

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Jeffrey E.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 6202 Bob Casey Fed Courthouse 515 Rusk Street Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust Account # 1
2.	Adjunct Professor of Law	College of Law, University of Houston Law Center
3.	Adjunct Professor of Law	South Texas College of Law
4.	Personal Representative	Estate #1
5.	Trustee	Trust Account #2
6.	Trustee	Trust Account #3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	College of Law, University of Houston Law Center. I taught Advanced Bankruptcy in the Spring 2012 semester. I received \$1,200 for teaching this course.
2. 2012	South Texas College of Law. I taught Business Bankruptcy & Reorg in the Spring 2012 semester. I received \$3,300 for teaching this course.
3. 2013	In October 2012 I was granted permission to teach these same two courses in the Spring of 2013, but did not teach

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 05/17/12	College of Law, UH Law Foundation - Compensation Adjunct Professor	\$1,200.00
2. 01 - 05/12	South Texas College of Law - Compensation Adjunct Professor	\$3,300.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Employees Retirement System of Texas - Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Center for American and International Law	February 22-24, 2012	New Orleans, LA	Non-FJC educational seminar or program	meals, transportation, airfare, and lodging
2. National Association of Consumer Bankruptcy Attorneys	April 26-28, 2012	San Antonio, TX	Non-FJC educational seminar or program	meals, transportation and lodging
3. Turnaround Management Association	May 3-4, 2012	San Antonio, TX	Non-FJC educational seminar or program	meals, transportation and lodging
4. Bankruptcy Bar Association Southern District of Florida	May 10-13, 2012	Marco Island, FL	Non-FJC educational seminar or program	meals, transportation, airfare, and lodging
5. University of Texas School of Law	August 8-10, 2012	Galveston, TX	Non-FJC educational seminar or program	meals, transportation and lodging

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6.	Bankruptcy Bar Foundation of the Southern District of FL, Inc.	October 21-25, 2012	Miami, FL	Non-FJC educational seminar or program	meals, transportation, airfare, and lodging
7.	Commercial Law League of America	November 8-10, 2012	New York, NY	Non-FJC educational seminar or program	meals, transportation, airfare, and lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Southwest Airlines VISA	Credit Card	K
2.	Northwestern Whole Life Insurance Policy (see part VIII)	Whole Life Insurance Policy Loan	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. University Federal Credit Union accounts	A	Interest	K	T					
2. Texas Health Credit Union accounts	A	Interest	J	T					
3. Comerica Bank account	A	Interest	J	T					
4. Wells Fargo Bank, N.A. accounts	A	Interest	J	T					
5. Bank of America, N.A. account		None	J	T					
6. JP Morgan Chase Bank, N.A. accounts	A	Interest	L	T					
7. Velocity Credit Union accounts	A	Interest	J	T					
8. Duke Energy Corp. common (DRIP Shares)	A	Dividend	J	T					
9. Glaxosmithkline PLC com (DRIP Shares)	A	Dividend	J	T					
10. Imation Corp. common		None	J	T					
11. Whole Foods Mkt Inc. common	A	Dividend	K	T					
12. T Rowe Price Growth Stock Fund	A	Dividend	M	T					
13. Dreyfus Liquid Assets Class 1		None	J	T					
14. Dell, Inc. common	A	Dividend	J	T					
15. IRA # 1 (UFCU-JB) (H)									
16. - University Federal Credit Union	A	Interest	J	T					
17. IRA # 2 (UFCU-MEP) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - University Federal Credit Union	A	Interest	J	T					
19. IRA # 3 (3382) (H)									
20. - New England Securities Daily Income Money Mkt Retail C1	A	Interest	J	T					
21. - PIMCO Commodity Real Return Strategy Fund C1 D	A	Dividend	J	T					
22. - Templeton Global Bond Fund Class A	A	Dividend	J	T					
23. - John Hancock High Yield Class A	A	Dividend			Sold	05/14/12	J		
24. - Davis New York Venture Class A	A	Dividend	J	T	Buy (add'l)	05/14/12	J		
25. - American Funds: The Growth Fund of America Class F-1	A	Dividend	J	T					
26. - Eagle Sm Cap Grwth Fd C1 A		None	J	T					
27. - Perkins Mid Cap Value Fd Class T	A	Dividend	J	T					
28. - Alger Midcap Growth Fund Class A		None	J	T					
29. - American Funds: Europacific Growth Fd Class F-1	A	Dividend	J	T	Sold (part)	05/14/12	J		
30. - T Rowe Price Emerging Markets Stock (Fd)	A	Dividend	J	T					
31. - Alpine Intl Real Estate Eq Fd C1 Y	A	Dividend	J	T	Buy (add'l)	05/14/12	J		
32. - Gabelli Ent Mgr & Acq Fd C1 A		None	J	T					
33. - Hussman Strategic Growth Fund	A	Dividend	J	T	Buy (add'l)	05/14/12	J		
34. - Columbia Sel Smr-CapVal Fd C1 A	A	Dividend	J	T					

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35. - Mainstay ICAP Equity Fund C1 A	A	Dividend	J	T	Buy (add'l)	05/14/12	J		
36. - Invesco Intl Growth Fund C1 A	A	Dividend	J	T	Sold (part)	05/14/12	J		
37. - Fidelity Advisor New Insights Fd C1 I	A	Dividend	J	T	Buy	05/14/12	J		
38. - Ivy High Income Fd C1 A	A	Dividend	J	T	Buy	05/14/12	J		
39. IRA # 6 (JB-AM) (021) (see part VIII) (H)									
40. - Ameriprise Insured Money Market		None	J	T					
41. - AIM Invesco Global Core Eq C1 A fka Inv Global Core Eq-B	A	Dividend	J	T					
42. - AIM Invesco Real Estate C1 A fka Invesco Real Estate - B	A	Dividend	J	T					
43. - Oppenheimer Funds:OPP Small &M/Cap Value - A	A	Dividend	J	T					
44. - AIM Invesco Val Opps C1 A fka Invesco VK Val Opps - B	A	Dividend	J	T					
45. - MFS Family of Funds:MFS Utilities - B	A	Dividend	J	T					
46. IRA # 7 (MEP-AM) (004) (H)									
47. - RVS Retirement Advisor Advantg 4 Var Ann		None	K	T					
48. 457 Deferred Compensation Plan at State of Texas	A	Dividend	K	T					
49. 401K at State of Texas	B	Dividend	N	T					
50. RiverSource Variable Univ Life Insurance Policy		None	J	T					
51. Northwestern Whole Life Insurance Policy	C	Dividend	L	T					

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52. Schulle, LLC (see part VIII)	E	Rent	N	W					
53. Trust Acct # 1 - Trustee (H)									
54. - New England Securities Daily Income Money Mkt Retail CI	A	Dividend	K	T					
55. - Invesco Intl Grwth Fd CI A	A	Dividend	J	T					
56. - Cohen & Steers Global Realty Fd CI A	A	Dividend	J	T					
57. - Columbia Mid Cap Value Fund CI A	A	Dividend	J	T					
58. - Davis New York Venture CI A	A	Dividend	J	T					
59. - Wells Fargo Advantage ST Muni Bd Fd CI A	A	Int./Div.	K	T					
60. - American Funds: The Growth Fund of America CI F-I	A	Dividend	J	T					
61. - John Hancock High Yield CI A	A	Dividend	J	T					
62. - Templeton Global Bond Fund CI A	A	Dividend	J	T					
63. - American Funds: Europacific Growth Fd CI F-I	A	Dividend	J	T					
64. - PIMCO Commodity Real Return Strategy Fund A	A	Dividend	J	T					
65. - Mainstay ICAP Equity Fund CI A	A	Dividend	J	T					
66. - Capital World Bond Fund CI F-I	A	Dividend	J	T					
67. - Prudential High Yld Fd Inc CI A	A	Dividend	J	T					
68. - Fidelity Advisor New Insights Fd CI A	A	Dividend	J	T					

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69. - Meridian Growth Fund	A	Dividend	J	T					
70. - Nuveen High Yield Municipal Bond Fd Cl A	A	Int./Div.	J	T					
71. - Vanguard Inflation Protected Securities Fund	A	Dividend	K	T					
72. - ING Global Real Estate Fd Cl A	A	Dividend	J	T					
73. Whirlpool Corp. common	A	Dividend	J	T					
74. IRA # 8 (MEP-FM-Rth-DM) (731) (H)									
75. - Fidelity Large Cap Stock Fund	A	Dividend	K	T					
76. - Fidelity Select Biotechnology Fund	A	Dividend	J	T					
77. - Fidelity US Gov't Reserves	A	Dividend	J	T					
78. IRA # 9 (MEP-FM-DM) (723) (H)									
79. - Fidelity Cash Reserves		None	J	T					
80. Pfizer Inc common	A	Dividend	J	T					
81. USAA Certificate of Deposit	A	Interest	J	T					
82. IRA #11 (770) (H) (see part VIII)									
83. - New England Securities:Metlife Bank Money Market Account	A	Interest	L	T					
84. IRA # 12 (1763) (H)									
85. - New England Securities Metlife Bank Premier Money Market	A	Interest			Closed	04/27/12	J		

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86. - Invesco Mid Cap Core Eq Fd Cl A	B	Dividend	K	T	Buy (add'l)	04/23/12	J		
87. - Invesco Developing Mkts Fd Cl A	A	Dividend	K	T	Buy (add'l)	04/23/12	J		
88. - Invesco Intl Growth Fd Cl A	A	Dividend	K	T	Sold (part)	04/23/12	L	C	
89. - Blackrock Equity Dividend Fund Cl A	B	Dividend	M	T	Buy (add'l)	04/23/12	K		
90. - Prudential High Yld Fd Inc Cl A	B	Dividend	K	T	Buy (add'l)	04/23/12	J		
91. - Gabelli Enterprise Mergers & Acq Fund Cl A		None	K	T	Buy (add'l)	04/23/12	J		
92. - Fidelity Advisor New Insights Fund Cl I	A	Dividend	M	T	Buy (add'l)	04/23/12	K		
93. - Franklin/Templeton Hard Currency Fund Cl A	A	Dividend	J	T	Sold (part)	04/23/12	J		
94. - Hussman Strategic Growth Fund	A	Dividend	K	T	Buy (add'l)	04/23/12	J		
95. - ING Global Real Estate Fund Cl A	A	Dividend	K	T	Sold (part)	04/23/12	J	A	
96. - Perkins Mid Cap Value Fund Cl A	A	Dividend	K	T	Buy (add'l)	04/23/12	J		
97. - PIMCO Commodity Real Return Strategy Fund Cl D	A	Dividend	J	T	Buy (add'l)	04/23/12	J		
98. - PIMCO Total Return Fund Cl D	B	Dividend	K	T	Buy (add'l)	04/23/12	J		
99. - Templeton Global Bond Fund Cl A	B	Dividend	K	T	Sold (part)	04/23/12	J	A	
100. - Thornburg International Value Fund Cl A	A	Dividend	K	T	Sold (part)	04/23/12	K	B	
101. - Invesco Sm Cap Val Fd Cl A fka Inv VK Sm Cp Val Fd Cl A	B	Dividend	J	T	Buy (add'l)	04/23/12	J		
102. - Invesco Sm Cp Grwth Fd Cl A fka Inv VK Sm Cp Grth Fd Cl A	B	Dividend	J	T	Buy (add'l)	04/23/12	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Doubleline Total Return Bd Fd Cl I	B	Dividend	K	T	Buy (add'l)	04/23/12	J		
104. - MFS Emerging Markets Debt Fd Cl A	B	Dividend	K	T	Sold (part)	04/23/12	J		
105. - Dreyfus Insured Deposits Premier	A	Interest	J	T	Open	04/30/12	J		
106. Inland American Real Estate Trust	A	Dividend	J	T					
107. Powell Mineral Trust - (see part VIII)	A	Royalty	J	W					
108. IRA #13 (JB - CB) (H)									
109. - Community Natl Bank account		None	J	T					
110. - CNL Lifestyle Prop Inc: Chambers St Prop (REIT) fka CBRE	B	Dividend	K	T					
111. Trust Acct # 2 - Trustee (see part VIII) (H)									
112. - USAA Growth Fund	A	Dividend	J	T					
113. - USAA Precious Metals & Minerals Fund	A	Dividend	K	T					
114. - Trust #2 Brokerage Acct #1(MSSB 2060) (H)(X)(see part VIII)									
115. - - MSSB Cash Deposits (X)		None	J	T					
116. - - AT&T Inc. common (X)		None	J	T					
117. - - Campbell Soup Co common (X)		None	J	T					
118. - - MVRAR/ETG/STRMTLS ETF (X)	A	Dividend	J	T					
119. - - Oneok Inc New common (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- Pfizer Inc common (X)		None	J	T					
121. -- American High Income Trust F1 (X)	A	Dividend	J	T					
122. -- Artisan Midcap Value Inv (X)		None	J	T					
123. -- Baron Growth Retail (X)		None	J	T					
124. -- Blackrock Inflat Prot Bond A (X)		None	J	T					
125. -- Cambiar Small Cap Inv (X)		None	J	T					
126. -- Harding Loevner Intl Eq Inv (X)		None	J	T					
127. -- Invesco Premier Inst (X)	A	Dividend	J	T					
128. -- Ivy Mid Cap Growth A (X)		None	J	T					
129. -- Lazard Emerging Markets I (X)		None	J	T					
130. -- Harris Assoc Inv Tr: Oakmark Fund Cl I (X)		None	J	T					
131. -- PIMCO Emerging Mkts Bond A (X)	A	Dividend	J	T					
132. -- PIMCO Foreign Bd (Unhedged) A (X)	A	Dividend	J	T					
133. -- PIMCO Short-Term A (X)	A	Dividend	J	T					
134. -- PIMCO Total Return D (X)	A	Dividend	K	T					
135. -- Prudential Jennison Growth Z (X)		None	J	T					
136. Trust Acct #3 - Trustee & Beneficiary (see part VIII) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Tr #3 Bkg Acct #1 (MSSB 2060 fka 014) (H)(see part VIII)									
138. -- Citibank, NA Bank Deposit Program	A	Interest			Distributed	12/31/12	J		
139. -- Western Asset Money Market Fd C1 A	A	Dividend			Distributed	12/31/12	J		
140. -- American High Income Trust C1 F1	A	Dividend			Buy (add'l)	06/08/12	J		
141.					Buy (add'l)	08/24/12	J		
142.					Sold (part)	11/09/12	J		
143.					Sold (part)	11/09/12	J		
144.					Sold (part)	11/09/12	J		
145.					Sold (part)	11/09/12	J		
146.					Sold (part)	11/09/12	J		
147.					Sold (part)	11/09/12	J		
148.					Sold (part)	11/09/12	J		
149.					Sold (part)	11/09/12	J		
150.					Sold (part)	11/09/12	J		
151.					Sold (part)	11/09/12	J		
152.					Sold (part)	11/09/12	J		
153.					Distributed	12/28/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 P3 = \$25,000,001 - \$50,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Column C2)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- AT&T Inc. common	A	Dividend			Distributed	12/27/12	J		
155. -- Baron Growth Fund	A	Dividend			Sold (part)	06/08/12	J	A	
156.					Sold (part)	06/08/12	J	A	
157.					Sold (part)	06/08/12	J	A	
158.					Sold (part)	06/08/12	J	A	
159.					Sold (part)	06/08/12	J	A	
160.					Sold (part)	06/08/12	J	A	
161.					Sold (part)	06/08/12	J	A	
162.					Sold (part)	08/24/12	J	A	
163.					Sold (part)	11/09/12	J		
164.					Distributed	12/28/12	J		
165. -- Campbell Soup Co common	A	Dividend			Distributed	12/27/12	J		
166. -- Cambiar Small Cap Fund		None			Sold (part)	06/08/12	J	A	
167.					Sold (part)	08/24/12	J	A	
168.					Buy (add'l)	11/09/12	J		
169.					Distributed	12/28/12	J		
170. -- Harding Loevner Intl Eq Inv Cl	A	Dividend			Buy (add'l)	06/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000 J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000 K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000 L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000 M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	08/24/12	J		
172.					Buy (add'l)	11/09/12	J		
173.					Distributed	12/28/12	J		
174. -- Lazard Emerging Mkts Eq Portfolio Institutional Shs	A	Dividend			Sold (part)	06/08/12	J		
175.					Sold (part)	08/24/12	J		
176.					Sold (part)	11/09/12	J		
177.					Distributed	12/28/12	J		
178. -- MVRAR/ETG/STRMTLS ETF fka Mkt Vctr Rare Erth Str Mtls		None			Distributed	12/27/12	J		
179. -- Harris Assoc Inv Tr: Oakmark Fund	A	Dividend			Sold (part)	06/08/12	J	A	
180.					Sold (part)	08/24/12	J	A	
181.					Buy (add'l)	11/09/12	J		
182.					Distributed	12/28/12	J		
183. -- Oneok Inc New common	A	Dividend			Distributed	12/27/12	J		
184. -- Pfizer Inc common	A	Dividend			Distributed	12/27/12	J		
185. -- PIMCO Foreign Bd (Unhedged) Fd Cl A	A	Dividend			Sold (part)	06/08/12	J	A	
186.					Sold (part)	08/24/12	J	A	
187.					Sold (part)	08/24/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	11/09/12	J	A	
189.					Distributed	12/28/12	J		
190. -- PIMCO Total Return Fd Cl D	B	Dividend			Sold (part)	06/08/12	J	A	
191.					Sold (part)	08/24/12	J	A	
192.					Sold (part)	08/24/12	J	A	
193.					Sold (part)	08/24/12	J	A	
194.					Sold (part)	08/24/12	J	A	
195.					Sold (part)	08/24/12	J	A	
196.					Sold (part)	08/24/12	J	A	
197.					Sold (part)	08/24/12	J		
198.					Sold (part)	08/24/12	J	A	
199.					Sold (part)	08/24/12	J	A	
200.					Sold (part)	08/24/12	J	A	
201.					Sold (part)	08/24/12	J	A	
202.					Sold (part)	08/24/12	J	A	
203.					Sold (part)	08/24/12	J	A	
204.					Sold (part)	08/24/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	08/24/12	J	A	
206.					Sold (part)	08/24/12	J	A	
207.					Sold (part)	08/24/12	J	A	
208.					Sold (part)	08/24/12	J	A	
209.					Sold (part)	08/24/12	J	A	
210.					Sold (part)	08/24/12	J	A	
211.					Sold (part)	08/24/12	J	A	
212.					Sold (part)	08/24/12	J	A	
213.					Sold (part)	08/24/12	J	A	
214.					Sold (part)	11/09/12	J	A	
215.					Sold (part)	11/09/12	J	A	
216.					Sold (part)	11/09/12	J	A	
217.					Sold (part)	11/09/12	J	A	
218.					Sold (part)	11/09/12	J	A	
219.					Sold (part)	11/09/12	J	A	
220.					Sold (part)	11/09/12	J	A	
221.					Sold (part)	11/09/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	11/09/12	J	A	
223.					Sold (part)	11/09/12	J	A	
224.					Sold (part)	11/09/12	J	A	
225.					Sold (part)	11/09/12	J	A	
226.					Sold (part)	11/09/12	J	A	
227.					Sold (part)	11/09/12	J	A	
228.					Sold (part)	11/09/12	J	A	
229.					Sold (part)	11/09/12	J	A	
230.					Sold (part)	11/09/12	J	A	
231.					Sold (part)	11/09/12	J	A	
232.					Sold (part)	11/09/12	J	A	
233.					Distributed	12/28/12	K		
234.	- - Prudential Jennison Growth Fd C1 Z	None			Sold (part)	06/08/12	J	A	
235.					Buy (add'l)	08/24/12	J		
236.					Buy (add'l)	11/09/12	J		
237.					Distributed	12/28/12	K		
238.	- - Rare Element Resources Ltd - CAD	None			Sold	11/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -- Sysco Corp common	A	Dividend			Sold (part)	11/20/12	J		
240.					Sold	11/20/12	J		
241. -- PIMCO Emerging Mkts Bond A	A	Dividend			Buy	06/08/12	J		
242.					Buy (add'l)	08/24/12	J		
243.					Sold (part)	11/09/12	J	A	
244.					Distributed	12/28/12	J		
245. -- Legg Mason WA MMKT A	A	Dividend			Buy	06/08/12	J		
246.					Sold (part)	06/15/12	J		
247.					Sold	06/19/12	J		
248. -- Artisan Midcap Value Inv	A	Dividend			Buy	06/08/12	J		
249.					Distributed	12/28/12	J		
250. -- Ivy Mid Cap Growth A		None			Buy	06/08/12	J		
251.					Buy (add'l)	11/09/12	J		
252.					Distributed	12/28/12	J		
253. -- Invesco Premier PTF Instl	A	Dividend			Buy	06/19/12	J		
254.					Buy (add'l)	08/24/12	J		
255.					Buy (add'l)	11/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Distributed	12/28/12	J		
257. -- PIMCO Short-Term A	A	Dividend			Buy	08/24/12	J		
258.					Sold (part)	11/09/12	J	A	
259.					Distributed	12/28/12	J		
260. -- Blackrock Inflat Prot Bond A	A	Dividend			Buy	08/24/12	J		
261.					Sold (part)	11/09/12	J		
262.					Distributed	12/28/12	J		
263. - Trust #3 Brokerage Acct #2 (NES 158) (H) (see part VIII)									
264. -- New Eng Sec:Daily Income Money Market Retail Class	A	Interest			Distributed (part)	11/09/12	K		
265.					Distributed	11/20/12	J		
266. -- Capital World Bond Fund CI B	A	Dividend			Sold (part)	11/06/12	J		
267.					Sold (part)	11/06/12	J		
268.					Sold (part)	11/06/12	J		
269.					Sold (part)	11/06/12	J		
270.					Sold (part)	11/06/12	J	A	
271.					Sold (part)	11/06/12	J	A	
272.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	11/06/12	J		
274.					Sold (part)	11/06/12	J		
275.					Sold (part)	11/06/12	J		
276.					Sold (part)	11/06/12	J	A	
277.					Sold (part)	11/06/12	J	A	
278.					Sold (part)	11/06/12	J		
279.					Sold (part)	11/06/12	J	A	
280.					Sold (part)	11/06/12	J	A	
281.					Sold (part)	11/06/12	J	A	
282.					Sold (part)	11/06/12	J	A	
283.					Sold (part)	11/06/12	J		
284.					Sold (part)	11/06/12	J		
285.					Sold (part)	11/06/12	J		
286.					Sold (part)	11/06/12	J		
287.					Sold	11/06/12	J	A	
288.	-- Davis New York Venture C1 B	None			Sold (part)	11/06/12	J	A	
289.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	11/06/12	J		
291.					Sold (part)	11/06/12	J		
292.					Sold	11/06/12	J		
293. - - Dreyfus AMT-Free Muni Bond Fd Cl A	A	Dividend			Sold (part)	11/06/12	J	A	
294.					Sold (part)	11/06/12	J	A	
295.					Sold (part)	11/06/12	J		
296.					Sold (part)	11/06/12	J		
297.					Sold (part)	11/06/12	J	A	
298.					Sold (part)	11/06/12	J		
299.					Sold (part)	11/06/12	J		
300.					Sold (part)	11/06/12	J		
301.					Sold (part)	11/06/12	J		
302.					Sold (part)	11/06/12	J		
303.					Sold (part)	11/06/12	J		
304.					Sold (part)	11/06/12	J		
305.					Sold (part)	11/06/12	J	A	
306.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	11/06/12	J	A	
308.					Sold (part)	11/06/12	J	A	
309.					Sold (part)	11/06/12	J	A	
310.					Sold (part)	11/06/12	J	A	
311.					Sold (part)	11/06/12	J	A	
312.					Sold (part)	11/06/12	J	A	
313.					Sold (part)	11/06/12	J	A	
314.					Sold (part)	11/06/12	J	A	
315.					Sold (part)	11/06/12	J	A	
316.					Sold (part)	11/06/12	J	A	
317.					Sold (part)	11/06/12	J	A	
318.					Sold (part)	11/06/12	J	A	
319.					Sold (part)	11/06/12	J	A	
320.					Sold (part)	11/06/12	J	A	
321.					Sold (part)	11/06/12	J	A	
322.					Sold (part)	11/06/12	J	A	
323.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Sold (part)	11/06/12	J	A	
325.					Sold (part)	11/06/12	J	A	
326.					Sold (part)	11/06/12	J	A	
327.					Sold (part)	11/06/12	J	A	
328.					Sold (part)	11/06/12	J	A	
329.					Sold (part)	11/06/12	J	A	
330.					Sold (part)	11/06/12	J	A	
331.					Sold (part)	11/06/12	J	A	
332.					Sold (part)	11/06/12	J	A	
333.					Sold (part)	11/06/12	J	A	
334.					Sold (part)	11/06/12	J	A	
335.					Sold (part)	11/06/12	J	A	
336.					Sold (part)	11/06/12	J	A	
337.					Sold (part)	11/06/12	J	A	
338.					Sold (part)	11/06/12	J	A	
339.					Sold (part)	11/06/12	J	A	
340.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold (part)	11/06/12	J	A	
342.					Sold (part)	11/06/12	J	A	
343.					Sold (part)	11/06/12	J	A	
344.					Sold (part)	11/06/12	J	A	
345.					Sold (part)	11/06/12	J	A	
346.					Sold (part)	11/06/12	J	A	
347.					Sold (part)	11/06/12	J	A	
348.					Sold (part)	11/06/12	J	A	
349.					Sold (part)	11/06/12	J	A	
350.					Sold (part)	11/06/12	J	A	
351.					Sold (part)	11/06/12	J	A	
352.					Sold (part)	11/06/12	J	A	
353.					Sold (part)	11/06/12	J	A	
354.					Sold (part)	11/06/12	J	A	
355.					Sold (part)	11/06/12	J	A	
356.					Sold (part)	11/06/12	J	A	
357.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	11/06/12	J	A	
359.					Sold (part)	11/06/12	J	A	
360.					Sold (part)	11/06/12	J	A	
361.					Sold (part)	11/06/12	J	A	
362.					Sold	11/06/12	J	A	
363. -- American Funds:Europacific Growth Fd Cl B		None			Sold (part)	11/06/12	J	A	
364.					Sold (part)	11/06/12	J		
365.					Sold (part)	11/06/12	J		
366.					Sold (part)	11/06/12	J		
367.					Sold (part)	11/06/12	J		
368.					Sold (part)	11/06/12	J		
369.					Sold (part)	11/06/12	J		
370.					Sold (part)	11/06/12	J		
371.					Sold	11/06/12	J		
372. -- Franklin Templeton Hard Curr Fd Cl A		None			Sold (part)	11/06/12	J	A	
373.					Sold (part)	11/06/12	J		
374.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	11/06/12	J		
376.					Sold (part)	11/06/12	J		
377.					Sold	11/06/12	J		
378. -- American Funds:The Growth Fund of America C1 B		None			Sold (part)	11/06/12	J	A	
379.					Sold (part)	11/06/12	J	A	
380.					Sold (part)	11/06/12	J	A	
381.					Sold (part)	11/06/12	J	A	
382.					Sold (part)	11/06/12	J		
383.					Sold	11/06/12	J		
384. -- Invesco Mid Cap Growth Fd C1 B fka Cap Developmnt Fd		None			Sold (part)	11/06/12	J		
385.					Sold (part)	11/06/12	J		
386.					Sold	11/06/12	J		
387. -- John Hancock High Yld C1 B	A	Dividend			Sold (part)	11/06/12	J	A	
388.					Sold (part)	11/06/12	J		
389.					Sold (part)	11/06/12	J		
390.					Sold (part)	11/06/12	J		
391.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	11/06/12	J		
393.					Sold (part)	11/06/12	J		
394.					Sold (part)	11/06/12	J		
395.					Sold (part)	11/06/12	J		
396.					Sold (part)	11/06/12	J		
397.					Sold (part)	11/06/12	J		
398.					Sold (part)	11/06/12	J		
399.					Sold (part)	11/06/12	J		
400.					Sold (part)	11/06/12	J		
401.					Sold (part)	11/06/12	J		
402.					Sold (part)	11/06/12	J		
403.					Sold (part)	11/06/12	J		
404.					Sold (part)	11/06/12	J		
405.					Sold (part)	11/06/12	J		
406.					Sold (part)	11/06/12	J		
407.					Sold (part)	11/06/12	J		
408.					Sold (part)	11/06/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	11/06/12	J		
410.					Sold (part)	11/06/12	J		
411.					Sold (part)	11/06/12	J		
412.					Sold (part)	11/06/12	J		
413.					Sold (part)	11/06/12	J		
414.					Sold (part)	11/06/12	J		
415.					Sold (part)	11/06/12	J		
416.					Sold (part)	11/06/12	J		
417.					Sold (part)	11/06/12	J		
418.					Sold (part)	11/06/12	J		
419.					Sold (part)	11/06/12	J		
420.					Sold (part)	11/06/12	J		
421.					Sold (part)	11/06/12	J		
422.					Sold (part)	11/06/12	J		
423.					Sold (part)	11/06/12	J		
424.					Sold (part)	11/06/12	J		
425.					Sold (part)	11/06/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426.					Sold (part)	11/06/12	J		
427.					Sold (part)	11/06/12	J		
428.					Sold (part)	11/06/12	J		
429.					Sold (part)	11/06/12	J		
430.					Sold (part)	11/06/12	J		
431.					Sold (part)	11/06/12	J		
432.					Sold (part)	11/06/12	J		
433.					Sold (part)	11/06/12	J		
434.					Sold (part)	11/06/12	J		
435.					Sold (part)	11/06/12	J		
436.					Sold (part)	11/06/12	J		
437.					Sold (part)	11/06/12	J		
438.					Sold (part)	11/06/12	J		
439.					Sold (part)	11/06/12	J		
440.					Sold (part)	11/06/12	J		
441.					Sold (part)	11/06/12	J		
442.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	11/06/12	J		
444.					Sold (part)	11/06/12	J		
445.					Sold (part)	11/06/12	J		
446.					Sold (part)	11/06/12	J		
447.					Sold (part)	11/06/12	J		
448.					Sold (part)	11/06/12	J		
449.					Sold (part)	11/06/12	J		
450.					Sold (part)	11/06/12	J	A	
451.					Sold (part)	11/06/12	J	A	
452.					Sold	11/06/12	J		
453. -- MFS Emerging Mkts Debt Fd Cl B	A	Dividend			Sold (part)	11/06/12	J		
454.					Sold (part)	11/06/12	J		
455.					Sold (part)	11/06/12	J		
456.					Sold (part)	11/06/12	J		
457.					Sold (part)	11/06/12	J		
458.					Sold (part)	11/06/12	J		
459.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	11/06/12	J		
461.					Sold (part)	11/06/12	J		
462.					Sold (part)	11/06/12	J		
463.					Sold (part)	11/06/12	J		
464.					Sold (part)	11/06/12	J		
465.					Sold (part)	11/06/12	J		
466.					Sold (part)	11/06/12	J		
467.					Sold (part)	11/06/12	J	A	
468.					Sold (part)	11/06/12	J	A	
469.					Sold (part)	11/06/12	J	A	
470.					Sold (part)	11/06/12	J	A	
471.					Sold (part)	11/06/12	J	A	
472.					Sold (part)	11/06/12	J	A	
473.					Sold (part)	11/06/12	J	A	
474.					Sold (part)	11/06/12	J	A	
475.					Sold (part)	11/06/12	J	A	
476.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	11/06/12	J	A	
478.					Sold (part)	11/06/12	J	A	
479.					Sold (part)	11/06/12	J	A	
480.					Sold (part)	11/06/12	J	A	
481.					Sold (part)	11/06/12	J	A	
482.					Sold (part)	11/06/12	J	A	
483.					Sold (part)	11/06/12	J	A	
484.					Sold (part)	11/06/12	J	A	
485.					Sold (part)	11/06/12	J	A	
486.					Sold (part)	11/06/12	J	A	
487.					Sold (part)	11/06/12	J	A	
488.					Sold (part)	11/06/12	J	A	
489.					Sold (part)	11/06/12	J	A	
490.					Sold (part)	11/06/12	J		
491.					Sold (part)	11/06/12	J	A	
492.					Sold (part)	11/06/12	J	A	
493.					Sold (part)	11/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Sold (part)	11/06/12	J		
495.					Sold (part)	11/06/12	J		
496.					Sold (part)	11/06/12	J		
497.					Sold (part)	11/06/12	J	A	
498.					Sold (part)	11/06/12	J		
499.					Sold (part)	11/06/12	J	A	
500.					Sold (part)	11/06/12	J	A	
501.					Sold (part)	11/06/12	J		
502.					Sold (part)	11/06/12	J	A	
503.					Sold (part)	11/06/12	J	A	
504.					Sold (part)	11/06/12	J	A	
505.					Sold (part)	11/06/12	J	A	
506.					Sold (part)	11/06/12	J	A	
507.					Sold (part)	11/06/12	J		
508.					Sold (part)	11/06/12	J		
509.					Sold (part)	11/06/12	J	A	
510.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Sold	11/06/12	J		
512. -- Putnam Small Cap Value Cl B		None			Sold (part)	11/06/12	J		
513.					Sold (part)	11/06/12	J		
514.					Sold (part)	11/06/12	J		
515.					Sold (part)	11/06/12	J		
516.					Sold	11/06/12	J		
517. -- Small Cap World Fd Cl B		None			Sold (part)	11/06/12	J		
518.					Sold (part)	11/06/12	J		
519.					Sold (part)	11/06/12	J		
520.					Sold	11/06/12	J		
521. -- Templeton Global Bd Fd Cl A	A	Dividend			Sold (part)	11/06/12	J		
522.					Sold (part)	11/06/12	J		
523.					Sold (part)	11/06/12	J		
524.					Sold (part)	11/06/12	J	A	
525.					Sold (part)	11/06/12	J	A	
526.					Sold (part)	11/06/12	J		
527.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Sold (part)	11/06/12	J		
529.					Sold (part)	11/06/12	J		
530.					Sold (part)	11/06/12	J	A	
531.					Sold (part)	11/06/12	J	A	
532.					Sold (part)	11/06/12	J		
533.					Sold (part)	11/06/12	J		
534.					Sold (part)	11/06/12	J		
535.					Sold (part)	11/06/12	J		
536.					Sold (part)	11/06/12	J		
537.					Sold (part)	11/06/12	J		
538.					Sold (part)	11/06/12	J		
539.					Sold (part)	11/06/12	J		
540.					Sold (part)	11/06/12	J		
541.					Sold (part)	11/06/12	J		
542.					Sold (part)	11/06/12	J		
543.					Sold (part)	11/06/12	J		
544.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	11/06/12	J		
546.					Sold (part)	11/06/12	J		
547.					Sold (part)	11/06/12	J		
548.					Sold (part)	11/06/12	J		
549.					Sold (part)	11/06/12	J		
550.					Sold (part)	11/06/12	J		
551.					Sold (part)	11/06/12	J		
552.					Sold (part)	11/06/12	J		
553.					Sold (part)	11/06/12	J		
554.					Sold (part)	11/06/12	J		
555.					Sold (part)	11/06/12	J		
556.					Sold (part)	11/06/12	J		
557.					Sold (part)	11/06/12	J		
558.					Sold (part)	11/06/12	J		
559.					Sold (part)	11/06/12	J		
560.					Sold (part)	11/06/12	J		
561.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	11/06/12	J		
563.					Sold (part)	11/06/12	J		
564.					Sold (part)	11/06/12	J		
565.					Sold (part)	11/06/12	J		
566.					Sold (part)	11/06/12	J		
567.					Sold (part)	11/06/12	J		
568.					Sold (part)	11/06/12	J		
569.					Sold (part)	11/06/12	J		
570.					Sold (part)	11/06/12	J		
571.					Sold (part)	11/06/12	J		
572.					Sold (part)	11/06/12	J		
573.					Sold (part)	11/06/12	J		
574.					Sold (part)	11/06/12	J		
575.					Sold (part)	11/06/12	J		
576.					Sold (part)	11/06/12	J		
577.					Sold (part)	11/06/12	J		
578.					Sold (part)	11/06/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold (part)	11/06/12	J		
580.					Sold (part)	11/06/12	J		
581.					Sold (part)	11/06/12	J		
582.					Sold (part)	11/06/12	J		
583.					Sold (part)	11/06/12	J		
584.					Sold (part)	11/06/12	J		
585.					Sold (part)	11/06/12	J		
586.					Sold (part)	11/06/12	J		
587.					Sold (part)	11/06/12	J		
588.					Sold	11/06/12	J		
589. -- Van Eck Global Hrd Asts Fd Cl A		None			Sold (part)	11/06/12	J	A	
590.					Sold (part)	11/06/12	J		
591.					Sold (part)	11/06/12	J		
592.					Sold	11/06/12	J		
593. - Trust #3 Brokerage Acct #5 (VRB - 576) (see part VIII)(H)									
594. -- JPMorgan TR II Liquid Assets MMkt Fd Morgan Sh Cl		None			Distributed	10/22/12	L		
595. -- Blackrock Cap Apprec Fd Inc Cl A		None			Sold	10/17/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

596. -- Delaware Pooled Tr:REIT Instl C1	A	Dividend			Sold (part)	10/17/12	J	A	
597.					Sold (part)	10/17/12	J		
598.					Sold (part)	10/17/12	J	A	
599.					Sold (part)	10/17/12	J	A	
600.					Sold (part)	10/17/12	J	A	
601.					Sold (part)	10/17/12	J	A	
602.					Sold (part)	10/17/12	J	A	
603.					Sold (part)	10/17/12	J	A	
604.					Sold (part)	10/17/12	J	A	
605.					Sold (part)	10/17/12	J	A	
606.					Sold (part)	10/17/12	J	A	
607.					Sold (part)	10/17/12	J	A	
608.					Sold (part)	10/17/12	J	A	
609.					Sold (part)	10/17/12	J	A	
610.					Sold (part)	10/17/12	J	A	
611.					Sold (part)	10/17/12	J	A	
612.					Sold (part)	10/17/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	10/17/12	J	A	
614.					Sold	10/17/12	J	A	
615. -- Dodge & Cox Intl Stk Fd		None			Sold (part)	10/17/12	J	A	
616.					Sold (part)	10/17/12	J		
617.					Sold (part)	10/17/12	J		
618.					Sold (part)	10/17/12	J		
619.					Sold (part)	10/17/12	J		
620.					Sold (part)	10/17/12	J		
621.					Sold (part)	10/17/12	J		
622.					Sold (part)	10/17/12	J		
623.					Sold (part)	10/17/12	J		
624.					Sold (part)	10/17/12	J		
625.					Sold (part)	10/17/12	J		
626.					Sold	10/17/12	J		
627. -- Ford Mtr Co Del Com	A	Dividend			Sold (part)	10/17/12	J		
628.					Sold (part)	10/17/12	J		
629.					Sold (part)	10/17/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Sold	10/17/12	J		
631. -- Harbor Intl Fd Institutional		None			Sold (part)	10/17/12	J	A	
632.					Sold (part)	10/17/12	J		
633.					Sold (part)	10/17/12	J		
634.					Sold (part)	10/17/12	J		
635.					Sold (part)	10/17/12	J		
636.					Sold (part)	10/17/12	J		
637.					Sold (part)	10/17/12	J		
638.					Sold (part)	10/17/12	J		
639.					Sold (part)	10/17/12	J		
640.					Sold	10/17/12	J		
641. -- Ishares TR Russell 2000 Growth Index Fd	A	Dividend			Sold (part)	10/17/12	J		
642.					Sold (part)	10/17/12	J		
643.					Sold (part)	10/17/12	J		
644.					Sold (part)	10/17/12	J		
645.					Sold (part)	10/17/12	J		
646.					Sold (part)	10/17/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	