

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NORCLE, CHARLES R.	2. Court or Organization US DISTRICT COURT	3. Date of Report 09/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES COURTHOUSE 219 S. DEARBORN STREET STE 2346 CHICAGO, IL 60604-1844		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Faculty	Northwestern School of Law
2.	Adjunct Faculty	The John Marshall Law School
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

NORGE, CHARLES R.

Date of Report

09/11/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Northwestern University Law School	\$2,000.00
2. 2012	John Marshall Law School	\$24,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Elmhurst College
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

NORCLE, CHARLES R.

Date of Report

09/11/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Cards	Consumer Credit	J
2.	Bank of America Card	Consumer Credit	J
3.	Citi Bank Cards	Consumer Credit	J
4.	American Express	Consumer Credit	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

NORGLÉ, CHARLES R.

Date of Report

09/11/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, INC.	A	Dividend	K	T					
2. CHINA TECHNOLOGY GLOBAL CORP.		None	J	T					
3. MACY'S, INC.		None	J	T					
4. SUPPORTSAVE SOLUTIONS		None	J	T					
5. CHINA TECHNOLOGY GLOBAL CORP.		None	J	T					
6. DEUTSCHE BANK AG ADR		None	K	T					
7. GENERAL STEEL HOLDINGS, INC.		None	J	T					
8. AT&T, INC.	A	Dividend	J	T					
9. GENERAL ELECTRIC CO		None	L	T					
10. GENERAL STEEL HOLDINGS INC		None	K	T					
11. PIMCO MUNI INCOME FD CLOSED END	A	Interest	K	T					
12. SUPPORTSAVE SOLUTIONS		None	J	T					
13. WELLS FARGO CO		None	L	T					
14. AT&T INC		None			Buy	01/06/12	M		
15. AT&T INC		None			Sold	10/17/12	M	A	
16. ALCOA, INC		None	K	T	Buy	08/27/12	K		
17. ALCOA, INC		None			Sold (part)	11/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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NORCLE, CHARLES R.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ARENA PHARMACEUTICALS		None			Buy	06/15/12	L		
19. ARENA PHARMACEUTICALS		None			Sold	09/12/12	L		
20. BP PLC SPONS ADR	A	Dividend			Buy	01/30/12	M		
21. BP PLC SPONS ADR		None			Sold	07/11/12	M		
22. BANK OF AMERICA CORP		None			Buy	05/21/12	J		
23. BANK OF AMERICA CORP		None			Sold	05/22/12	J	A	
24. CITIGROUP INC		None			Buy	03/14/12	K		
25. CITIGROUP INC		None			Sold	03/15/12	K	A	
26. COCA COLA CO		None			Buy	01/11/12	L		
27. COCA COLA CO		None			Sold	01/20/12	L	A	
28. FACEBOOK INC		None			Buy	05/25/12	L		
29. FACEBOOK INC		None			Sold	07/25/12	L		
30. FORD MOTOR CO	A	Dividend			Buy	02/02/12	K		
31. FORD MOTOR CO		None			Sold	07/31/12	K	A	
32. GENERAL ELECTRIC CO		None			Buy	01/23/12	M		
33. GENERAL ELECTRIC CO		None			Sold	02/01/12	M	C	
34. GENERAL STEEL HLDGS		None	K	T	Buy	01/03/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. INT'L BUSINESS MACHINES	A	Dividend	K	T	Buy	10/17/12	K		
36. KRAFT FOODS INC	A	Dividend			Buy	09/07/12	L		
37. KRAFT FOODS INC		None			Sold	09/18/12	L	A	
38. LEAPFROG ENTERPRISES		None			Buy	06/07/12	K		
39. LEAPFROG ENTERPRISES		None			Sold	06/20/12	K	A	
40. LIONS GATE ENTERTAINMENT CORP		None			Buy	03/27/12	L		
41. LIONS GATE ENTERTAINMENT CORP		None			Sold	05/30/12	L		
42. MCDONALDS CORP		None			Buy	01/03/12	L		
43. MCDONALDS CORP		None			Sold	03/26/12	L	A	
44. MICROSOFT CORP		None			Buy	03/19/12	L		
45. MICROSOFT CORP		None			Sold	04/05/12	L	A	
46. MORGAN STANLEY	A	Dividend			Buy	01/04/12	N		
47. MORGAN STANLEY		None			Sold	06/06/12	N		
48. NOKIA CORP ADR		None			Buy	01/09/12	K		
49. NOKIA CORP ADR		None			Sold	02/03/12	K	A	
50. PIMCO MUNI INCOME FD CLOSED END	A	Dividend			Buy	03/15/12	K		
51. PIMCO MUNI INCOME FD CLOSED END		None			Sold	03/28/12	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

NORGL, CHARLES R.

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52. SPRINT NEXTEL CORP		None			Buy	01/05/12	K		
53. SPRINT NEXTEL CORP		None			Sold	01/18/12	K	A	
54. TIFFANY & CO NEW		None			Buy	01/10/12	K		
55. TIFFANY & CO NEW		None			Sold	01/18/12	K	A	
56. UNITED STATES STEEL CORP		None			Buy	01/13/12	N		
57. UNITED STATES STEEL CORP		None			Sold	01/13/12	N		
58. VERIZON COMMUNICATIONS	A	Dividend			Buy	01/23/12	K		
59. VERIZON COMMUNICATIONS		None			Sold	02/08/12	K	A	
60. WAL-MART STORES		None			Buy	08/16/12	J		
61. WAL-MART STORES		None			Sold	08/16/12	J	A	
62. WELLS FARGO CO		None			Buy	01/26/12	M		
63. WELLS FARGO CO		None			Sold	02/07/12	M	A	
64.									
65.									
66.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

NORGE, CHARLES R.

Date of Report

09/11/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

NORGLER, CHARLES R.

Date of Report

09/11/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CHARLES R. NORGLER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544