

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                          |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>DOWD, JR., DAVID D.                                                                                                                                                        | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, NDOH                                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>05/13/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. DISTRICT JUDGE - SENIOR                                                                                        | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>JOHN R. SEIBERLING UNITED STATES COURTHOUSE<br>ROOM 402, TWO SOUTH MAIN STREET<br>AKRON, OHIO 44308                                                                                                 |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

DOWD, JR., DAVID D.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                             | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------------|----------------------------------------|
| 1. Monthly  | Annuity - Public Retirement System of Ohio Annuity | \$33,222.00                            |
| 2.          |                                                    |                                        |
| 3.          |                                                    |                                        |
| 4.          |                                                    |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                               |
|-------------|----------------------------------------------------------------------|
| 1. Monthly  | Annuity - State Teachers Retirement System of Ohio (payable monthly) |
| 2.          |                                                                      |
| 3.          |                                                                      |
| 4.          |                                                                      |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

DOWD, JR., DAVID D.

Date of Report

05/13/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                  |   |          |   |   |  |  |  |  |  |
|--------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 1. <input type="checkbox"/> IRA holdings follow: |   | None     |   |   |  |  |  |  |  |
| 2. EXXON CORPORATION                             | A | Dividend | K | T |  |  |  |  |  |
| 3. TIMKEN CO.                                    | A | Dividend | K | T |  |  |  |  |  |
| 4. FIRST MERIT                                   | B | Dividend | J | T |  |  |  |  |  |
| 5. ATT 6% Corporate Note                         | A | Interest | J | T |  |  |  |  |  |
| 6. ATT 6% Corporate Note                         | A | Interest | J | T |  |  |  |  |  |
| 7. NM Corporate Note 5.9%                        | A | Interest | J | T |  |  |  |  |  |
| 8. Exelon                                        | A | Dividend | J | T |  |  |  |  |  |
| 9. Southern Corp.                                | A | Dividend | K | T |  |  |  |  |  |
| 10. Colgate-Palmolive                            | A | Dividend | K | T |  |  |  |  |  |
| 11. American Electric Power                      | A | Dividend | K | T |  |  |  |  |  |
| 12. Dominion RES INC NEW VA                      | A | Dividend | J | T |  |  |  |  |  |
| 13. Bank of New York note                        | A | Interest | J | T |  |  |  |  |  |
| 14. General Electric note                        | A | Interest | J | T |  |  |  |  |  |
| 15. Nextel Communications Co. note               | A | Interest | J | T |  |  |  |  |  |
| 16. Patriot Coal                                 | A | Dividend | J | T |  |  |  |  |  |
| 17. Merrill Lynch Bond                           | A | Interest | J | T |  |  |  |  |  |

|                                                  |                                                                                        |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                        | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                        | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

DOWD, JR., DAVID D.

Date of Report

05/13/2013

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                    |   |          |   |   |  |  |  |  |  |
|----------------------------------------------------|---|----------|---|---|--|--|--|--|--|
| 18. Royal Bank of Scotland Bond                    | A | Interest | J | T |  |  |  |  |  |
| 19. The following securities are held [REDACTED] : |   | None     |   |   |  |  |  |  |  |
| 20. FIRST ENERGY CORP - FNA OHIO EDISON            | A | Dividend | J | T |  |  |  |  |  |
| 21. TIMKEN                                         | A | Dividend | J | T |  |  |  |  |  |
| 22. AGL RESOURCES                                  | A | Dividend | J | T |  |  |  |  |  |
| 23. FIRST MERIT                                    | B | Dividend | K | T |  |  |  |  |  |
| 24. AMERICAN ELEC. POWER                           | A | Dividend | J | T |  |  |  |  |  |
| 25. PROGRESS ENERGY CORP.                          | A | Dividend | J | T |  |  |  |  |  |
| 26. Ohio State Dev. Auth. Bond 5.84% due 12/1/15.  | A | Interest | J | T |  |  |  |  |  |
| 27. Southern Corporation                           | B | Dividend | K | T |  |  |  |  |  |
| 28. Miami Univ. of Ohio Bonds                      | A | Interest | J | T |  |  |  |  |  |
| 29. Princeton Ohio City School Bonds               | A | Interest | J | T |  |  |  |  |  |
| 30. [REDACTED] IRA holdings follow:                |   | None     |   |   |  |  |  |  |  |
| 31. PROGRESS ENERGY                                | A | Dividend | K | T |  |  |  |  |  |
| 32. EXXON-MOBIL                                    | A | Dividend | K | T |  |  |  |  |  |
| 33. FIRST MERIT                                    | A | Dividend | J | T |  |  |  |  |  |
| 34. General Mills                                  | A | Dividend | J | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |   |          |   |   |      |          |   |  |  |
|-------------------------------------------------------|---|----------|---|---|------|----------|---|--|--|
| 35. Kellogg                                           | A | Dividend | J | T |      |          |   |  |  |
| 36. Southern Company                                  | A | Dividend | J | T |      |          |   |  |  |
| 37. Wal-Mart Store note                               | A | Interest | J | T |      |          |   |  |  |
| 38. Patriot Coal Company                              | A | Dividend | J | T |      |          |   |  |  |
| 39. General Dynamics Corp.                            | A | Dividend | J | T |      |          |   |  |  |
| 40. Proctor & Gamble                                  | A | Dividend | K | T |      |          |   |  |  |
| 41. Properties [REDACTED] :                           |   | None     |   |   |      |          |   |  |  |
| 42. Two-bedrm condo - Naples, FL - not rented         |   | None     | M | T | Sold | 10/01/12 | L |  |  |
| 43. [REDACTED] - Naples, FL - not rented              |   | None     | M | T | Buy  | 11/01/12 | M |  |  |
| 44. [REDACTED] home in Massillon, OH-not rented       |   | None     | L | T |      |          |   |  |  |
| 45. Non-Dividend/Interest bearing security [REDACTED] |   | None     |   |   |      |          |   |  |  |
| 46. SHADY HOLLOW COUNTRY CLUB                         |   | None     | J | T |      |          |   |  |  |
| 47. WILDERNESS COUNTRY CLUB                           |   | None     | K | T |      |          |   |  |  |
| 48. Securities [REDACTED] :                           |   | None     |   |   |      |          |   |  |  |
| 49. AMERICAN ELECTRIC POWER                           | A | Dividend | K | T |      |          |   |  |  |
| 50. First Merit Corp.                                 | A | Dividend | J | T |      |          |   |  |  |
| 51. OH ST Water Development Authority Revenue         | A | Interest | K | T |      |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Bonds paying 4.625% due 12/1/27                                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Akron OH Income Tax Revenue Bond<br>paying 4.5%                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. due 12/1/28                                                                                                             |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 55. Toledo OH City School District Bonds<br>paying                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. 4.625% due 12/1/32                                                                                                      |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 57. AGL Resources                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Duke Energy                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Hamilton County Convention Center bonds                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. Spectra *(split with Duke Energy)                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Timken                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Columbus Ohio Sw Revenue Bonds                                                                                          | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Automatic Data                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Johnson & Johnson                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Bank of America note                                                                                                    | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. Abbott Labs                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. Coca Cola                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Patriot Coal Company                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                                                       |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                    | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000<br>J = More than \$50,000,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                       |
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|                        |   |          |   |   |  |  |  |  |  |
|------------------------|---|----------|---|---|--|--|--|--|--|
| 69. Coca Cola          | A | Dividend | J | T |  |  |  |  |  |
| 70. Ford Motor Company | A | Dividend | J | T |  |  |  |  |  |
| 71.                    |   |          |   |   |  |  |  |  |  |
| 72.                    |   |          |   |   |  |  |  |  |  |
| 73.                    |   |          |   |   |  |  |  |  |  |
| 74.                    |   |          |   |   |  |  |  |  |  |
| 75.                    |   |          |   |   |  |  |  |  |  |
| 76.                    |   |          |   |   |  |  |  |  |  |
| 77.                    |   |          |   |   |  |  |  |  |  |
| 78.                    |   |          |   |   |  |  |  |  |  |
| 79.                    |   |          |   |   |  |  |  |  |  |
| 80.                    |   |          |   |   |  |  |  |  |  |
| 81.                    |   |          |   |   |  |  |  |  |  |
| 82.                    |   |          |   |   |  |  |  |  |  |
| 83.                    |   |          |   |   |  |  |  |  |  |
| 84.                    |   |          |   |   |  |  |  |  |  |
| 85.                    |   |          |   |   |  |  |  |  |  |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

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Name of Person Reporting

DOWD, JR., DAVID D.

Date of Report

05/13/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - This amended report was required because the initial report failed to discuss the [REDACTED] U.S. Treasury Note included in [REDACTED] IRA holdings in the report filed on August 15, 2012. As indicated, the U.S. Treasury Note was redeemed on September 30, 2011 for the amount of the note, i.e., [REDACTED]

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

**DOWD, JR., DAVID D.**

Date of Report

05/13/2013

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DAVID D. DOWD, JR.**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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One Columbus Circle, N.E.  
Washington, D.C. 20544