

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Prost, Sharon	2. Court or Organization Federal Circuit	3. Date of Report 08/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Appeals, Fed Cir 717 Madison Pl., NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Connor Inn of Court	01/14/15-01/15/2015	New York City, NY	Guest of honor at dinner	Transportation, food, lodging
2. Los Angeles Intellectual Property Law Association	02/24/15-02/25/2015	Los Angeles, CA	Keynote dinner speaker	Transportation, food, lodging
3. Intellectual Property Law Section of the GA Bar	03/26/2015-03/27/2015	Atlanta, GA	Judges dinner	Transportation, food, lodging
4. New York Intellectual Property Law Association	03/27/2015-03/28/2015	New York City, NY	Judges dinner	Transportation, food, lodging
5. Japan Patent Office and Japan Federation Bar Association	04/17/2015-04/21/2015	Tokyo, Japan	Conference panelist	Transportation, food, lodging

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6.	Federal Circuit Bar Association and Delaware Chapter of the Federal Bar Association	05/07/2015-05/08/2015	Wilmington, DE	Conference panelist	Transportation, food, lodging
7.	NJ Intellectual Property Law Association	06/05/2015-06/06/2015	Newark, NJ	Guest Jefferson Medal Dinner	Transportation, food, lodging
8.	Federal Circuit Bar Association	06/24/2015-06/27/2015	Dana Point, CA	Conference panelist	Transportation, food, lodging
9.	Institute of Continuing Legal Education in GA	09/18/2015-09/20/2015	Amelia Is., FL	Conference panelist	Transportation, food, lodging
10.	Intellectual Property Owners Association	09/27/2015-09/28/2015	Chicago, IL	Luncheon speaker	Transportation, food, lodging
11.	Federal Circuit Bar Association	10/11/2015-10/21/2015	Hong Kong and Shanghai, China	Conference panelist	Transportation, food, lodging
12.	Philadelphia Intellectual Property Law Association	11/11/2015	Philadelphia, PA	Judges dinner	Transportation, food, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Supreme Industries Inc	A	Dividend	J	T					
2. ML Fundamental Growth Mutual Fund		None	J	T					
3. CMA Tax-Exempt Fund	A	Interest	J	T					
4. General Electric Company	A	Dividend			Sold	01/21/15	J		
5. Pioneer - Pioneer Value Fund CIA	A	Dividend	K	T					
6. American Funds - SmallCap World Fund. CIA (Am. Century)	A	Dividend			Sold	01/15/15	J		
7. WGL Holdings Inc.	C	Dividend	K	T					
8. American Funds - Capital World Growth Income Fund	A	Dividend	K	T					
9. American Funds New World Fund	A	Dividend	L	T					
10. Rental Property, Silver Spring, MD	D	Rent	M	W					
11. United States Senate FCU - Account	B	Interest	M	T					
12. United States Senate - ROTH IRA	A	Interest	J	T					
13. Pioneer - Pioneer Mid-Cap Growth Fund - IRA	A	Dividend	K	T					
14. M&T Bank - IRA	A	Interest	J	T					
15. PNC Bank - IRA	A	Interest	J	T					
16. U.S. Government Fund - The Reserve Fund - IRA	A	Dividend	J	T					
17. Merrill Lynch Life Insurance Cash Surrender Value	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. PNC Bank - CDs	B	Interest	L	T					
19. American Funds - Euro Pac Growth	A	Dividend			Sold	01/15/15	J		
20. Goldman Sachs TR Mid-Cap Value Fund	A	Dividend	J	T					
21. American Funds Growth Fund America (Am Century)	A	Dividend			Sold	01/15/15	J		
22. American Century New Opportunities	A	Dividend	J	T					
23. Eaton Vance Large Cap Value	A	Dividend			Sold	01/15/15	J		
24. Hartford Growth Opportunities	A	Dividend			Sold	01/15/15	J		
25. JP Morgan Undisc Mgrs Behavioral Value Fund	A	Dividend			Sold	01/15/15	J		
26. BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend	J	T					
27. Thomas White Intl	A	Dividend			Sold	01/15/15	J		
28. Westcore Small Cap Value Fund	A	Dividend	J	T					
29. Hancock Horizon Diversified	A	Dividend			Sold	11/20/15	J		
30. New Jersey Health Care FACS	A	Interest	K	T					
31. JEFFERSON CNTY COLO OPEN SPACE SALES TAX REV RF	A	Interest	K	T					
32. Spring Branch, TX Indpt. School Dist.	A	Interest	K	T					
33. Ohio St Major New St Infrastructure	A	Interest	J	T					
34. MFS ValueI Fund	A	Dividend			Sold	01/15/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Clackamas OR Cmty College	A	Interest			Sold	01/14/15	K		
36. Tampa Fla Sales Tax Rev RF	A	Interest	K	T					
37. California Health Facs Fing Auth Rev	A	Interest	K	T					
38. Virginia St PSA Sch Fing	A	Interest	K	T					
39. NEW YORK N Y SER B RF	A	Interest	K	T					
40. CLEVELAND OHIO WTR REV SECOND LIEN SER A RF	A	Interest	K	T					
41. AUGUSTA GA WTR & SEW REV & IMPT RF	A	Interest	K	T					
42. NEW YORK ST DORM AT RVS NON ST SCH A SCH GTD AGM	A	Interest	K	T					
43. ROYAL OAK MICH HOSP FIN AUTH HOSP REV SER D RF	A	Interest	J	T					
44. Spector SPDR Industrial	A	Dividend	J	T	Buy	01/20/15	J		
45.					Buy (add'l)	11/24/15	J		
46. First TR ISE Cloud Comp	A	Dividend	J	T	Buy	01/20/15	J		
47.					Buy (add'l)	11/24/15	J		
48. Deutsche X-Trackers MSCI Japan	A	Dividend	J	T	Buy	01/20/15	J		
49.					Buy (add'l)	11/24/15	J		
50. SPDR S&P Health Care Equipment	A	Dividend	J	T	Buy	01/20/15	J		
51.					Buy (add'l)	11/24/15	J		

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52. IShares DowJones US Finl Svcs	A	Dividend	J	T	Buy	01/20/15	J		
53. SPDR KBW Regional Bankin ETF	A	Dividend			Buy	01/20/15	J		
54.					Sold	02/09/15	J		
55. SPDR KBW Insurance	A	Dividend	J	T	Buy	01/20/15	J		
56. SPDR S P Pharmaceuticals	A	Dividend			Buy	01/20/15	J		
57.					Sold	10/01/15	J		
58. SPDR S P Retail	A	Dividend	J	T	Buy	01/20/15	J		
59.					Buy (add'l)	11/24/15	J		
60. First TR Financials Alphadex Fund	A	Dividend	J	T	Buy	01/20/15	J		
61.					Buy (add'l)	11/24/15	J		
62. Vanguard Industrial ETF	A	Dividend	J	T	Buy	01/20/15	J		
63. Vanguard Information Tech ETF	A	Dividend	J	T	Buy	01/20/15	J		
64. Vanguard Consumer Discretionary ETF	A	Dividend	J	T	Buy	01/20/15	J		
65. Vanguard Consumer Staples ETF	A	Dividend	J	T	Buy	01/20/15	J		
66. IShares MSCI Pacific Ex-Japan	A	Dividend			Buy	01/20/15	J		
67.					Sold	08/26/15	J		
68. IShares TR S&P GSSI Software	A	Dividend	J	T	Buy	01/20/15	J		

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69. IShares NASDAQ Biotech ETF	A	Dividend			Buy	01/20/15	J		
70.					Sold	10/01/15	J		
71. Vanguard Reit ETF	A	Dividend			Buy	02/09/15	J		
72.					Sold	09/18/15	J		
73. Salt Riv PJ AZ Agric-Pwr DT RV Ser	A	Interest	J	T	Buy	02/25/15	J		
74. Miami-Dade Cnty Fla Tran Sys	A	Interest	J	T	Buy	02/25/15	J		
75. San Angelo Tex Indpt Sch Dist	A	Interest	J	T	Buy	03/24/15	J		
76. SPDR DJ Wilshre Reit ETF	A	Dividend	J	T	Buy	09/18/15	J		
77. Vanguard Health Care ETF	A	Dividend	J	T	Buy	10/01/15	J		
78.					Buy (add'l)	11/24/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sharon Prost

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544