

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Casper, Denise J.	2. Court or Organization U.S. District Court for District of MA	3. Date of Report 05/02/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) S. District Judge - active	5a. Report Type (check appropriate type) ☐ Voluntary ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee	Trust of
2. Probate Trustee	The State Association of Greater Boston, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013	Thermo Fisher Scientific - salary including cash, stock and options
2. 2013	Zimmer Holdings, Inc. - Board of Directors fees including cash and stock
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	A	Interest	P1	T					
2. Fidelity Investments Accounts (Y)									
3. Alere Common Stock (ALR)	A	Dividend	K	T					
4. Bayer AG (ADR) Common Stock	C	Dividend	L	T					
5. Thermo Fisher Scientific Common Stock (TMO). See Notes	G	Dividend	P2	T	Sold (part)	02/15/13	P1		
6.					Sold (part)	02/25/13	O	A	
7.					Sold (part)	03/02/13	P1		
8.					Sold (part)	06/17/13	P1		
9.					Sold (part)	08/26/13	N		
10. Thermo Fisher Scientific Stock Options		None	P2	T					
11. Thermo Fisher Scientific Restricted Stock Units		None	P3	T		02/25/13	P1	H1	
12. Vanguard Tax Free Money Market Fund	A	Dividend			Redeemed	07/01/13	O	A	
13. Charles Schwab Money Market Account	A	Interest	K	T					
14. iBoxx High Yield Corp Bond Fund (HYG) (Y)									
15. Vanguard Short Term Bond ETF	A	Dividend	J	T					
16. Dodge & Cox Intl Stock Mutual Fund (DODFX)	A	Dividend	K	T					
17. Bain Capital Fund VIII Limited Partnership	A	Int./Div.	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bain Capital AIV Lowes II Limited Partnership	A	Int./Div.	J	W					
19. Bain Capital Tru VIII Limited Partnership (Y)									
20. Bain Capital VII Limited Partnership	A	Int./Div.	K	W					
21. Bain Capital VI Limited Partnership	A	Int./Div.	J	W					
22. Bain Venture Fund 2001 Limited Partnership	A	Int./Div.	J	W					
23. Water Street Healthcare Partners limited partnership	C	Dividend	O	W					
24. Water Street Healthcare Partners II limited partnership	B	Dividend	M	W					
25. TPG FOF VI-QP, LP	D	Dividend	M	W					
26. TPG FOF V-QP-AIVs	D	Dividend	L	W					
27. Special Opportunities Fund III limited partnership	A	Dividend	N	W					
28. Special Opportunities Fund IV limited partnership	D	Dividend	M	T					
29. GS Hedge Fund Opportunities 2007 Limited Partnership	B	Dividend			Redeemed	08/01/13	N	A	
30. Vontobel Non US Equity LLC	E	Dividend			Redeemed	10/01/13	N	A	
31. AFF Co-Invest, LP (Y)									
32. Charlesbank Equity Coinvest	B	Dividend	L	W					
33. Pine Cobble Capital limited partnership	A	Dividend			Redeemed	06/01/13	O	A	
34. Zimmer Holdings Phantom Stock Units	B	Dividend	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Zimmer Holdings Restricted Stock Units	A	Dividend	N	T					
36. Goldman Sachs Money Market Account	A	Interest	N	T					
37. Trust #1 assets listed with -- below									
38. --GS Investment Grade Credit Fund A	B	Dividend	K	T					
39. --GS Short Duration T/F Mutual Fund Class A	B	Dividend	M	T					
40. --Standard and Poors 500 Depository Receipts Mutual Fund	B	Dividend	L	T					
41. --GS Emerging Markets Equity Fund GEMIX	A	Dividend	K	T					
42. --Euro Stoxx 50 FD ETF	B	Dividend	K	T					
43. --GS Financial Sq. Federal Funds Mutual Fund	A	Interest	M	T					
44. Trust #2 assets listed with -- below									
45. --Thermo Fisher Scientific Common Stock (TMO)	E	Dividend	P2	T					
46. City of Boston GO 5% 1/1/13 bond	C	Interest			Matured	01/01/13	L	A	
47. Vanguard ST Bond ETF (BSV)	B	Dividend	L	T					
48. Comcast Convertible Bond	B	Interest			Sold	09/04/13	K	A	
49. Exxon Mobil Common Stock (XOM)	A	Dividend			Sold	09/11/13	K	D	
50. People's United Common Stock (PBCT)	B	Dividend			Sold	09/13/13	K	A	
51. SPDR S&P Bank ETF (KBE)	B	Dividend	L	T					

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52. U.S. Savings Bonds	B	Interest	K	W					
53. PIMCO Total Return Institutional (PTTRX) (Y)									
54. Dodge & Cox Stock Fund (DODGX) (Y)									
55. SSGA S&P 500 Index Mutual Fund (Y)									
56. T Rowe Price Growth Fund (Y)									
57. Vanguard Mid Cap Index Fund (VMCIX) (Y)									
58. Jennison Small Cap Growth Fund (Y)									
59. Dodge & Cox Intl Stock Fund (DODFX) (Y)									
60. District of Columbia GO 5% 6/1/14 bond	C	Interest	L	T					
61. PA St. GO 5% 5/15/15 bond	C	Interest	M	T					
62. Mass Bay transportation Auth Rev 5.2500% 7/1/15 bond	D	Interest	M	T					
63. Mass IB 5.375% 1/1/16 bond	D	Interest	M	T					
64. Lone Star College System Rev Bond 5.0000% 8/15/16	D	Interest	M	T					
65. Univ Mass Bldg Aut Proj Rev 5/25% 11/1/26 bond	D	Interest	M	T					
66. Mass Water Re Authority 5.25% 8/1/17 bond	D	Interest	M	T					
67. Seattle WA Rev 5% 2/1/18 bond	D	Interest	M	T					
68. NJ Trans TR FD Auth Rev 5% 12/15/18 bond	C	Interest	L	T					

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69. Chicago, IL GO 5% 12/1/19 Bond	C	Interest	M	T					
70. Kansas Dept of Trans Rev 5% 9/1/22 bond	D	Interest	M	T					
71. Detroit MI Sch Dist GO 5.375% 5/1/24 bond	D	Interest			Sold	07/15/13	M	A	
72. Goldman Sachs Linked to S&P 500		None			Redeemed	01/14/13	M	G	
73. GS 1% 10/20/2014 Linked 10 yr notes-bond	A	Interest	L	T					
74. Mass GO 5% 8/1/14 Bond	C	Interest	M	T					
75. TD Bank account		None			Closed	06/15/13	K	A	
76. Mass GO 5% 8/1/16 Bond	D	Interest	M	T					
77. Univ Mass Bld 5% 11/1/16 Bond	D	Interest	M	T					
78. Harris Cty 6% 9/1/15 Bond	D	Interest	M	T					
79. Abilene TX 5% 2/15/22 Bond	D	Interest	M	T					
80. Mesa AZ St. & Hwy. 5.5% 7/1/15 Bond	D	Interest	M	T					
81. Mass GO 5.5% 11/1/15 Bond	D	Interest	M	T					
82. Mass School 5% 10/15/17 Bond	D	Interest	M	T					
83. Univ. Wash. 5% 4/1/19 Bond	C	Interest	M	T					
84. Aston River Small Cap Value Fund (ARSVX)	A	Dividend	K	T					
85. Credit Suisse Commodity Return Strategy Fund (CRSOX))	A	Distribution	K	T					

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86. Driehaus Emerging Markets Growth Fund (DREGX)	A	Dividend	J	T					
87. IQ Alpha Hedge Strategy Fund (IQHIX)	A	Dividend	J	T					
88. Oakmark Select Fund (OAKLX)	A	Dividend	J	T					
89. Citibank Bank Account	A	Interest			Closed	09/01/13	K	A	
90. Amicus Therapeutics (FOLD)	A	Dividend	L	T	Buy	04/01/13	L		
91. Endocyte, Inc. (ECYT)	A	Dividend	K	T	Buy	04/01/13	K		
92. Springfield, MA 4% 9/15/18 Bond	D	Interest	M	T	Buy	07/15/13	M		
93. Southwick Tolland 5% 5/15/19 Bond	D	Interest	M	T	Buy	07/15/13	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: [REDACTED] received in kind compensation which increased the holdings of assets listed on lines 5, 10, 34.

Part VII: Transaction listed in line 11 represents satisfaction by spouse of performance criteria for performance-based restricted stock unit award. Thermo Fisher Scientific Common Stock was received and is included on line 5.

Part VII: Assets listed in lines 25, 26 were combined on line 29 of the 2012 disclosure form.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Denise J. Casper

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544