

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 07/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	(active for collection purposes only)
2.	Advisory Board Member	Salesian Youth Center (charitable organization)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bankruptcy Institute	3/15-16/2012	Tampa, FL	Paskay seminar	Parking (reimb.) and meals (reimb. and direct) [all <\$350, but see line 10, which puts aggregate at >\$350].
2.	National Conference of Bankruptcy Judges	3/19-20/2012	Miami, FL	Mid-Year Meeting	Parking, airfare, lodging, and tips (all reimb.), meals (reimb. and direct).
3.	Attorneys' Title Fund Services, LLC	5/4-5/2012	Orlando, FL	48th Annual Fund Assembly	Mileage (reimb.), parking and lodging (direct).
4.	The Florida Bar	6/20-21/2012	Kissimmee, FL	Fla. Bar annual meeting	Mileage, lodging, and parking (all reimb.), meals (reimb. and direct).
5.	Ferguson-White Inn of Court/ American Inns of Court	8/10-11/2012	Orlando, FL	AIC Leadership Summit	Hotel and dinner (reimb.), lunches and registration fee (direct).

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6.	The Florida Bar	8/31-9/3/2012	Naples, FL	Fla. Bar Business Law Section retreat	Mileage, meals, lodging, parking (all reimb.) (plus registration fee waiver (includes some meals) reported in Pt. V).
7.	Jacksonville Bankruptcy Bar Association	10/11-12/2012	St. Augustine, FL	Annual seminar	Meals (reimb. and direct), lodging (direct) (plus registration fee waiver < reportable gift).
8.	Ferguson-White Inn of Court	10/20-21/2012	Washington, D.C.	Celebration of Excellence @ Supreme Court	Parking, ground transportation, lodging, meals (all reimb.), airfare and registration/dinner fee (direct).
9.	The Florida Bar	11/8/2012	Tampa, FL	View from the Bench seminar	Parking [reimb. only \$10, but see lines 4 and 6, which puts aggregate at >\$350] (plus registration fee waiver reported in Pt. V).
10.	American Bankruptcy Institute	11/29-12/1/2012	Tampa, FL	24th Annual Winter Leadership Conf.	Parking,, airfare, ground transportation, and meals (all reimb.), lodging (direct) (plus registration fee waiver reported in Pt. V).

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tampa Bay Bankruptcy Bar Association	Dues waiver (\$85); regular lunches/holiday party,...cont'd in Pt. VIII.	\$690.00
2.	American Bankruptcy Institute	Waived registration fees mentioned in Pt. IV, lines 1 and 10.	\$790.00
3.	The Florida Bar	Waived registration fees mentioned in Pt. IV, lines 6 and 9.	\$725.00
4.	Linda McEwen/Tampa Bay Lightning	Channelside Club tickets (2 games), plus parking.	\$360.00
5.	Ray and Kathy Hyer/Gardner-Gibson, Inc./Sun Coatings, Inc.	Outback Bowl ticket and UF FB Box tickets (3) Sr. Game...cont'd in Pt. VIII.	\$1,645.71
6.	Att'ys Title Fund Services, LLC (Valerie Jahn Grandin)	Title Notes (three) [this was actually a gift to the Court via me], 5 program CDs.	\$1,112.50

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wachovia Bank DDA/CD/savings/MMA accounts	A	Interest	K	T					
2. AmeripriseONE Financial Acc't - MMA (non-IRA)	A	Interest			Closed	10/16/12	J		
3. State Farm Bank Account	A	Interest	J	T					
4. State Farm Life Ins. Co. Var. Deferred Annuity (ROTH IRA)	A	Interest	J	T					
5. Ameriprise MMA for Brokerage Acc'ts for IRAs	A	Interest			Closed	05/01/12	J		
6. AXA IRA #1 (SEP) (nos. 7-14 below)									
7. -Oppenheimer Global Strat. Inc. A (OPSIX)	A	Dividend	J	T					
8. -Oppenheimer Main St. Select A (OMSOX)	A	Dividend	J	T					
9. -Oppenheimer Main St. Sm.-M/Cap Class A (OPMSX)	A	Dividend	J	T					
10. -Oppenheimer Sm. and Mid Cap Value Class A (QVSCX)	A	Dividend	J	T					
11. -Oppenheimer Select Value (OSVAX)	A	Dividend	J	T					
12. -Oppenheimer Intl Diversified Class A (OIDAX)	A	Dividend	J	T					
13. -Calamos Invt Trust New Growth Fund Class A (CVGRX)	A	Dividend	J	T					
14. -Calamos Invt TR New Conv FD-Class A (CCVIX)	A	Dividend	J	T					
15. AMERPRISE IRA (Annuity) (nos. 16-24 below)									
16. -AllBern VPS Intl Val	A	Dividend	K	T	Buy (add'l)	11/01/12	J		
17. -Fid VIP Contrafd Cl 2	A	Dividend	K	T	Sold (part)	11/1/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fid VIP Mid Cap C1 2	A	Dividend	K	T	Buy (add'l)	11/01/12	J		
19. -COL VP Divnd Opp C13	A	Dividend	K	T	Sold (part)	11/1/12	J		
20. -COL Mid Cap Val C13	A	Dividend	K	T	Sold (part)	11/1/12	J		
21. -Wanger USA	A	Dividend	K	T	Sold (part)	11/1/12	J		
22. -Wanger International	A	Dividend	K	T	Sold (part)	11/1/12	J		
23. -FT VIP Small Cap Valu	A	Dividend	K	T	Sold (part)	11/1/12	J		
24. -Oppenheimer Strat Inc C13	A	Dividend	K	T	Buy (add'l)	11/01/12	J		
25. AXA IRA #2 (Reg. IRA) (nos. 26-27 below)									
26. -Thornburg Value Fund Class A M/F (R/O) (TVAFX)			J	T					
27. -Putnam Absolute Ret. 700-B (PDMBX)		None	K	T					
28. JP Morgan Gov't MM Fund for AXA IRAs	A	Interest	J	T					
29. Stock [REDACTED]		None	J	W					
30. Florida Prepaid Tuition Plan for GFP [REDACTED]		None	J	T					
31. Florida Prepaid Tuition Plan for ATM [REDACTED]		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

V.

No. 3: and pizza lunches (est. \$380), 1/2 day seminar registration fee waiver (\$115), annual dinner and annual dinner token gift (est. \$110), total value \$690 (no individual gift item exceeds \$134, although together all items exceed \$335).

No. 5: (incl. food/bev. at both events), holiday gala.

VII.

No. 1: The aggregate includes the balance of my closely held P.A. DDA account.

No. 2: I transferred the funds to my savings account, which is included in line 1.

No. 6: I transferred all funds in my SEP IRA to a new administrator, AXA Advisors, from Ameriprise on 5-1-2012.

No. 13: My 2010 FDR shows the name of this fund as Calamos Growth Fund-Class B M/F (CVGBX). This is what my 12-31-10 SEP IRA statement showed. Without explanation, my 1-1-2011 SEP IRA statement shows the name I have listed. Upon inquiry, Calamos explained that my Class B shares became Class A shares.

No. 14: My 2010 FDR shows the name of this fund as Calamos Convertible Fund-Class B M/F (CALBX). This is what my 12-31-10 SEP IRA statement showed. Without explanation, my 1-1-2011 SEP IRA statement shows the name I have listed. Upon inquiry, Calamos explained that my Class B shares became Class A shares.

Nos. 13-14: These funds reported nominal long-term capital gains (<\$,1000 each) on 12/21/12, which were reinvested on the same day. I did not know how to report these because there was not a long-term gain choice in the drop down menu under B (2).

No. 15: This annuity's name is RiverSource Life Insurance Company Retirement Advisor 4 Advantage VA (changed from the Ameriprise name).

No.19: This fund was named COL VP Div Eq Inc Fd but underwent a name change on 6-29-2012.

No. 20: This fund was f/k/a COL VP Mid Cap Value.

No. 21: This fund was f/k/a Wanger US Smaller Co.

No. 22: This fund was f/k/a Wanger Intl Small Cap.

No. 24: This fund was f/k/a Oppenheimer Strat Bond, and I think it went through another name change in 2013.

No. 25: I transferred all funds in my regular IRA to a new administrator, AXA Advisors, from Ameriprise on 5-1-2012.

No. 28: These funds came from the account I closed as shown on line 5.

No. 29: [REDACTED] has an attorney's trust account that is not and should not be reflected as an asset [REDACTED] It is a Florida Bar IOTA account, with interest payable to The Florida Bar. The value of [REDACTED] is based on potential receivables and a DDA bank balance that is also included in line 1 on Part VII.

Nos. 30-31: Pursuant to privacy recommendations, I have used the initials [REDACTED] for whom I have purchased these plans.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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