

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lane, Sean H.	2. Court or Organization U.S. Bankruptcy Court for the Southern District of NY	3. Date of Report 06/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Bankruptcy Court Alexander Customs House, One Bowling Green New York, N.Y. 10004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	Continuing	Participation in Baker Hostetler 401k plan
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Salary and bonus from Group Hospitalization and Medical Services, Inc. (Washington D.C.) dba CareFirst BlueCross
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute/ NY Institute of Credit/ Turnaround Management Assoc.	5/1-2/2014	Uncasville CT	Speaker at Eighth Annual Credit and Bankruptcy Symposium	One night at hotel; meals; and mileage/ tolls for travel by car.
2. Wisconsin Bar Association	6/25-27/2014	Lake Geneva, WI	Speaker on evidence panel for annual conference of Wisconsin Bar Association	Two nights at hotel; airfare and other travel expenses; meals
3. Hudson Valley Bar Association	9/11-12/2014	Poughkeepsie, N.Y.	Speaker at event entitled New Deal for Consumer Bankruptcy	One night at hotel; mileage and tolls for travel by car; meals; given book, bottle of wine and nominal continuing legal education fee.

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4.	National Association of Attorneys General	9/15-16/2014	Providence, RI	Speaker at Annual Meeting	Two nights hotel; travel expenses including Amtrak; meals
5.	American Bankruptcy Institute	10/23-24/2014	Washington DC	Speaker at Views from the Bench panel	One night at hotel; travel expenses, including mileage, tolls and parking for travel by car; meals
6.	American Bankruptcy Institute	11/12-13/2014	Chicago, IL	Speaker at Mid Level Seminar	One night at hotel; travel expenses, including airfare; meals
7.	Federal Bar Council	11/26/2014	New York, NY	Annual Thanksgiving Luncheon	Ticket for event (including lunch)
8.					
9.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	University of Notre Dame	Tuition [REDACTED]	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fidelity 401k (Baker Hostetler), including:	D	Int./Div.	M	T					
2. - Fidelity Growth Co. K.	D	Int./Div.	L	T					
3. - Spartan 500 Index Inst (previously Spartan 500 Index Ind.)	C	Int./Div.	K	T					
4. - TRP Div. Growth	C	Int./Div.	K	T			K		
5. Trust # 1(title to house in Hartsdale, New York)		None	N	W					
6. Carefirst BlueCross BlueShield 401k, including:									
7. - Fid. Dividend Gr, K	E	Int./Div.	M	T					
8. - Artisan Mid Cap Inv	D	Int./Div.	L	T					
9. - Fidelity Freedom K	D	Int./Div.	N	T					
10. U.S. Savings Bonds (combined value of all)	C	Int./Div.	K	W	Redeemed (part)	12/29/14	J	C	
11. Northwestern Mutual Life Insurance, including:									
12. - Policy #1 (nonterm with cash value)	A	Int./Div.	J	T					
13. - Policy #2 (nonterm with cash value)	A	Int./Div.	J	T					
14. NY State 529 Plans (total value for all), including:	E	Int./Div.	M	T					
15. - Mid Cap Stock Index Portfolio	C	Int./Div.	L	T					
16. - Growth Stock Index Portfolio	C	Int./Div.	K	T					
17. - Small Cap Stock Index Port.	C	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Aggressive Growth Port.	D	Int./Div.	K	T					
19. Chase Bank Account (including savings and checking accounts)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

One note for Part VII, Investments and Trusts: there are no reportable assets in Trust #1 (line 6).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sean H. Lane

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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