

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ozerden, Halil S.	2. Court or Organization U.S. District Court, Southern Dist. of Mississippi	3. Date of Report 05/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 2012 15th Street, Suite 714 Gulfport, MS 39501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Power of Attorney	Family Member
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Dukes, Dukes, Keating & Faneca--Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BancorpSouth Bank	First Mortgage on Rental Property #1, Gulfport, MS	M
2.	BancorpSouth Bank	Second Mortgage on Rental Property #1, Gulfport, MS	K
3.	Bank of America	Credit Card	K
4.	ACS	Law School Student Loan	L
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BancorpSouth Bank, bank accounts	A	Interest	J	T					
2. Mississippi Affordable College Savings Trust #1-Age/Risk Opt	A	Dividend	J	T					
3. Mississippi Affordable College Savings Trust #2-Age/Risk	A	Dividend	J	T					
4. Mississippi Affordable College Savings Trust #3-Age/Risk	A	Dividend	J	T					
5. IRA #1 (H)									
6. --Stifel Nicolaus Money Market Account	A	Dividend	J	T					
7. --Southern Co. Common Stock	A	Dividend	J	T	Buy (add'l)	12/06/16	J		
8. --Munder/Victory MidCap Core Growth Mut Fund	A	Dividend	J	T	Buy (add'l)	12/29/16	J		
9. --Arrow DWA Balanced Fund	A	Dividend	J	T					
10. --American Funds Target Fund	A	Dividend	J	T	Sold (part)	01/29/16	J	A	
11. --American Funds Target Fund	A	Dividend			Buy (add'l)	12/30/16	J		
12. --Am Cap Fund	A	Dividend	J	T	Sold (part)	02/09/16	J	A	
13. --Am Cap Fund	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
14. --Deutsche Income Fund (name change)	A	Dividend	J	T	Buy (add'l)	12/09/16	J		
15. --Fundamental Inv. Inc. Fund	A	Dividend			Sold	02/09/16	J	A	
16. --Growth Fund of America	A	Dividend	J	T	Sold (part)	02/09/16	J	A	
17. --Growth Fund of America	A	Dividend	J	T	Buy (add'l)	12/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$500,001 - \$1,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --John Hancock Inv. Grade Bond Fund	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
19. --American Funds New Economy Fund	A	Dividend			Sold	02/09/16	J	A	
20. --New Perspective Fund	A	Dividend			Sold	02/09/16	J	A	
21. --Small Cap World Fund Inc.	A	Dividend			Sold	02/09/16	J	A	
22. --Washington Mutual Inv. Fund	A	Dividend	J	T	Buy (add'l)	12/20/16	J		
23. Rental Property #1, Gulfport, MS (2012)	E	Rent	M	Q					
24. Brokerage Acct #1(H)(X)	A	Dividend	J	T					
25. --Washington Mutual Inv. Fund	A	Dividend	J	T					
26. Brokerage Acct #2(H)(X)	A	Dividend	J	T					
27. --American Funds Balanced	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The First Bank accounts formerly listed on Part VII. Line 5 are omitted because they are owned by a family member for whom I exercised a Power of Attorney for the first time in 2016. I do not own those assets but have signature authority.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Ozerden, Halil S.

Date of Report

05/23/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Halil S. Ozerden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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