

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zipps, Jennifer G.	2. Court or Organization United States District Court, District of Arizona	3. Date of Report 08/26/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 405 W. Congress, Ste 5170 Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

08/26/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/14-12/14	Arizona State Retirement System Annuity	\$14,158.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bar Examiners	09/25/2014 - 09/28/2014	Jackson Hole, WY	Meeting	Travel, lodging, food in conjunction with meeting
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

08/26/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Accounts)	A	Interest	M	T					
2. Princess Jennifer LLC (LLC owns shopping ctr in NM)		None	K	W					
3. Metropolitan Life money market	D	Interest	O	T					
4. Bank of America (Accounts)	A	Interest	L	T					
5. Fidelity Select Biotechnology	C	Dividend	K	T					
6. Fidelity Int'l Discovery mutual fund	B	Dividend	K	T					
7. Fidelity China Region mutual fund	B	Dividend	J	T					
8. Fidelity Stock Selector Small Cap mutual fund	C	Dividend	K	T					
9. Fidelity Capital Appreciation mutual fund	D	Dividend	L	T					
10. Fidelity Growth Co. mutual fund	D	Dividend	M	T					
11. Fidelity Select Energy mutual fund	C	Dividend	K	T	Sold (part)	11/03/14	J	A	
12. Fidelity AZ Municipal money market fund (checking acct)	A	Dividend	K	T	Sold (part)	11/29/14	K	A	
13. Traveler's Insurance, common stock	A	Dividend	J	T					
14. Fidelity Arizona Municipal Income Fund	A	Dividend	M	T					
15. Fidelity Spartan 500 Index Fund	C	Dividend	M	T					
16. Fidelity Rollover IRA	A	Dividend	O	T					
17. -Allianz NFJ Dividend Value Fund					Sold	02/12/14	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Zipps, Jennifer G.

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18. -Brown Capital Mgmt Small Company	A	Dividend							
19. -Delaware Emerging Markets Fund Class A	A	Dividend							
20. -Fidelity Advisor Mid Cap II Fund					Sold	02/24/14	K	A	
21. -Fidelity Advisor New Insights Fund Class	D	Dividend							
22. -Oakmark International Small Cap Fund	B	Dividend							
23. -The Oakmark International Fund	A	Dividend							
24. -John Hancock Disciplined Value	A	Dividend							
25. -Mainstay Icap Select Equity Fund	C	Dividend							
26. -Northern Small Cap Value Fund					Sold	02/24/14	J	A	
27. -Pimco Income Fund Class A	A	Dividend							
28. -Principal High Yield Fund Class A	A	Dividend							
29. -T Rowe Price Emerging Markets					Sold	02/24/14	J	A	
30. -Scout International Fund					Sold	02/24/14	K	A	
31. -Fidelity Contra Fund	D	Dividend			Sold (part)	02/14/14	J	A	
32. -Fidelity Spartan 500 Index Fund	C	Dividend			Sold (part)	02/14/14	J	A	
33. -Fidelity Select Biotechnology Fund	D	Dividend			Buy	02/14/14	J		
34. -Fidelity Select Biotechnology Fund		None			Buy	02/24/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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Zipps, Jennifer G.

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35. -Fidelity Select Computer & Software	D	Dividend			Buy	02/12/14	J		
36. -Fidelity Select Computer & Software		None			Buy	02/24/14	K		
37. -Fidelity Govt Money Market	A	Dividend							
38. Northwestern Mutual Whole Life Policy	A	Dividend			Redeemed	01/23/14	K	A	
39. Mass Mutual Variable Life Policy	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

08/26/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zipps, Jennifer G.

Date of Report

08/26/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer G. Zipps**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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