6.					Buy (add'l)	04/26/11	K		
7.					Sold (part)	05/23/11	K		
8.					Sold (part)	06/17/11	K		
9.					Sold (part)	08/08/11	K		
10.					Buy (add'l)	08/09/11	K		
11.					Buy (add'l)	08/17/11	K		
12.					Sold (part)	08/19/11	K		
13.					Sold (part)	09/29/11	K		
14.					Sold (part)	10/07/11	J		
15.					Buy (add'l)	10/25/11	J		
16.					Buy (add'l)	11/01/11	J		
17.					Sold (part)	11/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/07/11	J		
19.					Sold (part)	12/23/11	J		
20.	-- AST First Trust Cap Appr Target Portfolio	None	M	T	Sold (part)	02/23/11	M		
21.					Sold (part)	03/16/11	L		
22.					Buy (add'l)	04/26/11	L		
23.					Sold (part)	05/23/11	L		
24.					Sold (part)	06/17/11	L		
25.					Sold (part)	08/08/11	L		
26.					Buy (add'l)	08/09/11	L		
27.					Buy (add'l)	08/17/11	K		
28.					Sold (part)	08/19/11	L		
29.					Sold (part)	09/29/11	K		
30.					Sold (part)	10/07/11	K		
31.					Buy (add'l)	10/25/11	K		
32.					Buy (add'l)	11/01/11	K		
33.					Sold (part)	11/23/11	J		
34.					Buy (add'l)	12/07/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	12/23/11	J		
36. -- AST Bond Portfolio 2020		None			Sold	02/23/11	K		
37. -- AST Bond Portfolio 2021 (X)		None	O	T	Buy	02/23/11	M		
38.					Buy (add'l)	03/16/11	M		
39.					Sold (part)	04/26/11	L		
40.					Buy (add'l)	05/23/11	M		
41.					Buy (add'l)	06/17/11	L		
42.					Buy (add'l)	08/08/11	M		
43.					Sold (part)	08/09/11	L		
44.					Sold (part)	08/17/11	L		
45.					Buy (add'l)	08/19/11	L		
46.					Buy (add'l)	09/29/11	L		
47.					Buy (add'l)	10/07/11	K		
48.					Sold (part)	10/25/11	K		
49.					Sold (part)	11/01/11	K		
50.					Buy (add'l)	11/23/11	L		
51.					Sold (part)	12/07/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Sold (part)	12/23/11	J		
53. Harris Bank accounts	A	Interest	J	T					
54. GCG Mutual Fund									
55. -- American Funds Euro Pacific Growth	A	Dividend	J	T					
56. -- American Funds Fundamental Investors	A	Dividend	J	T					
57. -- American Funds Small Cap World Fund	A	Dividend	J	T					
58. -- American Funds Bond Fund of America	A	Dividend	J	T					
59. -- American Funds Growth Fund of America	A	Dividend	J	T					
60. GCG 529 Fund #1									
61. -- EuroPacific Growth Fund 529A	A	Dividend	J	T					
62. -- American Funds New Economy Fund	A	Dividend	J	T					
63. GCG 529 Fund #2									
64. -- American Funds Capital World G/I 529A	A	Dividend	J	T					
65. -- American Funds Growth Fund of America 529A	A	Dividend	J	T					
66. GCG 529 Fund #3									
67. -- New Perspective Fund 529A	A	Dividend	J	T					
68. -- American Funds Fundamental Investors 529A	A	Dividend	J	T					

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69. GCG Fund #4									
70. -- American Funds SmallCap World Fund 529A	A	Dividend	J	T					
71. -- American Funds Capital Income Builder 529A	A	Dividend	J	T					
72. Podesta HSA Account									
73. -- 1 Shares Dow Jones Select Dividend Index Fund	A	Dividend	J	T					
74. -- 1 Shares TR S&P 500 Index Fund	A	Dividend	J	T	Sold (part)	12/15/11	J	A	
75. First Community Bank Common Stock		None			Donated				
76. Sauk Valley Community Bank Common Stock	A	Dividend	J	T					
77. MSJL, Inc. Common Stock		None	K	T					
78. Minnesota Life: Variable Adjustable Life Insurance	A	Interest	K	T					
79. Travelers: Variable Life Policy	A	Interest	J	T					
80. Northwestern Mutual Life Policy	A	Dividend	J	T					
81. MetLife: Universal Life Policy	A	Interest	J	T					
82. Buffalo Jayhawk China Fund	A	Dividend	J	T	Buy (add'l)	12/22/11	J		
83. Buffalo International Fund #1449	A	Dividend	K	T	Buy (add'l)	12/22/11	J		
84. Buffalo Balanced (Flexible Income) Fund	A	Dividend	K	T	Buy (add'l)	03/22/11	J		
85.					Buy (add'l)	06/22/11	J		

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86.					Buy (add'l)	09/22/11	J		
87.					Buy (add'l)	10/21/11	J		
88.					Buy (add'l)	11/22/11	J		
89.					Buy (add'l)	12/22/11	J		
90. Buffalo Small Cap Fund		None	K	T	Sold (part)	04/14/11	J	C	
91.					Buy (add'l)	12/22/11	J		
92. Buffalo Science & Technology Fund		None	K	T	Sold (part)	04/14/11	J	C	
93.					Buy (add'l)	12/22/11	J		
94. Buffalo Large Cap Fund	A	Dividend	K	T	Buy (add'l)	12/22/11	J		
95. Buffalo USA Growth Fund	A	Dividend	K	T	Sold (part)	04/14/11	J	C	
96.					Buy (add'l)	12/22/11	J		
97. Northern Inst Tax-Exempt		None	J	T					
98. Bailey & Glasser 401k Profit Sharing Plan (X)									
99. -- Vanguard Wellington Admiral	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Lines 3, 54, 60, 63, 66, 69, 72, and 98 are headers for various funds -- the individual holdings of each fund, values, and transactions are reported in the lines below each header.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Dow, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544