

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Richardson, Eli J.	2. Court or Organization U.S. District Court for the Middle District of Tennessee	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (active status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court for the Middle District of Tennessee 801 Broadway, Suite 824 Nashville, Tennessee 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Bass, Berry & Sims PLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Bass, Berry & Sims Retirement & Savings Plan with former law firm, no control
2. 2010	Federal Employees Retirement System Basic Benefit annuity; payable as early as age 56 and 6 months
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Basss, Berry & Sims PLC, income from law practice	\$604,040.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	self-employed educational consultant
2. 2018	Cumberland Trust & Investment Company
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Fifth Third Bank	Mortgage of rental property, Nashville, TN	M
2.	Nissan Motor Acceptance Corporation	auto loan (exceeding amount of purchase price)	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property, Nashville, TN (2017, \$318,000)	E	Rent	N	R					
2. AXA Moderate-Plus Allocation Fund	A	Dividend	J	T					
3. 529 account: BlackRock Equity Dividend Fund Investor A		None			Redeemed	08/28/18	J	A	
4. 529 acct: American Funds Washington Mutual Investors Fund Class 529-A	C	Dividend	L	T	Redeemed (part)	01/02/18	K	D	
5.					Redeemed (part)	09/14/18	J	B	
6. AMG/Aston Managers Fairpointe Mid Cap Fund Class I	A	Dividend	J	T					
7. Brown Capital Small Company Fund Institutional Class	A	Dividend	J	T	Sold (part)	11/05/18	J	B	
8. Cohen & Steers Realty Income Fund Institutional Class	A	Dividend	J	T					
9. Deutsche Enhanced Commodity Strategy Fund Institutional Class	A	Dividend	J	T					
10. Fidelity Advisor Total Bond Fund Class I	A	Dividend	J	T					
11. FMI International Fund Institutional Class	A	Dividend	J	T					
12. PIMCO Income Fund Class P	B	Dividend	K	T					
13. iShares MSCI Eurozone ETF		None			Sold	05/07/18	J	B	
14. iShares Core S & P Mid-Cap ETF	A	Dividend	J	T					
15. iShares Core S & P 500 ETF	B	Dividend	M	T	Sold (part)	01/22/18	J	B	
16.					Buy (add'l)	11/05/18	J		
17. American Funds New World Fund Class F-2	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Oakmark Select Fund Investor Class	B	Dividend	K	T					
19. Schwab International Equity ETF	B	Dividend	K	T					
20. Oakmark International Small-Cap Fund Investor Class		None			Sold	07/16/18	J	B	
21. Vanguard Total Bond Market ETF	A	Dividend	K	T					
22. Northwestern Mutual CompLife Insurance policy	A	Dividend	J	T					
23. Northwestern Mutual CompLife Insurance policy	A	Dividend	J	T					
24. Northwestern Mutual 90 Life Insurance policy	A	Dividend	K	T					
25. Northwestern Mutual 90 Life Insurance policy	A	Dividend	K	T					
26. Pinnacle Financial Partners Cash Accounts	A	Interest	K	T					
27. General Money Market Fund Class B	A	Dividend	J	T	Redeemed (part)	01/22/18	J		
28.					Redeemed (part)	01/23/18	J		
29.					Buy (add'l)	01/25/18	J		
30.					Buy (add'l)	04/20/18	J		
31.					Buy (add'l)	05/10/18	J		
32.					Redeemed (part)	07/20/18	J		
33.					Redeemed (part)	10/22/18	J		
34.					Buy (add'l)	11/07/18	J		

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35. Vanguard Emerging Markets Stock Index Fund	A	Dividend	K	T	Buy (add'l)	05/07/18	J		
36. PIMCO Commodities Plus Strategy Fund Class P	B	Dividend	J	T	Buy	01/22/18	J		
37. Goldman Sachs Internation Small Cap Insights Fund	A	Dividend	J	T	Buy	07/16/18	J		
38.					Buy (add'l)	07/16/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: My membership in the law firm of Bass, Berry & Sims, PLC was reported because I was a member during (Although not at the end) of the reporting period. In particular, I was a member until October 18, 2018, when I resigned as a member to accept my position as District Judge.

Part VII: The purchase price on Line 1 is correctly stated as \$318,000. My last filed report incorrectly stated it as \$319,000 due to a scrivener's error.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Eli J. Richardson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544