

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosen, Gerald E.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address Room 730 U.S. Courthouse 231 West Lafayette Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Focus:Hope, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3. Trustee	Trust No. 1
4. Trustee	Trust No. 2
5. Professor	Wayne State University, Detroit, Michigan
6. Professor	Thomas M. Cooley Law School, Lansing, Michigan

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	The Rutter Group - Publication Agreement dated November 1995, amended October 2012. Royalties to be shared in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.
3. 2005	The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication.
4. 2007	The Michigan Baseball Ventures, LLC - See Part VIII. Additional Information

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5. 2013

The Rutter Group - Publication Update Agreement dated March 2013. Royalty to be paid based on projected sales of the Update.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Thomson Reuters (West Group) - Book Royalties	\$39,584.00
2. 2013	Wayne State University - Teaching	\$17,364.00
3. 2013	Thomas M. Cooley Law School - Teaching	\$7,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. UCLA Law School	03/14/13 - 03/15/13	Los Angeles, CA	Seminar Speaker	Meals, Lodging, Transportation
2. The Rutter Group	07/08/13	San Francisco, CA	Panelist	Meals, Lodging, Transportation
3. The Rutter Group	07/09/13 - 07/11/13	Los Angeles, CA	Panelist	Meals, Lodging, Transportation
4. University of Maryland	07/31/13 - 08/01/13	Baltimore, MD	Seminar Speaker	Meals, Lodging, Transportation
5. Federal Bar Association	09/25/13 - 09/27/13	San Juan, PR	Seminar Speaker	Meals, Lodging, Transportation
6. Southern Methodist University	10/10/13 - 10/11/13	Dallas, TX	Seminar Speaker	Meals, Lodging, Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank Accounts	A	Interest	J	T					
2. IRA No. 1	C	Int./Div.	M	T					
3. -Marshall & Isley Bank - Cash Equivalent					Closed	02/12/13	J		
4. -iSharesIBOXX \$Investment Grade Corp Bond Fund					Sold	02/19/13	J	A	
5. -ProShares Trust ProShares Short S&P 500					Sold	02/19/13	J		
6. -ProShares Trust ProShares Short Russell 2000					Sold	02/19/13	J		
7. -SPDR Gold Trust Gold Shares					Sold	02/19/13	K	A	
8. -Healthcare Select Sector SPDR ETF					Sold	02/19/13	J	C	
9. -iShares MSCI Hong Kong Index Fund					Sold	02/19/13	J	B	
10. -iShares Trust Barclays Intermediate Credit Bond Fund					Sold	02/19/13	J	A	
11. -iShares Trust S&P US PFD Stock Index Fund					Sold	02/19/13	J	A	
12. -Energy Select Sector SPDR					Sold	01/09/13	J	A	
13. -Powershares Emerging Markets Sovereign Debt ETF					Sold	02/19/13	J		
14. -iShares Trust FTSE China 25 Index Fund					Sold	02/19/13	J	A	
15. -Industrial Select Sector SPDR					Sold	01/31/13	J	A	
16. -ProShares Short QQQ					Buy	01/31/13	J		
17.					Sold	02/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Sector Materials Sector SPDR					Buy	01/09/13	J		
19.					Sold	02/19/13	J	A	
20. -Federated Government Obligations Fund Money Market					Open	02/12/13	J		
21. -Allergan Inc.					Buy	02/22/13	J		
22.					Sold	06/24/13	J		
23. -Amerco					Buy	11/13/13	J		
24. -American Express Co					Buy	05/07/13	J		
25. -American Tower REIT					Buy	02/22/13	J		
26.					Sold	09/06/13	J		
27. -American Water Works					Buy	02/22/13	J		
28.					Sold	12/18/13	J	A	
29. -Apple Inc					Buy	02/22/13	J		
30.					Buy (add'l)	12/13/13	J		
31. -Boeing Co					Buy	02/22/13	J		
32.					Sold (part)	10/08/13	J	A	
33. -Bristol-Myers Squibb					Buy	02/22/13	J		
34.					Buy (add'l)	10/23/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	09/06/13	J	A	
36.					Sold (part)	12/18/13	J	A	
37. -Caterpillar Inc					Buy	02/22/13	J		
38.					Buy (add'l)	05/07/13	J		
39. -CBL Assoc Property REIT					Buy	05/07/13	J		
40.					Sold	05/31/13	J		
41. -Cemex Corp					Buy	02/22/13	J		
42.					Sold	12/18/13	J	A	
43. -Church & Dwight Co					Buy	02/22/13	J		
44. -Coca Cola Co(X)					Sold	08/19/13	J		
45. -ConAgra Foods Inc					Buy	07/19/13	J		
46. -Costco Wholesale Corp					Buy	02/22/13	J		
47. -Cummins Inc					Buy	02/22/13	J		
48. -CVS Caremark Corp					Buy	05/07/13	J		
49. -Deere & Co Bond (6.95% Due 04-25-14)					Buy	02/28/13	K		
50.					Sold	12/16/13	K		
51. -Diageo PLC ADR					Buy	02/22/13	J		

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52. -Ecolab Inc					Buy	02/22/13	J		
53. -Equinix Inc					Buy	02/22/13	J		
54. -Exxon Mobil Corp					Buy	02/22/13	J		
55.					Buy (add'l)	12/18/13	L		
56.					Sold (part)	12/18/13	L	B	
57. -Ford Motor Company(X)									
58. -Freeport-McMoRan(X)									
59. -General Electric Co					Buy	09/09/13	J		
60.					Buy (add'l)	11/05/13	J		
61. -Google Inc Class A					Buy	02/22/13	J		
62.					Buy (add'l)	10/23/13	J		
63. -Hain Celestial Group(X)					Sold	07/19/13	J	A	
64. -Hess Corp					Buy	02/22/13	J		
65. -Home Depot Inc.					Buy	02/22/13	J		
66. -IBM Corp					Buy	02/22/13	J		
67.					Sold	12/18/13	J		
68. -Jarden Corp					Buy	09/09/13	J		

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2. Value Codes (See Columns C1 and D3)					
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69. -Lockheed Martin Corp					Buy	05/07/13	J		
70. -McDonalds Corp					Buy	05/07/13	J		
71. -Microsoft Corp					Buy	11/13/13	J		
72. -Monsanto Co					Buy	02/22/13	J		
73. -Mosaic Co					Buy	08/09/13	J		
74. -National Oilwell Varco					Buy	02/22/13	J		
75.					Sold	10/08/13	J	A	
76. -Nestle SA ADR					Buy	02/22/13	J		
77.					Sold	08/19/13	J		
78. -Nike					Buy	02/22/13	J		
79. -Northeast Utilities					Buy	05/07/13	J		
80. -Occidental Petroleum					Buy	07/01/13	J		
81. -Pepsi Americas Inc Bond (Callable) (4.375% Due 02-15-14)					Buy	03/01/13	J		
82.					Sold	12/16/13	J		
83. -Perrigo Co					Buy	02/22/13	J		
84.					Sold	12/19/13	J	A	
85. -Perrigo Co PLC					Buy	12/19/13	J		

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86. -Philip Morris Intl Inc Bond (6.875% Due 03-17-14)					Buy	03/01/13	J		
87.					Sold	12/16/13	J		
88. -Prudential Financial Inc					Buy	03/01/13	J		
89. -Qualcomm Inc.(X)					Sold	11/08/13	J	A	
90. -Royal Dutch Shell Intl Finl Bond (4.000% Due 03-21-14)					Buy	02/25/13	K		
91.					Sold	12/16/13	K		
92. -Sherwin Williams Co					Buy	02/22/13	J		
93. -Starbucks Corp					Buy	02/22/13	J		
94. -Union Pacific Corp					Buy	02/22/13	J		
95. -Ventas Inc REIT					Buy	02/22/13	J		
96.					Sold	06/20/13	J		
97. -Visa Inc Cl A					Buy	02/22/13	J		
98. -Vodafone PLC ADR					Buy	10/23/13	J		
99. -Walt Disney Co(X)									
100. -Weyerhaeuser REIT					Buy	02/22/13	J		
101.					Sold	05/31/13	J		
102. -Whole Foods Mkt Inc					Buy	02/22/13	J		

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103.					Sold (part)	10/08/13	J	A	
104.					Sold	11/08/13	J	A	
105. -XTO Energy (XOM) Bond (Callable) (5.750% Due 12-15-13)					Buy	02/25/13	J		
106.					Sold	12/15/13	J		
107. IRA No. 2	C	Int./Div.	N	T					
108. -Marshall & Isley Bank - Cash Equivalent					Closed	02/08/13	J		
109. -iShares iBoxx \$Investment Grade Corp Bond Fund					Sold	02/20/13	J	A	
110. -ProShares Trust ProShares Short S&P 500					Sold	02/20/13	J		
111. -ProShares Trust ProShares Short Russell 2000					Sold	02/20/13	J		
112. -SPDR Gold Trust Gold Shares					Sold	02/20/13	K	A	
113. -Health Care Select Sector SPDR					Sold	02/20/13	K	A	
114. -iShares MSCI Hong Kong Index Fund					Sold	02/20/13	K	B	
115. -iShares Trust Barclays Intermediate Credit Bond Fund					Sold	02/20/13	K	A	
116. -iShares Trust S&P US Preferred Stock Index Fund					Sold	02/20/13	J	A	
117. -Energy Select Sector SPDR					Sold	01/09/13	J	A	
118. -Powershares Emerging Markets Sovereign Debt ETF					Sold	02/20/13	J		
119. -iShares Trust FTSE China 25 Index Fund					Sold	02/20/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rosen, Gerald E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Industrial Select Sector SPDR					Sold	01/31/13	K	B	
121. -ProShares Short QQQ					Buy	01/31/13	J		
122.					Sold	02/20/13	J		
123. -Sector Materials Sector SPDR					Buy	01/09/13	J		
124.					Sold	02/20/13	J		
125. -Federated Government Obligations Fund Money Market					Open	02/12/13	J		
126. -Abbot Laboratories					Buy	04/15/13	J		
127.					Buy (add'l)	05/21/13	J		
128.					Sold (part)	10/08/13	J		
129. -Allergan Inc					Buy	02/21/13	J		
130.					Buy (add'l)	05/07/13	J		
131.					Sold	06/24/13	J		
132. -Amerco					Buy	11/13/13	J		
133. -American Tower REIT					Buy	02/21/13	J		
134.					Buy (add'l)	05/07/13	J		
135.					Sold	09/06/13	J		
136. -American Water Works					Buy	02/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/07/13	J		
138.					Buy (add'l)	05/21/13	J		
139.					Sold (part)	10/08/13	J		
140. -Apple Inc					Buy	02/21/13	J		
141.					Buy (add'l)	05/21/13	J		
142. -Boeing Co					Buy	02/21/13	J		
143.					Buy (add'l)	05/21/13	J		
144.					Sold (part)	10/08/13	J	A	
145. -Bristol-Myers Squibb					Buy	02/21/13	J		
146.					Buy (add'l)	05/21/13	J		
147.					Sold (part)	09/06/13	J		
148. -Caterpillar Inc					Buy	02/21/13	J		
149. -CBS Corp Cl B					Buy	11/13/13	J		
150. -Cerner Corp					Buy	02/21/13	J		
151.					Sold (part)	10/08/13	J	A	
152.					Sold (part)	12/30/13	J	A	
153. -Church & Dwight Co					Buy	02/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/23/13	J		
155. -Cisco Systems Bond (2.900% Due 11-17-14)					Buy	02/25/13	K		
156.					Sold	12/19/13	K		
157. -Coca Cola Co					Buy	02/21/13	J		
158.					Sold	08/19/13	J		
159. -ConAgra Foods Inc					Buy	07/19/13	J		
160.					Buy (add'l)	10/23/13	J		
161. -Costco Wholesale Corp					Buy	02/21/13	J		
162.					Buy (add'l)	05/07/13	J		
163.					Sold (part)	10/08/13	J	A	
164. -Cummins Inc					Buy	02/21/13	J		
165.					Sold (part)	04/17/13	J		
166.					Sold	10/08/13	J	A	
167. -Deere & Co Bond (6.95% Due 4-25-14)					Buy	02/28/13	K		
168.					Buy (add'l)	12/16/13	J		
169. -Diageo PLC ADR					Buy	02/21/13	J		
170.					Buy (add'l)	05/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	10/23/13	J		
172. -EcoLab Inc					Buy	02/21/13	J		
173.					Buy (add'l)	10/23/13	J		
174. -Equinix Inc					Buy	02/21/13	J		
175.					Buy (add'l)	05/21/13	J		
176.					Sold	10/08/13	J		
177. -Exxon Mobil Corp					Buy	02/21/13	J		
178.					Buy (add'l)	10/23/13	J		
179.					Buy (add'l)	12/18/13	K		
180.					Sold (part)	12/18/13	K	A	
181. -Ford Motor Company					Buy	02/21/13	J		
182. -Freeport-McMoran					Buy	02/21/13	J		
183.					Buy (add'l)	10/23/13	J		
184. -General Electric Cap Corp Bond (2.100% Due 01-07-14)					Buy	02/25/13	J		
185.					Sold	12/19/13	J		
186. -General Electric Co					Buy	09/09/13	J		
187.					Buy (add'l)	10/23/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Google Inc Cl A					Buy	02/21/13	J		
189.					Buy (add'l)	05/07/13	J		
190. -Hain Celestial Group					Buy	02/21/13	J		
191.					Sold	07/19/13	J	A	
192. -Hess Corp					Buy	02/21/13	J		
193.					Buy (add'l)	05/21/13	J		
194.					Buy (add'l)	12/30/13	J		
195.					Sold (part)	10/08/13	J	A	
196. -Home Depot Inc					Buy	02/21/13	J		
197.					Buy (add'l)	05/21/13	J		
198. -IBM Corp					Buy	02/21/13	J		
199.					Buy (add'l)	10/23/13	J		
200. -Jarden Corp					Buy	09/09/13	J		
201.					Buy (add'l)	11/08/13	J		
202. -Lockheed Martin Corp					Buy	09/03/13	J		
203. -McDonalds Corp Bond (Callable) (.0750% Due 05-29-15)					Buy	03/01/13	K		
204. -Microsoft Corp					Buy	11/13/13	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Microsoft Corp Bond (2.950% Due 06-01-14)					Buy	02/25/13	J		
206. -Monsanto Co					Buy	02/21/13	J		
207.					Buy (add'l)	05/07/13	J		
208.					Buy (add'l)	11/08/13	J		
209. -Mosaic Co					Buy	08/09/13	J		
210.					Buy (add'l)	11/08/13	J		
211. -Motorola Solutions					Buy	12/30/13	J		
212. -National Oilwell Varco					Buy	02/21/13	J		
213.					Buy (add'l)	05/21/13	J		
214.					Sold	10/08/13	J	A	
215. -Nestle SA ADR					Buy	02/21/13	J		
216.					Buy (add'l)	05/21/13	J		
217.					Sold	08/19/13	J		
218. -Nike Inc					Buy	02/21/13	J		
219.					Buy (add'l)	05/21/13	J		
220.					Sold	05/31/13	J	A	
221. -Occidental Petroleum					Buy	07/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	10/23/13	J		
223. -Oracle Corp					Buy	02/21/13	J		
224.					Sold	04/15/13	J		
225. -Pepsi Americas Inc Bond (Callable) (4.375% Due 02-15-14)					Buy	03/01/13	K		
226. -Perrigo Co					Buy	02/21/13	J		
227.					Sold (part)	10/08/13	J	A	
228.					Sold	12/19/13	J	A	
229. -Perrigo Co PLC					Buy	12/19/13	J		
230. -Philip Morris Intl Inc Bond (6.875% Due 03-17-14)					Buy	03/01/13	K		
231. -Prudential Financial Inc.					Buy	03/01/13	J		
232.					Buy (add'l)	11/08/13	J		
233. -Qualcomm Inc.					Buy	02/21/13	J		
234.					Buy (add'l)	05/07/13	J		
235.					Buy (add'l)	11/08/13	J		
236.					Sold	11/08/13	J	A	
237. -Sherwin Williams Co					Buy	02/21/13	J		
238. -Sirius SM Holdings Inc					Buy	12/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Starbucks Corp					Buy	02/21/13	J		
240.					Sold (part)	10/08/13	J	A	
241. -Union Pacific Corp					Buy	02/21/13	J		
242.					Buy (add'l)	05/07/13	J		
243. -Ventas Inc REIT					Buy	02/21/13	J		
244.					Buy (add'l)	05/21/13	J		
245.					Sold	06/20/13	J		
246. -Visa Inc Cl A					Buy	02/21/13	J		
247.					Buy (add'l)	10/23/13	J		
248. -Vodafone PLC ADR					Buy	09/09/13	J		
249.					Buy (add'l)	10/23/13	J		
250.					Buy (add'l)	11/08/13	J		
251. -Walt Disney Co					Buy	02/21/13	J		
252. -Weyerhaeuser REIT					Buy	02/21/13	J		
253.					Sold	05/31/13	J		
254. -Whole Food Market Inc					Buy	02/21/13	J		
255.					Buy (add'l)	05/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold	11/08/13	J	A	
257. Roth IRA No. 1	A	Dividend	J	T					
258. -Marshall & Isley Bank Deposit Program					Closed	04/02/13	J		
259. -Federated Government Obligations Fund Money Market					Open	05/10/13	J		
260. Roth IRA No. 2	A	Dividend	J	T					
261. -Marshall & Isley Bank - Cash Equivalent					Closed	04/02/13	J		
262. -Federated Government Obligations Fund Money Market					Open	05/15/13	J		
263. Brokerage Account No. 1(H)									
264. -Marshall & Isley Bank - Cash Equivalent					Closed	02/08/13	J		
265. -Raytheon					Sold	02/20/13	J	C	
266. -Federated Government Obligations Fund Money Market	A	Int./Div.	K	T	Open	02/12/13	L		
267. -Ford Interest Advantage Money Market	A	Int./Div.	J	T	Open	02/21/13	J		
268. 529 Plan (Y)									
269. -American Funds Capital World Growth & Inc 529C (Y)									
270. Trust No. 1(Y)									
271. -Marshall & Isley Bank - Cash Equivalent					Closed	02/08/13	L		
272. Trust No. 2(Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -Marshall & Isley Bank - Cash Equivalent					Closed	02/01/13	J		
274. Michigan Baseball Ventures, LLC Partners		None	J	V					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute earned income.

2. Part I. Positions

- a.) Line 3 - Trust No. 1 has no reportable assets after the closure of the money market account.
- b.) Line 4 - Trust No. 2 has no reportable assets after the closure of the money market account.

3. Part III. Agreements

- a.) Line 4 - To own interests in various minor league baseball franchises; net profits/losses are allocated with accordance with members' shares

4. Part VII. Investments and Trusts

- a.) Line 47 - Cummins, Inc. was disposed of in 2 transactions, each below the transaction reporting threshold.
- b.) Line 53 - Equinix, Inc. was disposed of in a transaction below the reporting threshold.
- c.) Line 70 - McDonalds Corp. was disposed of in a transaction below the reporting threshold.
- d.) Line 78 - Nike was disposed of in 2 transactions, each below the transaction reporting threshold.
- e.) Line 79 - Northeast Utilities was disposed of in a transaction below the reporting threshold.
- f.) Line 257 - Roth IRA #1 - Investment strategy was to diversify causing there to be no reportable underlying assets aside from the money market account. Each additional underlying asset is below the value, income and transaction thresholds.
- g.) Line 260 - Roth IRA #2 - Investment strategy was to diversify causing there to be no reportable underlying assets aside from the money market account. Each additional underlying asset is below the value, income and transaction thresholds.
- h.) Line 268 - 529 Plan - The 529 plan was liquidated on April 1, 2013. The liquidating transaction was below the transaction threshold for reporting.
- i.) Line 274 - Michigan Baseball Ventures, LLC - Value used is Tax Basis reported on Schedule K-1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald E. Rosen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544