

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burris, Helen E.	2. Court or Organization US Bankruptcy Court, DSC	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge -full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Federal Courthouse 201 Magnolia Street Spartanburg, SC 29306		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-trustee Trust #1, #2 & #3	See Part VII
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Employment with Turner Padgett Graham & Laney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Carolina Bar Association	01/24 - 01/27	Myrtle Beach, SC	CLE/bar meeting	registration waived
2. South Carolina Bankruptcy Law Association	05/03 - 05/05	Myrtle Beach, SC	speak at CLE	lodging, some meals and registration waived
3. South Carolina Association for Justice	08/01 - 08/03	Hilton Head, SC	CLE	lodging, some meals and registration waived
4. American Bankruptcy Institute	08/07 - 08/10	Hershey, PA	speak at CLE	lodging, transportation, breakfast, snacks and registration waived
5. South Carolina Defense Trial Attorneys Association	11/07 - 11/10	Savannah, GA	CLE	lodging, some meals and registration waived

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Georgia Institute of Technology	Spring and Fall semesters 1/2 tuition and fees paid in installments, paid in full as of 12/31	None
2.	Thrift Savings Plan loan	loan from employer investment account to filer	K
3.	Turner, Padgett, Graham & Laney 401K plan (loan from Fidelity Freedom 2030 Fund listed on VII)	loan from employer investment account to filer spouse	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. South Carolina Bank & Trust- Accounts	A	Interest	K	T					
2. Tr.#1 South Carolina Bank & Trust account	A	Interest	J	T					
3. Tr.#2, Russell Frank Inv. Co. Lifepoints Fund Conserv.	B	Int./Div.	K	T	Distributed (part)	06/21/13	K		
4. Russell Frank Inv. Co. Lifepoints Fund Growth Strategy Relax	B	Int./Div.	M	T					
5. ING USA Annuity and Life Insurance Co.		None	M	T					
6. NW Mutual Whole Life Cash Value #1	D	Dividend	L	T					
7. #2 Putnam Investments New Opportunities Fund Class A & B	A	Dividend	J	T					
8. 401K Fidelity Freedom 2030 Fund	C	Int./Div.	M	T					
9. NetReit Real Estate Investment Trust	B	Dividend	K	T					
10. IRA American Funds									
11. -American Funds-EuroPacific Growth Fund-B	A	Dividend			Sold	04/10/13	J		
12. -American Funds-The Investment Company of America A	A	Dividend	J	T	Buy (add'l)	04/10/13	J		
13. -American Funds-The Investment Company of America B	A	Dividend			Sold	04/10/13	J		
14. -American Funds-Income Fund of America A	A	Dividend	J	T	Buy (add'l)	04/10/13	J		
15. - American Funds-Income Fund of America B	A	Dividend			Sold	04/10/13	J		
16. - American Funds-EuroPacific Growth Fund A	A	Dividend	J	T	Buy (add'l)	04/10/13	J		
17. - American Funds-American Balanced Fund B	A	Dividend			Sold	04/10/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Funds- American Balanced Fund A	A	Dividend	J	T	Buy (add'l)	04/10/13	J		
19. Future Scholar 529 College Savings Plan-FS Mod Conserv (no control)	A	Int./Div.	J	T	Buy (add'l)	01/03/13	J		
20. -cont'd					Distributed (part)	02/01/13	J	A	
21. -cont'd					Distributed (part)	04/03/13	J	A	
22. -cont'd					Buy (add'l)	08/07/13	J		
23. -cont'd					Distributed (part)	08/19/13	J	A	
24. Behringer Harvard Multifamily REIT I Inc	A	Dividend	K	T					
25. Rental Property #1 Greenville SC	D	Rent	K	W					
26. Trust #3 Invesco Bal Risk Alloc C	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Helen E. Burris**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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