

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KERN, TERENCE C.	2. Court or Organization USDC/NDOK	3. Date of Report 03/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address The Federal Building 224 South Boulder Ave., Rm 241 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Oil & Gas Leases:									Heading for lines 2-17
2. ----L Tuley, Garvin Co, OK	A	Royalty	J	W					
3. ----Lash, Murray Co, OK	A	Royalty	J	W					
4. ----Crump, Murray Co, OK	A	Royalty	J	W					
5. ----Floyd, Garvin Co, OK	C	Royalty	J	W					
6. ----Gibson, Garvin Co, OK	A	Royalty	J	W					
7. ----Muncrief, Garvin Co, OK	A	Royalty	J	W					
8. ----Beers Lite, Dewey Co, OK	B	Royalty	J	W					
9. ----Kenneth, Dewey Co, OK	A	Royalty	J	W					
10. ----Edna, Dewey Co, OK	A	Royalty	J	W					
11. ----Stanton, Dewey Co, OK	A	Royalty	J	W					
12. ----Kimball, Major Co, OK	A	Royalty	J	W					
13. ----Miller, Major Co, OK	B	Royalty	J	W					
14. ----Spark, Bensch, Major Co, OK	A	Royalty	J	W					
15. ----BcWk, Logan Co, OK	A	Royalty	J	W					
16. ----Royalty Int, Custer Co, OK	A	Royalty	J	W					
17. ----Royalty Int, Stephens Co, OK	B	Royalty	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BROKERAGE ACCOUNT # 4									Heading for lines 19-37
19. --UBS Bank USA Dep Acct	A	Int./Div.	J	T					(Active Asset Money Fund)
20. --Williams Co	B	Dividend			Sold	11/13/12	K	D	
21.	B	Dividend	K	T	Buy	11/14/12	K		
22. --ONEOK Partners LP	B	Dividend	K	T	Sold (part)	03/05/12	K	D	
23. --Enterprise Products Partner LP MLP	B	Dividend	K	T					
24. --Freeport-McMoran Copper & Gold	A	Dividend	J	T	Buy (add'l)	03/02/12	J		
25.	A	Dividend	J	T	Buy (add'l)	12/07/12	J		
26. --Illumina		None			Sold	01/25/12	K		
27. --Parkland Fuel Corp	A	Dividend			Sold	06/06/12	K	C	
28. --Qualcomm	A	Dividend	K	T					
29. --Access Midstream	A	Dividend	K	T	Buy	03/05/12	K		
30. --Legacy Reserves	A	Dividend	K	T	Buy	03/05/12	J		
31.	A	Dividend	J	T	Buy (add'l)	11/16/12	J		
32. --WPX Energy	A	Dividend	J	T	Buy	04/05/12	J		
33. --Hi-Crush	A	Dividend	J	T	Buy	09/10/12	K		
34.	A	Dividend	J	T	Buy (add'l)	11/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --BPZ RES	A	Dividend	J	T					Transferred IN from Acct 8
36. --US Energy Corp Wyoming	A	Dividend	J	T					Transferred IN from Acct 8
37. --Vale	A	Dividend	K	T					Transferred IN from Acct 8
38. BROKERAGE ACCOUNT # 7									Heading for lines 39-51
39. --Cimarex Energy	A	Dividend	J	T					
40. --Dow Chemical Co	A	Dividend			Sold	06/06/12	J	C	
41. --Helmerich & Payne	A	Dividend	K	T					
42. --Coca Cola Co	A	Dividend			Sold	06/06/12	J	D	
43. --McKesson Corp	B	Dividend			Sold	06/06/12	K	D	
44. --Cushing MLP Total Return Fund	B	Dividend	K	T					
45. --Nuveen Diversified Commodity Fund	A	Dividend	J	T					
46. --Powershares DB Multi Sector Commodity TR DB	A	Dividend			Sold	06/06/12	J	A	
47. --Technology Sector SPDR Trust SHS	A	Dividend			Sold	01/04/12	J	A	
48. --SPDR S&P 500	A	Dividend			Sold	01/09/12	J	A	
49. --LINN Energy LLC	A	Dividend	K	T					
50. --UBS Bank USA Deposit Acct	A	Int./Div.	L	T					(Active Asset Money Fund)
51. --Plains All American Pipeline	A	Dividend	K	T	Buy	11/16/12	K		

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52. BROKERAGE ACCOUNT # 8									Heading for lines 53-56
53. --BPZ RES Inc Com	A	Dividend			Sold (part)	03/13/12	J		Transferred to Brokerage 4
54. --Federated Capital Reserves	A	Interest			Closed	11/28/12	J		Transferred to Brokerage 4
55. --U.S. Energy Corp WYO	A	Dividend			Closed	11/28/12	J		Transferred to Brokerage 4
56. --Vale SA ADR	A	Dividend			Closed	11/28/12	K		Transferred to Brokerage 4
57. Bank of Oklahoma accounts	A	Interest	L	T					
58. F&M Bank account	A	Interest			Closed	11/07/12	K		Closed Accounts

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Brokerage Account #8 was all transferred to Brokerage Account #4. Brokerage Account #8 was then closed and will not be on next year's report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TERENCE C. KERN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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