

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rushfelt, Gerald L.	2. Court or Organization District of Kansas	3. Date of Report 09/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 603 United States Courthouse 500 State Avenue Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust # 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Membership Dues	American College of Trial Lawyers	\$700.0
2.	Membership Dues	American Board of Trial Advocates	\$500.00
3.	Reduced Membership Dues	International Society of Barristers	\$475.0
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	J.P. Morgan Chase Bank, N.A.	Mortgage on residence of adult child in Portland, OR.	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Life Ins. VA, Whole Life,- Philadelphia, PA	B	Interest	K	T					
2. U.S. Savings Bonds	B	Interest	K	T	Redeemed (part)	12/27/17	J	B	
3. FIDELITY MANAGEMENT TRUST CO. (IRA - Mutual Funds) - #1 (H)	D	Dividend	N	T					
4. - Strategic Advisers Small-Mid Cap Fund (/FSCFX)	A	Dividend	J	T					
5. - Strategic Adv Intl Fund f/k/a Fidelity PAS Intl F (FILEX)	B	Dividend	K	T	Sold (part)	02/02/17	J	B	
6.					Sold (part)	05/23/17	J	A	
7.					Sold (part)	08/09/17	J	A	
8.					Sold (part)	10/30/17	J	A	
9.					Sold (part)	12/13/17	J	A	
10.					Sold (part)	12/13/17	J	A	
11. - Templeton Global Bond Class A (TPINX)	A	Dividend			Sold	10/25/17	J	B	
12. - Strategic Advisers Value Fund (FVSAX)	B	Dividend	K	T	Sold (part)	03/09/17	J	A	
13.					Sold (part)	10/23/17	J	A	
14.					Sold (part)	10/30/17	J	A	
15. - Strategic Advisers Core Fund (FCSAX)	B	Dividend	K	T	Sold (part)	03/09/17	J	B	
16.					Sold (part)	04/19/17	J	A	
17.					Sold (part)	08/09/17	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	10/25/17	J	A	
19.					Sold (part)	10/30/17	J	A	
20.					Sold (part)	12/13/17	J	A	
21. - Strategic Advisers Growth Fund (FSGFX)	A	Dividend	K	T	Sold (part)	10/25/17	J	A	
22.					Sold (part)	10/30/17	J	A	
23. - Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
24. - Strategic Advisers Core Income Fund (FPCIX)	C	Dividend	M	T	Buy (add'l)	03/09/17	J		
25.					Buy (add'l)	04/12/17	J		
26.					Buy (add'l)	05/23/17	J		
27.					Buy (add'l)	08/09/17	J		
28.					Buy (add'l)	10/25/17	J		
29.					Sold	10/30/17	J	B	
30.					Buy	12/13/17	J		
31. - Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T	Sold (part)	05/23/17	J		
32. - Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
33.					Buy (add'l)	05/23/17	J		
34.					Sold (part)	10/30/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Fidelity SAI U.S. Large Cap Index (FLCPX)	A	Dividend	J	T	Buy (add'l)	08/09/17	J		
36. - Fidelity SAI Emerg Markets Index (FERGX)	A	Dividend	J	T					
37. - AQR Multi Strgy Alternative Class N (ASANX)	A	Dividend	J	T					
38. - AQRManaged Futures Fund Cl N (AQMNX)		None	J	T					
39. - Fidelity GNMA Fund (FGMNX)	A	Dividend			Sold (part)	02/02/17	J	B	
40.					Sold	03/09/17	J	B	
41. - Eaton Vance Global Macro Abslste Rt Cl 1 (EIGMX)	A	Dividend	J	T					
42. - Pimco Commodity Real Return Inst (PCRIX)	A	Dividend	J	T					
43. - FIMM Government Pottfolio: Instl Cl (FRGXX)	A	Dividend	J	T					
44. - Fidelity SAI International Index (FIONX)	A	Dividend	J	T	Buy	04/19/17	J		
45.					Buy (add'l)	08/09/17	J		
46. MERRILL LYNCH IRA ACCOUNT (H)									
47. - Cash, f/k/a/ Beyond Banking - Money Account		None	J	T					
48. -Bank of America NA RASP f/k/a/ ML Bank USA RASP - Cash Account	A	Interest	J	T					
49. -American Funds Inc. Fund of America Cl F2 (AMEFX f/k/a/FAFX) FAFX)	A	Dividend	K	T	Sold (part)	11/21/17	J		
50. - First Eagle Global Class I (SGHX f/k/a (FESGX)	A	Dividend	K	T	Sold (part)	11/21/17	J		
51. - Pioneer Strategic Income Fund Cl Y (STRYX f/k/a/ PSRCX)	B	Dividend	K	T	Sold (part)	11/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Nuveen Intm Dur Muni Bond Fd C1 I		None	K	T					
53. BROKERAGE #1 - Merrill Lynch Account (H)									
54. - Cash, f/k/a Beyond Banking - Money Account (X)		None	J	T					
55. - ML Bank Deposit Program f/k/a ML Bank USA RASP (X)	A	Interest	K	T					
56. - Franklin Income Fd ADV (FRIAX f/k/a FCISX)	D	Dividend	L	T					
57. - American Capital Income Builder Fd C1 F2 (CAIFX f/k/a CIBCX)	B	Dividend	K	T					
58. - Blackrock National Muni Fund Instl (MANLX f/k/a MFNLX)	B	Dividend	L	T					
59. - First Eagle Global C1 I (SGHX f/k/a FESGX)	A	Dividend	L	T					
60. - Franklin US Govt Secs Fd Adv C1 (FUSAX f/k/a FRUGX)									
61. - Nuveen Kansas Municipal Bond FD C1 I (FRKSX f/k/a FCKSX)	C	Dividend	L	T	Sold (part)	11/21/17	K		
62. - American Funds American Balanced Fund C1 F2 (AMBFX f/k/a BALCX)	B	Dividend	L	T	Buy (add'l)	11/21/17	K		
63. - Blackrock Multi Asset Income Portfolio Instl (BHICX f/k/a BCICX)	C	Dividend	L	T					
64. - iShares Core S&P 500 ETF	B	Dividend	J	T	Buy (add'l)	11/20/17	K		
65. - Lord Abbott Floating Rate Fund C1 F	C	Dividend	K	T					
66. - Nuveen Intm Dur Muni Bond Fd C1 I	B	Dividend	K	T					
67. UMB Bank, n.a., Overland Park, KS - accounts	A	Interest	L	T					
68. American Century IRA (H)									

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69. - One Choice Portfolio: Moderate (AOMIX)	B	Dividend	K	T					
70. Trust # 1 (Income Beneficiary) (H)									
71. - 160 Acres of farm land in Grant County, KS - appraised 08/10/2010		None	L	Q					
72. - Linn Operating Co. gas leases, Grant Co, KS (Royalty)	E	Royalty	M	W					
73. - First National Bank of Omaha, f/k/a 1st Nat'l Bank Overland Pk, KS	A	Interest	J	T					
74. Central Bank of the Midwest f/k/a/ Metcalf Bank - Accounts	A	Interest	L	T					
75. 1st Nat'l. Bank of Omaha, f/k/a 1st N.B Overland Park KS	A	Interest	L	T					
76. Learning Quest 529 Savings Program.- 4688 Money Mkt - Shawnee Msn, KS	A	Dividend	J	T					
77.									
78.									
79.									
80.									
81.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts: Line 59: First Eagle Global Ct. I was mistakenly designated, on Line 57 of Amended Report dtd 12/11/17 for Reporting Period 01/01/2016 through 12/31/2016, as Redeemed. It should have been designated as Redeemed (part) and with a Value Code of L in Column C and a Value Method of T in Column C (2).

Line 2 is corrected to show that U.S. Savings Bonds were redeemed in part.

Line 60 - Franklin US Govt Secs Fd Adv C1 (FUSAX f/k/a FRUGX) was fully redeemed 11/03/16, as shown at Line 76 of the Amended Report for 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald L. Rushfelt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544