

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. Duke Law MDL Conference

09/11/2014 -
09/12/2014

Arlington, VA

Legal Education

Travel Expenses

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (Money Mkt)	A	Dividend	K	T	Deps. & W/ds				
2. Tucson AZ Wtr Dist. (Bond)	A	Interest	K	T					
3. College Station TX School District (Bond)	B	Interest	K	T					
4. Johnson Cty, KS Water Dist	A	Interest	K	T					
5. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	L	T					
6. EV Floating Rate A	A	Interest	K	T					
7. Glassboro NJ (Bond)	B	Interest	K	T					
8. Clearbridge Energy (Mutual Fund)	B	Dividend	K	T	Buy	04/29/14	J		
9. EV Kansas Municipals (Mutual fund)	C	Interest	K	T					
10. Blackrock Res & Comm Strat TR (Mutual Fund)	B	Dividend	J	T	Buy	04/29/14	J		
11. Gabelli Div & Incm TR (Mutual Fund)	B	Dividend	K	T					
12. Tortoise MLP Fund Inc. (Mutual Fund)	B	Dividend	K	T	Buy	04/29/14	J		
13. Gainesville FLA Utilities SYS	A	Interest	K	T	Buy	05/02/14	K		
14. Louisiana St. Energy & PWR Auth	A	Interest	K	T	Buy	05/02/14	K		
15. IRA	E	Interest	O	T					
16. -MSDW Liq. Asset (Money market fund)									
17. -Select Future Fund (Mutual Fund)					Sold	05/31/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Goldman Sachs Group, Inc. (Bond)					Sold	01/15/14	J	A	
19. -Lord Abbott Bond Deb C (Mutual Fund)					Sold	06/23/14	K	A	
20. -Invesco Commodities Strat A (Mutual Fund)					Sold	06/23/14	J	A	
21. -MSIF US Real Estate (Mutual Fund)									
22. -Oppenheimer Int'l Bond FD (Mutual Fund)					Sold	06/03/14	J	A	
23. -MS Charter Graham (Mutual Fund)					Sold	05/31/14	J	A	
24. -MS Charter Aspect L (Mutual Fund)					Sold	05/31/14	J	A	
25. -MS Spectrum Tech (Mutual Fund)					Sold	05/31/14	J	A	
26. -MS Emerging Mkts Domestic Debt (Mutual Fund)					Sold	06/11/14	K	A	
27. -Van KMPN Dynamic CR OPP Fund (Mutual Fund)					Sold (part)	06/23/14	K	A	
28. -Tekla (f/k/a H&Q) Healthcare Fd (Mutual Fund)									
29. -Blackrock US Opportunities (Mutual Fund)					Sold	04/29/14	K	A	
30. -Blackrock Equity Div (Mutual Fund)					Buy	11/06/14	M		
31. -Henderson Int'l Opportunities (Mutual Fund)									
32. -Jennison Nat'l Resources A (Mutual Fund)					Sold	11/24/14	K	A	
33. -Lazard Emerging Markets Open (Mutual Fund)					Sold	04/29/14	K	A	
34. -Oppenheimer Gold & Spec Min A (Mutual Fund)					Sold	06/03/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Thornburg Int'l Value A (Mutual Fund)					Sold	04/29/14	K	A	
36. -Tortoise MLP (Mutual Fund)					Sold	06/23/14	K	A	
37. -Aston/Montag & Caldwell GRW N (Mutual Fund)					Sold	09/03/14	M	A	
38. -Aston/Montag & Caldwell GRW I (Mutual Fund)					Buy	09/03/14	M		
39. -Blackrock Equity Div A (Mutual Fund)					Sold	11/06/14	M	A	
40. -Invesco Premier PTF (Mutual Fund)					Sold	06/23/14	J	A	
41. -Artisan Midcap Value (Mutual Fund)									
42. -Royce Value Plus (Mutual Fund)									
43. -Invesco Floating Rate A (Mutual Fund)					Sold	06/23/14	J	A	
44. -JP Morgan Chase & Co (bond)									
45. -Tortoise North Am Energy Corp (stock)					Sold	06/23/14	J	A	
46. -Invesco Small Cap Y (mutual fund)					Sold (part)	01/10/14	J	A	
47. -Invesco Small Cap Y (mutual fund)					Sold (part)	04/09/14	J	A	
48. -Invesco Small Cap Y (mutual fund)					Sold (part)	07/10/14	J	A	
49. -Gabelli Gold (Mutual Fund)					Buy	06/03/14	J		
50. -Thornburg DevWorld (Mutual Fund)					Buy	04/29/14	K		
51. -Causeway Intl Value (Mutual Fund)					Buy	04/29/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Wells Fargo Adv Disc (Mutual Fund)					Buy	04/29/14	L		
53. -Wells Fargo Adv Disc (Mutual Fund)					Sold (part)	10/08/14	J	A	
54. -Prudential Jennison Nat. Res. (Mutual Fund)					Buy	11/24/14	K		
55. -iShares S&P 500 Val (Mutual Fund)					Buy	06/23/14	K		
56. -iShares S&P 500 Growth (Mutual Fund)					Buy	06/23/14	K		
57. -iShares S&P Mid Cap 400G (Mutual Fund)					Buy	06/23/14	J		
58. -iShares S&P Mid Cap 400 V (Mutual Fund)					Buy	06/23/14	J		
59. -iShares Gold Trust (Mutual Fund)					Buy	06/23/14	J		
60. -iShares Tips Bond (Mutual Fund)					Buy	06/23/14	J		
61. -iShares IBOXX Invest (Mutual Fund)					Buy	06/23/14	J		
62. -Fidelity ADV FLTGT RT (Mutual Fund)					Buy	06/23/14	J		
63. -Templeton Global (Mutual Fund)					Buy	06/23/14	J		
64. -Henderson Global Inc. (Mutual Fund)					Buy	06/23/14	J		
65. -SPDR Barcap Shrt term (Mutual Fund)					Buy	06/23/14	J		
66. -Vanguard Reit (Mutual Fund)					Buy	06/23/14	J		
67. -Vanguard Total Bond Mkt (Mutual Fund)					Buy	06/23/14	J		
68. -Texton Westwood (Mutual Fund)					Buy	06/23/14	J		

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69. -Salient MLP & Energy (Mutual Fund)					Buy	06/23/14	J		
70. -Royce Premier Inv. (Mutual Fund)					Buy	06/23/14	J		
71. -Tekla Healthcare Invs (Mutual Fund)					Buy	06/23/14	J		
72. -Gabelli Div & Incm (Mutual Fund)					Buy	06/23/14	J		
73. -Vanguard Info Tech (Mutual Fund)					Buy	06/23/14	J		
74. -Wisdomtree Japan (Mutual Fund)					Buy	06/23/14	J		
75. -Healthcare Sel (Mutual Fund)					Buy	06/23/14	J		
76. -EOG Resources (stock)					Buy	06/23/14	J		
77. -AQR Managed Futures (stock)					Buy	12/05/14	J		
78. -Powershares DB Comm (Mutual Fund)					Buy	06/23/14	J		
79. -Gabelli GLB SML & MD CP (Mutual Fund)					Buy	07/30/14	J		
80. -PIMCO (Mutual Fund)					Buy	06/23/14	J		
81. -PIMCO (Mutual Fund)					Sold	07/25/14	J	A	
82. -Calamos Mkt Neutral (Mutual Fund)					Buy	06/23/14	J		
83. -Calamos Mkt Neutral (Mutual Fund)					Sold	10/22/14	J	A	
84. -Wisdomtree Europe					Buy	11/18/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

I have treated reinvestment programs as exempt because they merely represent a change in the form of the asset.

With regard to Line 1, I have not provided dates and value codes because the transactions are numerous, usually small and are the equivalent of bank deposits and withdrawals. Morgan Stanley Bank was formerly known as Morgan Stanley Liquid Asset Fund.

On lines 8, 10, 11 and 12, I have corrected the description to show that the assets are mutual funds and not stocks as previously reported.

With regard to the IRA (line 15), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544