

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                          |                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Jones, Phyllis M.                                                                                                                        | <b>2. Court or Organization</b><br><br>US Bankruptcy Court, Eastern & Western Districts<br>Arkansas                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>05/07/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge - Active - Full Time                                     | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br><br>300 West Second Street<br>Little Rock<br>Arkansas<br>72201                                                                                                        |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                |
|---------------|---------------------------------------|
| 1. 2017       | First Electric Cooperative - salary   |
| 2. 2017       | Self-employed - professional surveyor |
| 3. 8/17-12/17 | NRECA - fixed annuity payments        |
| 4.            |                                       |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>       | <u>DESCRIPTION</u>             | <u>VALUE CODE</u> |
|----|-----------------------|--------------------------------|-------------------|
| 1. | Great Lakes - Spouse  | Student Loan                   | L                 |
| 2. | Hilton Grand Vacation | Time Share #1 Clark County, NV | K                 |
| 3. |                       |                                |                   |
| 4. |                       |                                |                   |
| 5. |                       |                                |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Regions Bank (Accounts) (IRA)                                                                                            | A                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. NRECA 401(K) Pension: (H)                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. -- Money Market Fund                                                                                                     | A                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. -- S&P 500 Stock Index Fund                                                                                              | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. -- Diversified Growth Stock                                                                                              | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. -- 2020 Target Date Portfolio                                                                                            | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. NRECA Retirement &<br>SecurityDefinedBenefit Plan                                                                        |                                         | None                                          | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Time Share #1 Clark County, NV (\$31,780<br>- 07/16/15)                                                                  |                                         | None                                          | K                                               | R                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. New York Life - Whole Life                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Shelter Insurance - Universal Life (No Fund<br>Selected)                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. ArvestWealthManagement Account<br>#1(IRA)(H)                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. -- JP Morgan 100% US Treasury Securities<br>Money Market Account                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/03/17                | J                               |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 01/12/17                | J                               |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/17                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/08/17                | J                               |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Distributed<br>(part)                           | 02/09/17                | J                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/08/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |  |  |  |  |                       |          |   |  |  |
|-----|--|--|--|--|-----------------------|----------|---|--|--|
| 18. |  |  |  |  | Distributed<br>(part) | 03/23/17 | J |  |  |
| 19. |  |  |  |  | Buy<br>(add'l)        | 03/29/17 | J |  |  |
| 20. |  |  |  |  | Buy<br>(add'l)        | 03/31/17 | J |  |  |
| 21. |  |  |  |  | Buy<br>(add'l)        | 04/03/17 | J |  |  |
| 22. |  |  |  |  | Buy<br>(add'l)        | 04/10/17 | J |  |  |
| 23. |  |  |  |  | Distributed<br>(part) | 04/10/17 | J |  |  |
| 24. |  |  |  |  | Distributed<br>(part) | 04/18/17 | J |  |  |
| 25. |  |  |  |  | Buy<br>(add'l)        | 05/01/17 | J |  |  |
| 26. |  |  |  |  | Distributed<br>(part) | 05/04/17 | J |  |  |
| 27. |  |  |  |  | Buy<br>(add'l)        | 05/09/17 | J |  |  |
| 28. |  |  |  |  | Buy<br>(add'l)        | 05/10/17 | J |  |  |
| 29. |  |  |  |  | Buy<br>(add'l)        | 05/23/17 | J |  |  |
| 30. |  |  |  |  | Distributed<br>(part) | 05/26/17 | J |  |  |
| 31. |  |  |  |  | Buy<br>(add'l)        | 06/02/17 | J |  |  |
| 32. |  |  |  |  | Buy<br>(add'l)        | 06/08/17 | J |  |  |
| 33. |  |  |  |  | Distributed<br>(part) | 06/08/17 | J |  |  |
| 34. |  |  |  |  | Distributed<br>(part) | 06/14/17 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |  |  |  |  |                       |          |   |  |  |
|-----|--|--|--|--|-----------------------|----------|---|--|--|
| 35. |  |  |  |  | Distributed<br>(part) | 06/23/17 | J |  |  |
| 36. |  |  |  |  | Buy<br>(add'l)        | 06/30/17 | J |  |  |
| 37. |  |  |  |  | Buy<br>(add'l)        | 07/03/17 | J |  |  |
| 38. |  |  |  |  | Buy<br>(add'l)        | 07/06/17 | J |  |  |
| 39. |  |  |  |  | Buy<br>(add'l)        | 07/11/17 | J |  |  |
| 40. |  |  |  |  | Buy<br>(add'l)        | 08/01/17 | J |  |  |
| 41. |  |  |  |  | Buy<br>(add'l)        | 08/08/17 | J |  |  |
| 42. |  |  |  |  | Distributed<br>(part) | 08/16/17 | J |  |  |
| 43. |  |  |  |  | Buy<br>(add'l)        | 08/22/17 | J |  |  |
| 44. |  |  |  |  | Buy<br>(add'l)        | 09/11/17 | J |  |  |
| 45. |  |  |  |  | Distributed<br>(part) | 09/15/17 | J |  |  |
| 46. |  |  |  |  | Buy<br>(add'l)        | 09/20/17 | J |  |  |
| 47. |  |  |  |  | Buy<br>(add'l)        | 09/26/17 | J |  |  |
| 48. |  |  |  |  | Distributed<br>(part) | 09/26/17 | J |  |  |
| 49. |  |  |  |  | Buy<br>(add'l)        | 10/02/17 | J |  |  |
| 50. |  |  |  |  | Buy<br>(add'l)        | 10/03/17 | J |  |  |
| 51. |  |  |  |  | Buy<br>(add'l)        | 10/06/17 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)                                      | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/10/17                | J                               |                                |                                                                   |
| 53.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Distributed<br>(part)                           | 10/30/17                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/01/17                | J                               |                                |                                                                   |
| 55.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Distributed<br>(part)                           | 11/03/17                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/07/17                | J                               |                                |                                                                   |
| 57.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/08/17                | J                               |                                |                                                                   |
| 58.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/07/17                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/08/17                | J                               |                                |                                                                   |
| 60.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Distributed<br>(part)                           | 12/14/17                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/17                | J                               |                                |                                                                   |
| 62.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Distributed<br>(part)                           | 12/21/17                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/26/17                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/27/17                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/28/17                | J                               |                                |                                                                   |
| 66.                                                                                                                         | -- Deutsche X-Trackers ETX-Trackers<br>MSCI Europe Hedged Equity DBEU | A                                             | Dividend                                        | J                                         | T                                               | Sold<br>(part)          | 05/04/17                        | J                              | A                                                                 |
| 67.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 68.                                                                                                                         |                                                                       |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/15/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

05/07/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 70. -- Goldman Sachs ETF TR ET Activebeta<br>US Large Cap Equity ETF GSLC                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/08/17                | J                               | A                              |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 73. -- Guggenheim ET S&P 500 Equal<br>Weighted Index FD RSP                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 76. -- iShares ET Agency Bond SGZ                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 79. -- iShares ET 10-20 Year Treasury Bond<br>TLH                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/12/17                | J                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/09/17                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/23/17                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/10/17                | J                               | A                              |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/18/17                | J                               | A                              |                                                                   |
| 84.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/26/17                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                           |   |          |   |   |                |          |   |  |  |
|-------------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 86.                                       |   |          |   |   | Buy<br>(add'l) | 09/26/17 | J |  |  |
| 87. -- IShares ETF CMBS                   | A | Dividend | J | T | Buy<br>(add'l) | 05/04/17 | J |  |  |
| 88.                                       |   |          |   |   | Buy<br>(add'l) | 06/14/17 | J |  |  |
| 89.                                       |   |          |   |   | Buy<br>(add'l) | 09/26/17 | J |  |  |
| 90. -- IShares ET 7-10 Year Treasury Bond | A | Dividend | J | T | Sold<br>(part) | 01/12/17 | J |  |  |
| 91.                                       |   |          |   |   | Sold<br>(part) | 02/09/17 | J |  |  |
| 92.                                       |   |          |   |   | Sold<br>(part) | 04/18/17 | J |  |  |
| 93.                                       |   |          |   |   | Buy<br>(add'l) | 05/04/17 | J |  |  |
| 94.                                       |   |          |   |   | Sold<br>(part) | 05/26/17 | J |  |  |
| 95.                                       |   |          |   |   | Buy<br>(add'l) | 06/14/17 | J |  |  |
| 96.                                       |   |          |   |   | Buy<br>(add'l) | 09/26/17 | J |  |  |
| 97. -- IShares ET 1-3 Year Treasury Bond  | A | Dividend | J | T | Buy<br>(add'l) | 01/12/17 | J |  |  |
| 98.                                       |   |          |   |   | Buy<br>(add'l) | 02/09/17 | J |  |  |
| 99.                                       |   |          |   |   | Buy<br>(add'l) | 03/23/17 | J |  |  |
| 100.                                      |   |          |   |   | Buy<br>(add'l) | 04/10/17 | J |  |  |
| 101.                                      |   |          |   |   | Buy<br>(add'l) | 04/18/17 | J |  |  |
| 102.                                      |   |          |   |   | Sold<br>(part) | 05/04/17 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/26/17                | J                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 106. -- IShares 20+ Year ET Treasury Bond TLT                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/09/17                | J                               |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/23/17                | J                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/10/17                | J                               |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/18/17                | J                               |                                |                                                                   |
| 110.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 111.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/26/17                | J                               |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/02/17                | J                               |                                |                                                                   |
| 113.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 114. -- IShares Iboxx & ET Investment Grade<br>Corp BD LQD                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 04/10/17                | J                               |                                |                                                                   |
| 115.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 116.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 117.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 118. -- IShares MBS MBB                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 04/10/17                | J                               |                                |                                                                   |
| 119.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/17/17                | J                               |                                |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 123. -- iShares TIP Bond ET TIP                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 04/10/17                | J                               |                                |                                                                   |
| 124.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/26/17                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 127. -- Schwab Strategic TR ET Intl Equity ETF<br>SCHF                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 128.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 130. -- SPDR S&P 500 Trust ET SPY                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 131.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 132.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 133.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/14/17                | J                               | A                              |                                                                   |
| 134. -- Vanguard FTSE Developed Markets ETF<br>VEA                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 135.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/03/17                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/14/17                | J                               |                                |                                                                   |
| 139. -- Vanguard Growth VUG                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 05/05/17                | J                               | A                              |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/15/17                | J                               | A                              |                                                                   |
| 142.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/26/17                | J                               | A                              |                                                                   |
| 143. -- Vanguard Intl Equity ET Index FDS<br>FTSE Emerging Mkts ETF VWG                                                     | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/05/17                | J                               | A                              |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 146. -- Vanguard Mid-Cap Growth Fund VOT                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/05/17                | J                               | A                              |                                                                   |
| 147.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 148. -- Vanguard Mid-Cap Value Index Fund<br>VDE                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 150. -- Vanguard REIT ET VNQ                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/16/17                | J                               |                                |                                                                   |
| 153.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

05/07/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/30/17                | J                               |                                |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/21/17                | J                               |                                |                                                                   |
| 156. -- Vanguard Short Term ET Corp BD VCSH                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 04/10/17                | J                               |                                |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 160. -- Vanguard Small Cap Valu ETF VBR                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 162.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 163. -- Vanguard Small Cap ET Growth VBK                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 164.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 166. -- Wisdomtree Japan ET Hedged Equity<br>Fund DXJ                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               | A                              |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/03/17                | J                               | B                              |                                                                   |
| 169. -- iShares ETF MSCI India                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/04/17                | J                               | A                              |                                                                   |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/14/17                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

05/07/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. -- SPDR Gold Trust ETF                                                                                                 |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 05/05/17                | J                               |                                |                                                                   |
| 172.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/26/17                | J                               |                                |                                                                   |
| 173. -- First Trust FD IV ETF No American<br>Energy Infrastructure Fund EMLP                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/04/17                | J                               |                                |                                                                   |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/23/17                | J                               |                                |                                                                   |
| 177.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/16/17                | J                               |                                |                                                                   |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/26/17                | J                               |                                |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/21/17                | J                               |                                |                                                                   |
| 180. -- Powershares DB ETF Agriculture Fund                                                                                 |                                         | None                                          |                                                 |                                           | Buy                                             | 01/12/17                | J                               |                                |                                                                   |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/04/17                | J                               |                                |                                                                   |
| 182.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/17                | J                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |
| 184.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/21/17                | J                               |                                |                                                                   |
| 185. -- iShares ETF 3-7 Treasury Bond IEI                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/10/17                | J                               |                                |                                                                   |
| 186.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/04/17                | J                               |                                |                                                                   |
| 187.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/26/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A --\$1,000 or less<br>F --\$50,001 - \$100,000                                       | B --\$1,001 - \$2,500<br>G --\$100,001 - \$1,000,000   | C --\$2,501 - \$5,000<br>H1 --\$1,000,001 - \$5,000,000                                  | D --\$5,001 - \$15,000<br>H2 --More than \$5,000,000         | E --\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J --\$15,000 or less<br>N --\$250,001 - \$500,000<br>P3 --\$25,000,001 - \$50,000,000 | K --\$15,001 - \$50,000<br>O --\$500,001 - \$1,000,000 | L --\$50,001 - \$100,000<br>P1 --\$1,000,001 - \$5,000,000<br>P4 --More than \$5,000,000 | M --\$100,001 - \$250,000<br>P2 --\$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q --Appraisal<br>U --Book Value                                                       | R --Cost (Real Estate Only)<br>V --Other               | S --Assessment<br>W --Estimated                                                          | T --Cash Market                                              |                         |

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                              |  |      |  |  |                |          |   |   |  |
|------------------------------------------------------------------------------|--|------|--|--|----------------|----------|---|---|--|
| 188. -- Powershares Actively ETF Mngd Exch<br>Trd Cmmdty Yld Optm Yld Dvrsfd |  | None |  |  | Buy            | 06/08/17 | J |   |  |
| 189.                                                                         |  |      |  |  | Buy<br>(add'l) | 06/14/17 | J |   |  |
| 190.                                                                         |  |      |  |  | Sold<br>(part) | 09/26/17 | J | A |  |
| 191.                                                                         |  |      |  |  | Sold           | 10/30/17 | J | A |  |
| 192.                                                                         |  |      |  |  |                |          |   |   |  |
| 193.                                                                         |  |      |  |  |                |          |   |   |  |
| 194.                                                                         |  |      |  |  |                |          |   |   |  |
| 195.                                                                         |  |      |  |  |                |          |   |   |  |
| 196.                                                                         |  |      |  |  |                |          |   |   |  |
| 197.                                                                         |  |      |  |  |                |          |   |   |  |
| 198.                                                                         |  |      |  |  |                |          |   |   |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Jones, Phyllis M.        | 05/07/2018     |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

Jones, Phyllis M.

Date of Report

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Phyllis M. Jones

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544