

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Jr., Charles S.	2. Court or Organization District of North Dakota	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 220 East Rosser Ave P.O. Box 670 Bismarck, ND 58502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Trustees	Missouri Valley YMCA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts (H)	A	Interest	K	T					
2.									
3.									
4. Brokerage #1 (H)									
5. --RTK--Common Stock		None	J	T					
6. --Fidelity Brokerage Services LLC Cash Account	A	Interest	J	T					
7.									
8.									
9. Brokerage #2 (H)									
10. --Schwab Money Market Fund	A	Interest	J	T					
11.									
12.									
13. IRA #1 (H)									
14. --Fidelity Contrafund	C	Dividend	L	T					
15. --Fidelity Puritan Fund	C	Dividend	L	T					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IRA #2 (spouse) (H)									
19. --Schwab Cash Account	A	Interest	M	T					
20. --Gabelli Gold Fd		None			Sold	05/05/17	J		
21. --Invesco Gold and Precious Metals Fd		None			Buy	03/24/17	J		
22.					Sold	05/05/17	J		
23. --ProFunds Europe 30 Fund		None			Buy	04/25/17	J		
24.					Sold	05/05/17	K	A	
25. --ProFunds Large Cap Value Fd		None			Sold	05/02/17	K	B	
26. --Pro Funds Mid Cap Fund		None			Buy	04/26/17	K		
27.					Sold	05/04/17	K		
28. --ProFunds Mid Cap Growth		None			Buy	04/25/17	K		
29.					Sold	05/04/17	K		
30. --ProFunds US Gov't Plus Bond Fd		None			Buy (add'l)	03/24/17	J		
31.					Sold	04/24/17	K	A	
32. --Rydex Biotechnology Fd		None			Sold	03/22/17	J		
33. --Rydex Nasdaq 100 Fund		None			Buy (add'l)	01/25/17	J		
34.					Buy (add'l)	05/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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35.					Sold	05/05/17	K	A	
36. --Rydex Precious Metals Fund		None			Buy (add'l)	01/20/17	J		
37.					Buy (add'l)	03/24/17	J		
38.					Sold	05/05/17	J		
39. --Rydex S&P 500 Index Fd		None			Sold	05/05/17	K	B	
40. --Rydex S&P 500 Pure Value Fd		None			Sold	01/20/17	J	A	
41. --Rydex US Gov't Bond Fd	A	Dividend			Sold	04/25/17	K	A	
42. --Rydex Utilities Fd		None			Buy (add'l)	03/24/17	J		
43.					Sold	04/25/17	K		
44. --Schwab Large-Cap Growth Fund					Buy	05/02/17	J		
45.					Sold	05/04/17	J		
46. --Schwab S&P 500 Fd		None			Sold	05/04/17	J	A	
47.									
48.									
49.									
50. Wells Fargo Rollover IRA - IRA #3 (H)									
51. --Wells Fargo Cash Sweep Account	A	Int./Div.	M	T	Distributed (part)	03/01/17	L		

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52. --Vanguard Energy ETF (VDE)					Sold	01/24/17	J	B	
53. --Vanguard Intermediate Bond ETF (BIV)	A	Dividend	K	T					
54. --Vanguard Small Cap Growth Fd (VBK)	A	Dividend	K	T					
55. --Convertible notes payable by CBIO (Targacept Inc.)		None	J	T					
56. --AAPL	A	Dividend	L	T					
57. --ABT	A	Dividend	J	T					
58. --BKS	A	Dividend	J	T					
59. --BNED	A	Dividend	J	T					
60. --BNS	B	Dividend	K	T					
61. --CAG	A	Dividend	J	T					
62. --CAT	A	Dividend	K	T					
63. --CBIO	A	Distribution	J	T					
64. --CSCO	A	Dividend	J	T					
65. --EMR	A	Dividend	K	T					
66. --ETN	A	Dividend	J	T					
67. --FCX		None	J	T					
68. --GE	A	Dividend	J	T	Buy	05/01/17	J		

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69. --GIS	A	Dividend	J	T					
70. --HRL	A	Dividend	J	T					
71. --INTC	A	Dividend	J	T					
72. --JNJ	A	Dividend	K	T					
73. --LEG	A	Dividend	K	T					
74. --LW	A	Dividend	J	T					
75. --MCD	A	Dividend	K	T					
76. --MMM	B	Dividend	L	T					
77. --NAO	A	Dividend	J	T					
78. --NAT	B	Dividend	J	T					
79. --NSC	A	Dividend	J	T					
80. --PG	B	Dividend	K	T					
81. --RMCF	B	Dividend	K	T					
82. --RY	A	Dividend	J	T					
83. --SJM	A	Dividend	K	T					
84. --SORL		None	J	T					
85. --SYI	A	Dividend	K	T					

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86. --TD	A	Dividend	J	T					
87. --TRES		None	K	T					
88. --TRST	A	Dividend	K	T					
89. --UNP	B	Dividend	L	T					
90. --UTX	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles S. Miller, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544