

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Maureen P.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 08/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 9280 U.S. Post Office and Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Architect, S-Corp, Hayes Design Group-Architects, Inc - W2 and K1 (Employee & 100% Shareholder)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Notre Dame Law School	2/24/16 - 2/26/16	Notre Dame, IN	Law School Moot Court Program	Transportation, food and lodging
2. Academy of Trial Lawyers of Allegheny County	9/28/16 - 9/30/16	Farmington, PA	Academy of Advocacy Conference	Conference registration, food and lodging (600.00)
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab retirement inherited IRA	B	Distribution	J	T					
2. Cash & Cash Equivalents		None	J	T					
3. -Large Domestic Equity Berk Hathaway class B		None	J	T					
4. -Large Domestic Equity Longleaf Partners Fund		None	J	T					
5. -Large Domestic Equity Wintergreen Fund		None	J	T					
6. PNC Savings Account	A	Interest	J	T					
7. PNC Savings Account	A	Interest	J	T					
8. BCCZ 401k Retirement Plan (no control)			N	U					
9. Schwab Brokerage Account									
10. -Large US Equity Berk Hath new Class B		None	N	T	Sold (part)	08/31/16	J	C	
11. -Large US Equity Polen Growth Fund (X)	A	Dividend	M	T	Buy	01/08/16	M		
12. -Large US Equity Dodge & Cox Stock Fund		None	M	T	Distributed (part)	12/20/16	J	D	
13. -Large US Equity Yacktman Focused Inst		None	M	T	Distributed (part)	12/27/16	J	D	
14. -Large US Equity Touchstone Sand Capital Instl		None	L	T	Distributed (part)	12/13/16	J	D	
15. -Large US Equity Fairholme Fund	B	Dividend	L	T	Distributed (part)	12/16/16	J	C	
16. -Large US Equity Jensen Quality Growth Fd	B	Dividend	L	T	Distributed (part)	12/16/16	J	C	
17. -Large US Equity Chase Investment Counsel		None	L	T	Distributed (part)	12/12/16	J	D	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Large US Equity American Express Company	B	Dividend	L	T					
19. -Large US Equity Harbor Cap Appreciation Fund Inst CI		None	L	T	Distributed (part)	12/19/16	J	C	
20. -Large US Equity Kinetics Paradigm Fund CI I (X)		None	K	T	Buy	08/02/16	K		
21. -Large US Equity Longleaf Partners Fund	A	Dividend	K	T	Sold (part)	02/12/16	L	A	
22. -Large US Equity Sequoia Fund		None	J	T	Distributed (part)	11/28/16	J	B	
23. -Large US Equity PNC Finl Services Gp Inc	A	Dividend	J	T					
24. -Large US Equity Third Avenue Value Fd Instl CI (Y)		None			Sold	01/07/16	M	D	
25. -Mid US Equity Akre Focus Fd Inst		None	L	T	Distributed (part)	12/16/16	J	A	
26. -Mid US Equity Nuance Concentrated Value (X)		None	K	T	Buy	08/02/16	K		
27. -Small US Equity FPA Capital Fund CI A	A	Dividend	M	T	Distributed (part)	12/20/16	J	D	
28. -Small US Equity Longleaf Partners Small Cap Fund		None	L	T	Sold (part)	08/01/16	K	D	
29. -Small US Equity Intrepid Endurance Fund (X)		None	K	T	Buy	08/01/16	K		
30. -Small US Equity Wintergreen Fund		None	K	T	Distributed (part)	12/15/16	J	B	
31. -Small US Equity Vulcan Value Partners Small Cap		None	J	T					
32. -Small US ASHTON River Road Independent (Y)		None			Sold	07/28/16	L	C	
33. -International Equity Oakmark Global Select Fund CI I	A	Dividend	M	T					
34. -International Equity Evermore Global Value Fd CI I		None	K	T	Distributed (part)	11/30/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -International Equity Tweedy Browne Global Value Fund		None	M	T	Distributed (part)	12/28/16	J	C	
36. -International Equity Harbor International Fund Inst CI	A	Dividend	M	T	Buy (add'l)	01/08/16	K		
37. -International Equity First Eagle Overseas Fund		None	M	T	Distributed (part)	12/14/16	J	C	
38. -International Equity Lazard Intl Strategic		None	L	T	Sold (part)	01/07/16	K	A	
39. -International Equity Longleaf Partners Intl Fund (Y)		None			Sold	08/01/16	L	A	
40. -Real Estate Securities Baron Real Estate Fund		None	K	T	Distributed (part)	10/06/16	J	A	
41. -Alternative Securities Litman Gregory Masters Alt Strat Inst		None	L	T					
42. -Alternative Securities AQR Diversified		None	L	T					
43. -Alternative Securities Calamos Market Neutral	A	Dividend	K	T	Sold (part)	03/28/16	J	A	
44. -Fixed Income Long Intermediate Loomis Sayles Bond CI I		None	K	T	Distributed (part)	12/16/16	J	A	
45. -Fixed Income Long Intermediate Third Avenue Focused Credit Fd Inst CI		None	J	T					
46. -Fixed Income Long Intermediate FPA New Income CL A (Y)	A	Dividend			Sold	08/19/16	J	A	
47. -Cash & Cash Equivalents (Schwab various Accounts)	A	Int./Div.	L	T					
48.									
49.									
50.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maureen P. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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