

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Creatura, John R.	2. Court or Organization District Court - Western District of Washington	3. Date of Report 08/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address United States Courthouse 1717 Pacific Ave. Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Manager (Family corporation)	JJC & LMC, L.L.C.
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2010	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP retirement payments
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP	\$21,302.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Bank Accounts	A	Interest	L	T					
2. Morgan Stanley Accounts	B	Interest	O	T					
3. American AmCAP A Common	C	Int./Div.	L	T	Buy (add'l)	12/20/16	J		
4. American Europacific Grw A. Mutual fund	C	Int./Div.	N	T	Sold (part)	12/08/16	J	A	
5. American GR Fd of America Mutual Fund	E	Int./Div.	N	T	Sold (part)	12/19/16	M	E	
6. American Smallcap World A	A	Int./Div.	K	T	Buy (add'l)	12/22/16	J		
7. American Wa Mutual A Common	C	Int./Div.	L	T	Buy (add'l)	12/20/16	J		
8. Blackrock Equity Dividend A Common	E	Int./Div.	N	T	Sold (part)	12/19/16	L	D	
9. Calamos Market Neutral Inc A	A	Int./Div.			Sold	04/15/16	J	A	
10. Delaware Small Cap Value A Mutual Fund	A	Int./Div.	J	T					
11. Deutsche Real Estate Secs	D	Int./Div.			Sold	12/19/16	K	A	
12. Dreyfus Standish GLB Fix Inc.	A	Int./Div.	L	T	Buy	12/19/16	L		
13. DWS RREEF Real Estate Sec A Common	C	Int./Div.			Sold	12/22/16	K	C	
14. Fidelity Adv LVGD Company Stk A Common	C	Dividend			Sold	04/15/16	L	E	
15. Franklin Income Adv (X)	D	Int./Div.	L	T					
16. Gabelli Eq Inc. AAA Mutual Fund	D	Dividend	M	T	Buy (add'l)	12/29/16	J		
17. Invesco premier Inst	A	Int./Div.	K	T	Buy	01/01/16	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Invesco Premier Tx Exempt Inst (PEIXX)	A	Dividend	J	T					
19. Isha Curr Hedged MSCI EAFE	A	Dividend	M	T	Buy (add'l)	09/10/16	M		
20. iShares Tips Bond ETF	A	Int./Div.	M	T	Buy	12/19/16	M		
21. JP Morgan Mid Cap Value A Common	D	Dividend	N	T	Buy (add'l)	04/15/16	L		
22. Lazard Emerging Markets Open Common	B	Int./Div.			Sold	12/19/16	J	D	
23. Madison FDS MDCP CI Y	A	Int./Div.	K	T					
24. Metropolitan West TOT RET BD	B	Int./Div.	L	T					
25. MS European Equity Fund B Common	A	Dividend	J	T					
26. MSIF Growth A	C	Int./Div.	K	T	Buy (add'l)	12/19/16	J		
27. Munder Mid Cap Core Growth A Mutual fund	A	Int./Div.			Sold	06/03/16	K	C	
28. Pimco Low Duration FD A Mutual Fund	A	Int./Div.			Sold	06/03/16	J	A	
29. Pimco Total Return A Mutual Fund	D	Int./Div.	N	T	Buy (add'l)	12/19/16	M		
30. Putnam Growth & Income A	A	Dividend	L	T					
31. Royce 100 service Mutual Fund	B	Int./Div.			Sold	04/15/16	J	A	
32. T Rowe Price Group, Inc. Common	A	Dividend	K	T					
33. Templeton Glogal Bd FD A Mutual fund	D	Dividend	M	T					
34. Thornburg Inv Inc Builder A Mutual fund	D	Dividend	N	T	Buy (add'l)	03/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Virtus Multi-Sector S/T Bd A Common	B	Dividend	N	T	Buy (add'l)	12/19/16	L		
36. Vanguard Sm Cap Value ETF (X)	B	Dividend	K	T	Buy (add'l)	04/15/16	K		
37. Vanguard Sm Cap Growth ETF (X)	B	Dividend	K	T	Buy (add'l)	04/15/16	K		
38. Voya Global Real Estate A (X)	A	Dividend	J	T					
39. Mediamax, Inc. Common stock		None	J	W					
40. Trust #1 Exemption Eq. Trust	A	Int./Div.	K	T					
41. Invesco Equal Wghtd S&P 500 Mutual Fund	B	Int./Div.	M	T	Buy (add'l)	12/14/16	J		
42. Invesco Equity and Income A	B	Int./Div.	K	T	Buy (add'l)	12/14/16	J		
43. Invesco Global Healthcare A Mutual Fund	D	Int./Div.	M	T	Buy (add'l)	12/14/16	J		
44. Invesco VK Technology A Mutual Fund	A	Int./Div.	J	T					
45. -Morgan Stanley Dean Witter account	A	Int./Div.	L	T					
46. - MS Multi Cap Growth TR A	C	Int./Div.	K	T					
47. Trust 3 - BMW Bank of N. Amer. Salt Lake City CD	A	Interest	K	T					
48. JJC & LMC, LLP	E	Int./Div.	N	W					
49. -BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
50. -BLACKROCK US OPPORTUNITIES SVC	D	Int./Div.	L	T	Buy (add'l)	12/07/16	J		
51. First Eagle Overseas A	C	Int./Div.	M	T	Buy (add'l)	12/19/16	L		

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52. -GABELLI EQ INC AAA	D	Int./Div.	M	T	Buy (add'l)	12/29/16	J		
53. -Invesco Global Healthcare A	D	Int./Div.	M	T	Buy (add'l)	12/14/16	J		
54. -LAZARD EMERGING MARKETS OPEN	A	Int./Div.			Sold	12/19/16	J	D	
55. -PRUDENTIAL SHT TRM CORP BD A	B	Dividend	L	T	Sold (part)	12/08/16	J	A	
56. -ROYCE PREMIER SERVICE	A	Int./Div.			Sold	04/15/16	K	A	
57. -TEMPLETON GLOBAL BD FD A	D	Int./Div.	M	T					
58. -THORNBURG INTL VALUE A	A	Dividend			Sold	12/19/16	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 11 is Deutsche Real Estate Secs. We bought and sold this asset in 2016. So it doesn't show up on our previous report and has now been sold. I wasn't sure how to list both the purchase and sale, so I just listed the sale. It was purchased on June 23, 2016, gross value K, gross value method T, int/div D. Part VII, lines 45-56 include a variety of "income" sources for a marital deduction trust, my mother's estate and a family corporation -- These entities have received income from a variety of sources, including interest, dividends, and other small distributions. Because I am aggregating the income for each of these entities, I have not separately identified the source of income for each asset. I am happy to provide this information, if that is necessary.

On August 15, 2017 I received an inquiry regarding DWS Dreman Small Cap Val A Mutual Fund. (Dkt. 45). The Committee noted that this asset was listed on my 2015 report, but was not listed on my 2016 report. I have investigated this discrepancy and can report that in 2014, I listed this asset as being sold on July 21, 2014 "J", "A". (Part VII, Line 12.) Apparently, I inadvertently included it on my 2015 report, even though the asset was sold in 2014. Therefore, there was no reason to report anything on my 2016 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John R. Creatura**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544