AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Shapiro, Norma L.	EDPA, Philadelphia	07/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2015 **to** 12/31/2015

7. Chambers or Office Address

U.S. Courthouse
601 Market Street
Philadelphia, PA 19106

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Life Trustee	Jewish Publication Society
2.	Member, Sandra Day O'Connor Award Committee	Philadelphia Bar Association
3.	Consultant, Standing Committee on Federal Court Improvements	American Bar Association
4.	Chair, Board of Directors	Violette De Mazia Foundation
5.	Executrix	Estate [redacted] (See Part VIII)

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Shapiro, Norma L.	07/20/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Mellon Bank (Checking Acct)		None	J	T					Not now interest bearing
2. Firstrust Bank (Checking)	A	Interest	J	T					
3. Firstrust Bank (Savings)	A	Interest	M	T					
4. Stifel Nicolaus General Money	A	Dividend	J	T					
5. Oppenheimer PA Municipal Bond	B	Dividend	K	T					
6. Vanguard Life Strategy Mod. Growth-IRA	D	Dividend	M	T	Redeemed (part)	03/20/15	K	A	
7. Vanguard STAR Fund-IRA	D	Dividend	M	T	Redeemed (part)	03/20/15	K	A	
8. Vanguard GNMA Fund Admiral	C	Dividend	L	T					
9. Firstrust Int. Rate Hedge 65	A	Dividend	K	T	Sold	03/31/15	K	A	
10. Firstrust Div E Inc. Fd 43	C	Dividend	K	T	Sold (part)	12/30/15	K		
11. Firstrust Int Rate Hedge 94	A				Buy	03/27/15	K		
12. Firstrust Int Rate Hedge 94	A				Sold	12/30/15	K		
13. Stifel Nicolaus Cash	A				Buy	12/30/15	K		
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Shapiro, Norma L.	07/20/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information - Part 1 - Positions

Numbers 1 and 2 are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 4 was 501(c)(3) charitable organization. In 2015 I did vote on the expenditure of funds together with the other four Directors. I received no compensation. In 2015 the Foundation Directors and Members resolved to dissolve with the approval of the Orphans' Court of Montgomery County, Pennsylvania and to distribute its assets in accordance with their Affiliation Agreement with the Barnes Foundation. In furtherance of that plan of dissolution, the Foundation had no assets at the end of 2015.

Number 5 - My husband, [redacted] died on November 27, 2007. His Will named me the Executrix and sole beneficiary of his estate. His assets, listed under Section VII, became assets of the Estate [redacted]; in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in his name prior to his death were in the Estate [redacted] at year end and transferred to the Tax Exempt Marital Trust in 2008. The estate bank account of Bernard Shapiro, deceased was closed as of February 19, 2014.

Bank accounts that were previously joint accounts are now titled in my name and the name of my son, [redacted].

Name of Person Reporting	Date of Report
Shapiro, Norma L.	07/20/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Norma L. Shapiro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544