

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SICKEL, JAMES R.	2. Court or Organization U.S. DISTRICT COURT, E D of WI	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, PART-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 125 S. JEFFERSON STREET, #101 P. O. BOX 1626 GREEN BAY, WI 54305-1626		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. SOLE PROPRIETOR	JAMES R SICKEL ATTORNEY
2. MEMBER	JEFFERSON COURT BUILDING, LLC
3. MEMBER	JAMKAT, LLC
4. MEMBER	EASTERN HORIZON, LLC
5. MEMBER	HURON PROFESSIONAL CENTER, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Self-employed, Attorney (James R Sickel Attorney) - Net Loss (\$1,985)	\$0.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Bank Accountns	B	Interest	O	T					
2. JP Morgan Chase Checking Account (Previous Line 58)		None	K	T					
3. BMO Harris Checking Account (Previous Line 61)	A	Interest	M	T					
4. Neuberger Berman - Mutual Fund (Previous Line 57)	E	Dividend	O	T					
5. First Bancshares, Inc. (Previous Line 56)	A	Dividend	J	T					
6. Parnassus Funds (Previous Line 7)	C	Dividend	K	T					
7. BAIRD ACCOUNT (Previous Line 8 - 14)									
8. -General Muni Money Mkt		None	J	T					
9. -Dreyfus	A	Dividend	K	T					
10. -Insured Deposit	A	Interest	K	T					
11. -Calvert Equity CL A	C	Dividend	K	T					
12. -Putnam Investors CL A	A	Dividend	J	T					
13. STIFEL NICOLAUS ACCOUNT (Previous Line 15 and 16)									
14. -General Money Market					Merged (with line 15)	01/01/15	J	A	
15. IRA #1 - STIFEL NICOLAUS (Previous Line 17 - 37)	B	Int./Div.			Sold	06/02/15	K	A	
16. -Cash					Sold	06/02/15	J	A	
17. -Money Market Funds					Sold	06/02/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -General Motors Company					Sold	06/02/15	J	A	
19. -Immtech Pharmaceutical Inc.					Sold	06/02/15	J	A	
20. -Why USA Financial Group Inc.					Sold	06/02/15	J	A	
21. - Capital Products Partners LP					Sold	04/16/15	J	A	
22. - Capital Products Partners LP					Sold	05/01/15	J	A	
23. -Preferred: Banc California Inc (Formerly First Pctrust Bancorp Inc)					Sold	05/01/15	J	A	
24. - Preferred: Scorpio Tankers Inc.					Sold	05/01/15	J	A	
25. -Bond: ABC Rail Products					Sold	06/02/15	J	A	
26. -Bond: General Motors Corp					Sold	06/02/15	J	A	
27. -Bond: General Motors Corp					Sold	06/02/15	J	A	
28. -Bond: Penney JC Corp Inc					Sold	05/01/15	J	A	
29. -Bond: United States Steel Corp					Sold	05/01/15	J	A	
30. -Mutual Fund: Alpine Global Premier Properties Fund Shares					Sold	05/01/15	J	A	
31. -Mutual Fund: Goldman Sachs MLP Income Opportunities Fund					Sold	05/01/15	J	A	
32. - Ellington Financial LLC					Sold	05/01/15	J	A	
33. -Warrants: General Motors Company					Sold	05/01/15	J	A	
34. -Warrants: General Motors Company					Sold	05/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Legacy Reserves Ltd Partnership					Sold	05/01/15	J	A	
36. IRA #2 - ASSOCIATED BANK (Previous Line 38)	A	Interest	K	T					
37. IRA #3 - ASSOCIATED BANK (Previous Line 39)	A	Interest	K	T					
38. IRA #4 - BMO HARRIS BANK (Previous Line 40)	A	Interest	J	T					
39. IRA #5 - BMO HARRIS BANK (Previous Line 41)	A	Interest	J	T					
40. IRA #6 - PRINCIPAL FIN (Previous Nationwide Line 42-44)	A	Dividend	M	T					
41. -Janus Twenty Fd									
42. -Elow Fixed									
43. IRA #7 - AMERICAN FUNDS (Previous Line 45 - 54)	B	Dividend	N	T					
44. -Fundamental Investors C					Buy (add'l)	05/22/15	J		
45. -Smallcap World Fund C					Buy (add'l)	05/22/15	J		
46. -American Funds Money Market Fund-C									
47. - New World Fund-C									
48. -American Balanced Fund-C					Buy (add'l)	05/22/15	J		
49. -American Funds Money Market Fund-A									
50. -Fundamental Investors-A									
51. -American Balanced Fund-A									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Capital World Growth and Income Fund-A									
53. JAMKAT, LLC (See Section VIII) (Previous Line 2)		None	N	S					
54. JEFFERSON COURT BLDG LLC (See Section VIII) (Previous Line 3)	G	Rent	P1	S					
55. EASTERN HORIZONS LLC (See Section VIII) (Previous Line 4)	E	Rent	O	U					
56. SCHEDULE C INTEREST (Previous Line 5)	A	Interest			Merged (with line 1)	01/01/15	J	A	
57. HURON PROF CENTER LLC (See Section VIII)(Previous Line 6)	A	Rent	K	U					
58. FARMAR, LLC (Previous Line 60)		None			Closed	12/31/15	J	A	
59. WIS National Life n/k/a Humana Dental (Previous Line 55)		None	K	T					
60. Business Vehicle (SEE SECTION VIII) (Previous Line 59)			K	V					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Page 4, Line #53 JAMKAT, LLC - 100% assessed value \$348,700. Property located in Milwaukee, WI and Green Bay, WI.
- 2) Part VII, Page 4, Line #54 JEFFERSON COURT BLDG, LLC - 100% assessed value \$1,998,000. Property located in Green Bay, WI.
- 3) Part VII, Page 4, Line #55 EASTERN HORIZONS, LLC - Property located in Green Bay, WI.
- 4) Part VII, Page 4, Line #57 HURON PROFESSIONAL CENTER, LLC - Property located in Green Bay, WI.
- 5) Part VII, Page 7, Line #60 BUSINESS VEHICLE - Business portion of vehicle used for self-employed business. Value listed at blue book value of \$27,600.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES R. SICKEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544