

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, J. Clifford	2. Court or Organization United States Court of Appeals for the Ninth Circuit	3. Date of Report 02/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 221 W. Broadway, Suite 4192 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Pacific Judicial Council
2.	Advisor	Thailand Judicial Training Institute
3.	Member	Council of Visitors of California Western School of Law
4.	Member	ABA Asia Rule of Law Initiative Council
5.	Member	William H. Rehnquist Center's National Board of Academic Advisors
6.	Member	ABA-UNDP Advisory Committee
7.	Member	National Committee on United States-China Relations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Brigham Young University	1/15/2016 to 1/16/2016	Salt Lake City	J. Reuben Clark Society Award	Transportation
2. U.C. Berkeley	1/25/2016 to 1/27/2016	U.C. Berkeley	Lecturer	Transportation, food and stipend
3. J. Reuben Clark Law School	2/11/2016	San Diego	Lecturer	Meal
4. The Church of Jesus Christ of Latter-day Saints	2/21/2016 to 3/8/2016	Brazil	Lecturer, meetings	Transportation and lodging
5. The Church of Jesus Christ of Latter-day Saints	3/15/2016 to 3/18/2016	Mexico City	Meetings	Transportation and lodging

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6.	The Church of Jesus Christ of Latter-day Saints	3/30/2016 to 4/8/2016	Brazil	Lecturer, meetings	Transportation and lodging
7.	The Furth Family Foundation	5/17/2016 to 5/23/2016	Washington, D.C.	Lecturer, conference	Transportation, lodging and incidentals
8.	The Church of Jesus Christ of Latter-day Saints	5/29/2016 to 6/3/2016	Brazil	Meetings	Transportation, lodging, visas
9.	CEELI Institute	6/17/2016 to 6/23/2016	Belgrade, Serbia	Conference	Transportation
10.	The Church of Jesus Christ of Latter-day Saints	6/17/2016 to 6/23/2016	Belgrade, Serbia	Conference	Transportation, incidentals
11.	The Church of Jesus Christ of Latter-day Saints	7/19/2016 to 7/22/2016	Peru	Lecturer, meetings	Transportation, lodging
12.	Judicial Department of Fiji	7/24/2016 to 7/30/2016	Fiji	Lecturer, conference	Transportation, lodging
13.	Brigham Young University	7/30/2016 to 8/6/2016	China	Lecturer, conference	Transportation, lodging
14.	ABA	8/6/2016 to 8/8/2016	San Francisco	Lecturer, meeting	Lodging
15.	The Church of Jesus Christ of Latter-day Saints	7/30/2016 to 8/8/2016	Fiji-China-San Francisco	Lecturer, meeting	Transportation remaining
16.	The Church of Jesus Christ of Latter-day Saints	8/10/2016 to 8/16/2016	Sri Lanka	Conference, lecturer	Transportation, food, registration, and lodging
17.	The Church of Jesus Christ of Latter-day Saints	8/16/2016 to 8/20/2016	India	Meetings	Transportation, food, lodging, visa, and incidentals
18.	Brigham Young University	9/17/2016 to 9/28/2016	Vietnam	Conference	Transportation and lodging
19.	The Church of Jesus Christ of Latter-day Saints	9/17/2016 to 9/28/2016	Vietnam	Meetings	Remaining transportation
20.	Supreme Court of Thailand	9/28/2016 to 9/30/2016	Thailand	Meetings	Lodging
21.	The Church of Jesus Christ of Latter-day Saints	9/28/2016 to 9/30/2016	Thailand	Meetings	Transportation
22.	Brigham Young University	9/30/2016 to 10/10/2016	Salt Lake City	Conference	Transportation, lodging and car rental
23.	Brigham Young University Hawaii	10/20/2016 to 10/29/2016	Hawaii	Devotional speech	Transportation and lodging
24.	Brigham Young University Seattle	11/4/2016	Seattle	Speech	Transportation
25.	Inns of Court	11/5/2016	Washington, D.C.	Award Ceremony	Transportation and lodging
26.	The Church of Jesus Christ of Latter-day Saints	11/6/2016 to 11/8/2016	San Francisco	Meetings	Transportation, lodging, and incidentals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Transwest Credit Union	B	Int./Div.	M	T					
2. Changing Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
3. Change Parameters Fund (Gemini Fund Services, LLC)	D	Dividend	N	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Clifford Wallace**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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