

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dalzell, Stewart	2. Court or Organization U.S. District Court	3. Date of Report 10/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 15613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Finance Committee	Saint Mark's Church, Philadelphia, PA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Main Line Center of the Arts - Teaching Fees

2. 11/01/2015

Sale of Print

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cape Cod Five Cent Savings	A	Interest	J	T					
2. JP Morgan Fed. Fund	A	Interest	J	T					
3. PNC Bank	A	Interest	J	T					
4. Vanguard PA Tax Free Money Fund	A	Dividend	J	T					
5. Pepsico	A	Dividend	K	T					
6. Merck & Co.	B	Dividend	L	T	Sold (part)	10/13/15	J	D	partial sale on NYSE
7. Cisco System Notes	A	Interest	K	T					
8. Pfizer, Inc.	A	Dividend	K	T					
9. Exxon Mobil	A	Dividend	K	T	Sold (part)	08/07/15	J	D	partial sale on NYSE
10. Waste Management	A	Dividend	K	T					
11. Chevron	B	Dividend	L	T					
12. Johnson & Johnson	A	Dividend	K	T					
13. General Mills	A	Dividend	K	T					
14. Sand Ridge Energy		None			Donated				
15. Intel	A	Dividend	K	T					
16. AT&T	C	Dividend	L	T	Buy (add'l)	04/06/15	J		
17. McDonalds Corp.	B	Dividend	K	T	Buy (add'l)	10/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Powershares ETF	B	Dividend	K	T					
19. Dominion Resources	B	Dividend	L	T					
20. Northern Trust Corp.	A	Interest	J	T					
21. Diageo PLC.	A	Dividend	J	T	Sold (part)	05/07/15	J	B	partial sale on NYSE
22. Diageo PLC	A	Dividend	J	T	Buy (add'l)	10/12/15	J		
23. Chubb Corp.	A	Dividend	J	T					
24. Communications Sales and Leasing	B	Dividend	J	T					
25. Windstream (X)	A	Dividend	J	T	Spinoff (from line 24)	04/30/15	J		sprin-off from NYSE
26. Vanguard Total Bond ETF	A	Interest	K	T	Buy (add'l)	07/15/15	J		buy on SEI
27. Conoco Phillips	A	Dividend	K	T	Sold (part)	08/07/15	J	D	partial sale on NYSE
28. Int'l Business Machines	A	Dividend	J	T					
29. Int'l Business Machines	A	Dividend	J	T					
30. Federal Farm Credit Bank Notes	A	Interest	J	T					
31. Southwestern Bell Tel. Bonds	A	Interest			Matured	07/01/15	J	A	matured
32. Fairfax Financial Holdings	A	Dividend			Sold	11/16/15	J	D	sale on NYSE
33. Plum Creek Timber	A	Dividend	K	T					
34. Preferred Apt. Communities	B	Dividend	K	T	Buy (add'l)	04/06/15	J		buy on NYSE

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Hercules Technology Growth	A	Dividend	J	T	Buy (add'l)	04/06/15	J		buy on NYSE
36. 3M Co.	A	Dividend	J	T					
37. Automatic Data Processing	A	Dividend	J	T					
38. DuPont	A	Dividend	J	T					
39. Chemaro (X) - spinoff of Dupont	A	Dividend			Sold	07/10/15	J	A	spin off
40. iShares Barclays Agg. Bond Fund	A	Dividend	J	T					
41. Kraft Heinz Foods	A	Dividend	J	T	Sold (part)	03/25/15	K	E	merger (NYSE)
42. Emerson Elec. Co.	A	Dividend			Sold	03/07/15	J	D	sale on NYSE
43. Mondelez Int'l, Inc.	A	Dividend	K	T					
44. DuPont	A	Dividend	J	T					
45. AT&T	A	Dividend	K	T					
46. Hercules Technology Growth	A	Dividend	J	T	Buy (add'l)	04/06/15	J		buy on NYSE
47. Simon Properties Group	A	Dividend	J	T					
48. Preferred Apt. Communities	A	Dividend	J	T	Buy (add'l)	04/06/15	J		buy on NYSE
49. Vanguard Short-Term Corporate Bond ETF	A	Int./Div.	K	T					
50. Emerson Elec. Co.	A	Dividend			Sold	08/07/15	J	D	sale on NYSE
51. Hartford World Bond Fund	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Oppenheimer Sr. Flat Rate-Y	A	Interest	J	T	Buy (add'l)	08/07/15	J		buy from SEI
53. T. Rowe Price High Yield Fund	A	Interest	J	T	Buy (add'l)	08/06/15	J		buy from SEI
54. Templeton Global Bond Fund	A	Interest			Sold	08/05/15	J	D	
55. T. Rowe Price High Yield Fund	A	Interest	J	T	Buy (add'l)	08/05/15	J		buy from SEI
56. Double Live Duration Bond Fund (X)	A	Interest	J	T	Buy	08/16/15	J		buy open market
57. Freeport MacMoran	A	Dividend			Sold	11/16/15	J	A	sale on NYSE

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stewart Dalzell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544