

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pepper, Pamela	2. Court or Organization United States District Court, E.D. Wisconsin	3. Date of Report 01/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 517 E. Wisconsin Ave., Rm. 208 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-officio, director	Eastern District of Wisconsin Bar Association
2. Member, Board of Directors	American Bankruptcy Institution
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

01/07/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Dayton Ohio Waldron Inns of Court	1/17/2017 to 1/18/2017	Dayton, OH	Bankruptcy Seminar (speaker)	Flight, meals, hotel room
2. State Bar of Wisconsin	3/2/2017 to 3/3/2017	Kohler, WI	Bankruptcy Seminar (speaker)	Meals, hotel room
3. American Bankruptcy Institute Annual Spring Meeting	4/20/2017 to 4/23/2017	Washington, DC	Bankruptcy Seminar (speaker)	Flight, meals, hotel room
4. Allegheny County Bar Association	12/7/2017 to 12/8/2017	Pittsburgh, PA	Bankruptcy Seminar (speaker)	Flight, meals, hotel room
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

01/07/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

01/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Penn Mutual Variable Universal Life: Ssg Index 500 Fund	B	Interest	K	T					
2. Penn Mutual Variable Universal Life: T. Rowe Price Flexibly Mgd Fund	B	Interest	K	T					
3. The Principal Adjustable Life	A	Dividend	K	T					
4. U.S. Savings Bonds, Series EE	A	Interest	J	T					
5. PNC Bank Cash Accounts	A	Dividend	J	T					
6. IRA #2									
7. --Edward Jones Growth Fund of America	B	Dividend			Sold	07/12/17	J		
8. --Edward Jones Principal Capital Appreciation Fund	C	Dividend			Sold	07/12/17	K		
9. --Edward Jones Principal Equity Income Fund	A	Dividend			Sold	07/12/17	K		
10. --Edward Jones Pi SMALLCAP Blend Fund	A	Dividend			Sold	07/12/17	J		
11. --Edward Jones Pi Real Estate Securities fund	A	Dividend			Sold	07/12/17	K		
12. --Edward Jones Pi Diversified International	B	Dividend			Sold	07/12/17	J		
13. --Edward Jones Principal Midcap Fund	D	Dividend			Sold	07/12/17	L		
14. Edward Jones--American Funds High Income Muni Bond Fund	A	Dividend	J	T					
15. Voya 529 Balanced Option Class C	B	Dividend	K	T					
16. GS FINL Square Govt Fd Instl	A	Dividend	J	T	Buy	07/13/17	J		
17. Bridge Builder Large Value	A	Dividend	K	T	Buy	07/13/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bridge Builder Large Growth	A	Dividend	K	T	Buy	07/13/17	K		
19. Bridge Builder Small/Mid Value	A	Dividend	J	T	Buy	07/13/17	J		
20. Bridge Builder Small/Mid Growth	A	Dividend	J	T	Buy	07/13/17	J		
21. Bridge Builder Core Plus Bond	A	Dividend	J	T	Buy	07/13/17	J		
22. Bridge Builder Intl Equity	A	Dividend	K	T	Buy	07/13/17	K		
23. Hotchkis & Wiley High-Yield	A	Dividend	J	T	Buy	07/13/17	J		
24. iShares Core US Aggregate Bond	A	Dividend	K	T	Buy	07/13/17	K		
25. Spdr DJ Global Real Estate ETF	A	Dividend	J	T	Buy	07/13/17	J		
26. Vanguard Large Cap ETF	A	Dividend	K	T	Buy	07/13/17	K		
27. Vanguard Small Cap ETF	A	Dividend	K	T	Buy	07/13/17	K		
28. Vanguard Value ETF	A	Dividend	K	T	Buy	07/13/17	J		
29. Vanguard FTSE Emerging Market ETF	A	Dividend	J	T	Buy	07/13/17	J		
30. Vanguard FTSE Dev Mkts ETF	A	Dividend	K	T	Buy	07/13/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

01/07/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Pepper, Pamela

Date of Report

01/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Pamela Pepper**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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