

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steger, Christopher H.	2. Court or Organization U.S. Distric Court, Eastern Distric of Tennessee	3. Date of Report 06/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 02/29/2016
7. Chambers or Office Address Joel W. Solomon Federal Building, United States Courthouse 900 Georgia Avenue, Room 102 Chattanooga, TN 37402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	The Coca-Cola Company Defined Benefit Pension Plan with former employer, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	The Coca-Cola Company - salary	\$435,089.00
2. 2014	The Coca-Cola Company - salary	\$380,197.00
3. 2015	The Coca-Cola Company - salary	\$1,066,257.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Plastic Surgery Group PC - salary
2. 2014	Plastic Surgery Group PC - salary
3. 2015	Plastic Surgery Group PC - salary
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SmartBank (formerly Cornerstone Bank)	Mortgage	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Various Accounts	D	Interest	M	T	Exempt				
2. Campbell Family Investments, LLC	D	Int./Div.	M	U	Exempt				
3. Genworth Life & Annuity Insurance Company Inherited Annuity (fixed)	E	Distribution	L	T	Exempt				
4. The Coca-Cola Co. 401(k) (common trust fund) (not self-directed)	D	Dividend	M	T	Exempt				
5. Northwestern Whole Life Policies	D	Dividend	M	T	Exempt				
6. Rental Property, Nashville, TN (2015 \$267,000)	C	Rent	N	Q	Exempt				
7. Campbell Trust FBO C Steger									
8. --American Airlines Group, Inc.	A	Dividend			Exempt				
9. --AQR Managed Futures Strategy HV Mutual Fund	C	Dividend	L	T	Exempt				
10. --Center Coast MLP and Infrastructure Mutual Fund	C	Dividend	J	T	Exempt				
11. --Center Valley Fresno School Distric Calif Muni Bond	C	Interest	K	T	Exempt				
12. --Chattanooga Tennessee Muni Bonds	A	Interest	J	T	Exempt				
13. --Chicago Illinois Housing Authority Municipal Bond	C	Interest	K	T	Exempt				
14. --Cole Credit Property Trust REIT	D	Dividend	L	T	Exempt				
15. --Finney Cnty Kansas School District Muni Bond	B	Interest	J	T	Exempt				
16. --First Niagara Financial Group, Inc.	A	Dividend			Exempt				
17. --Florida State Dept Municipal Bond	C	Interest	K	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Jensen Quality Growth Mutual Fund	D	Dividend	K	T	Exempt				
19. --Kindred School District California Muni Bond	A	Interest	J	T	Exempt				
20. --National Penn Bancshares, Inc.	A	Dividend	K	T	Exempt				
21. --Nestle SA	A	Dividend			Exempt				
22. --N Texas Tollway Authority Municipal Bond	D	Interest	K	T	Exempt				
23. --Phillips Edison Grocery Center REIT II	C	Dividend	L	T	Exempt				
24. --Schwab Short-Term US Treasury ETF	B	Dividend	M	T	Exempt				
25. --Schwab US Dividend Equity ETF	A	Dividend	J	T	Exempt				
26. --Select Income REIT	A	Dividend	J	T	Exempt				
27. --Southern Company	A	Dividend	J	T	Exempt				
28. --Verizon Communications	A	Dividend	J	T	Exempt				
29. Campbell Family Trust									
30. --AQR Managed Futures Strategy HV Mutual Fund	A	Dividend	J	T	Exempt				
31. --Schwab Govt Money Market Fund	A	Dividend	L	T	Exempt				
32. --Matthews Asia Dividend Mutual Fund	A	Dividend	J	T	Exempt				
33. --Schwab Short-Term US Treasury ETF	A	Dividend	K	T	Exempt				
34. Brokerage #1									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Atlas Pipeline Partners, L.P.	A	Distribution			Exempt				
36. --Blackrock Debt Strategies Mutual Fund	A	Dividend			Exempt				
37. --Blackrock Floating Rate Income Mutual Fund	B	Dividend			Exempt				
38. --Crestwood Midstream Partners, L.P.	A	Distribution			Exempt				
39. --Eaton Vance Floating Rate Income Plus Mutual Fund	C	Dividend			Exempt				
40. --Indiana Municipal Power Supply Municipal Bond	A	Interest	J	T	Exempt				
41. --Jacksonville Electric Authority FL Muni Bond	A	Interest			Exempt				
42. --Kayne Anderson Midstream Energy Mutual Fund	A	Dividend			Exempt				
43. --Kentucky State Certificates Muni Bond	A	Interest	J	T	Exempt				
44. --Matthews Asia Dividend Mutual Fund	A	Dividend			Exempt				
45. --Metro Nashville Airport Authority Municipal Bond	A	Interest	J	T	Exempt				
46. --New York City Municipal Water Municipal Bond	B	Interest	J	T	Exempt				
47. --North Texas Tollway Authority Municipal Bond	B	Interest	K	T	Exempt				
48. --Northstar Realty Finance Corp. REIT	A	Dividend			Exempt				
49. --Nuveen Credit Strategies Income Mutual Fund	A	Dividend			Exempt				
50. --Schwab Govt Money Market Fund	A	Interest	L	T	Exempt				
51. --Schwab Short-Term US Treasury ETF	A	Dividend	K	T	Exempt				

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Tortoise Energy Independence Mutual Fund	A	Dividend			Exempt				
53. --Tortoise Energy Infrastructure Mutual Fund	A	Dividend			Exempt				
54. --Tortoise Power and Energy Infra Mutual Fund	C	Dividend			Exempt				
55. Brokerage #2									
56. --Arbitrage Mutual Fund	C	Dividend	M	T	Exempt				
57. --Calamos Market Neutral Income Mutual Fund	C	Dividend	M	T	Exempt				
58. --Charles Schwab Deposit Accounts	A	Interest	O	T	Exempt				
59. --Gateway Mutual Fund	C	Dividend	M	T	Exempt				
60. --Merger Mutual Fund Institutional Class	B	Dividend	M	T	Exempt				
61. --Vanguard Ltd-Term Tax-Exempt Bond Fund	C	Dividend	N	T	Exempt				
62. --Vanguard Total Intl Stock Index Mutual Fund	A	Dividend	L	T	Exempt				
63. --Vanguard Total Stock Market Index Mutual Fund	B	Dividend	M	T	Exempt				
64. Brokerage #3									
65. --AQR Managed Futures Strategy HV Mutual Fund	B	Dividend	K	T	Exempt				
66. --Charles Schwab Deposit Accounts	A	Interest	M	T	Exempt				
67. --Chattanooga Tennessee Municipal Bonds	A	Interest	K	T	Exempt				
68. --Clearbridge Energy MLP Opport Mutual Fund	A	Dividend			Exempt				

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Indiana Municipal Power Supply Municipal Bond	A	Interest	J	T	Exempt				
70. --Jacksonville Electric Authority FL Muni Bond	B	Interest	K	T	Exempt				
71. --Matthews Asia Dividend Mutual Fund	A	Dividend			Exempt				
72. --Matthews Asian Growth and Income Mutual Fund	A	Dividend			Exempt				
73. --National Penn Bancshares, Inc.	A	Dividend	J	T	Exempt				
74. --New York City Municipal Water Municipal Bond	A	Interest	J	T	Exempt				
75. --North Texas Tollway Authority Municipal Bond	A	Interest	K	T	Exempt				
76. --Schwab Short-Term US Treasury ETF	A	Dividend	L	T	Exempt				
77. --Schwab US Dividend Equity ETF	A	Dividend	J	T	Exempt				
78. --Select Income REIT	A	Dividend	J	T	Exempt				
79. --Southern Company	A	Dividend	J	T	Exempt				
80. --Spectra Energy Corporation	A	Dividend			Exempt				
81. --Tortoise Pipeline and Energy Mutual Fund	B	Dividend			Exempt				
82. Brokerage #4									
83. --Fidelity Government Cash Reserves	A	Interest	J	T	Exempt				
84. --iShares Core US Aggregate Bond ETF	A	Dividend	K	T	Exempt				
85. --iShares MSCI Frontier 100 ETF	A	Dividend	K	T	Exempt				

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. --Ishares TIPS Bond ETF	A	Dividend	J	T	Exempt				
87. --LJM Preservation and Growth Mutual Fund	A	Dividend	J	T	Exempt				
88. --Oppenheimer Steelpath MLP Alpha Y Mutual Fund	B	Dividend	J	T	Exempt				
89. --Powershares ETF Trust II	A	Dividend	J	T	Exempt				
90. --Powershares QQQ Trust	A	Dividend	J	T	Exempt				
91. --SPDR Barclays High Yield Bond ETF	B	Dividend	J	T	Exempt				
92. --Vanguard FTSE Developed Markets ETF	A	Dividend	K	T	Exempt				
93. --Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Exempt				
94. --Vanguard Growth ETF	A	Dividend	J	T	Exempt				
95. --Vanguard Mid-Cap ETF	A	Dividend	K	T	Exempt				
96. --Vanguard Value ETF	A	Dividend	K	T	Exempt				
97. Brokerage #5									
98. --Fidelity Government Cash Reserves	A	Interest	J	T	Exempt				
99. --Ishares Core US Aggregate Bond ETF	A	Dividend	J	T	Exempt				
100. --Ishares MSCI Frontier 100 ETF	A	Dividend	K	T	Exempt				
101. --Ishares TIPS Bond ETF	A	Dividend	J	T	Exempt				
102. --LJM Preservation and Growth Mutual Fund	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --Oppenheimer Steelpath MLP Alpha Y Mutual Fund	B	Dividend	J	T	Exempt				
104. --Powershares ETF Trust II	A	Dividend	J	T	Exempt				
105. --Powershares QQQ Trust	A	Dividend	J	T	Exempt				
106. --SPDR Barclays High Yield Bond ETF	B	Dividend	J	T	Exempt				
107. --Vanguard FTSE Developed Markets ETF	A	Dividend	K	T	Exempt				
108. --Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Exempt				
109. --Vanguard Growth ETF	A	Dividend	J	T	Exempt				
110. --Vanguard Mid-Cap ETF	A	Dividend	K	T	Exempt				
111. --Vanguard Value ETF	A	Dividend	K	T	Exempt				
112.									
113.									
114.									
115.									
116.									
117.									
118.									

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Steger, Christopher H.

Date of Report

06/29/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name

Step

IX. CERTIFICATION.

I certify that all information given above (including information pert
accurate, true, and complete to the best of my knowledge and belief, and
provisions permitting non-disclosure.

I further certify that earned income from outside employment and li
compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 1

St

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY F
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)