

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 06/19/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Union League of Philadelphia & Wharton University of Pennsylvania	02/17/12	Philadelphia, PA	Wharton restructuring & turnaround conference	meal
2. ABL/St John's University	03/12/12	New York, NY	Dubenstein moot court competition	travel & meal
3. Turnaround Management Association	04/03-04/04/12	Atlanta, GA	Turnaround management Spring conference	travel & lodging
4. Widner University	04/16/12	Wilmington, DE	Program on corporate & business bankruptcy law	meal
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel 7th Dev Reg Savings Amended Bond 46513ENN8	A	Interest	J	T					
2. State of Israel 8th Infr & Absp Issue Bond 46513ECC4	A	Interest	J	T					
3. State of Israel 8th Infr & Absp Issue Bond 4651387L3	A	Interest	J	T					
4. State of Israel 8th Infr & Absp Issue Bond 46513ELEO	A	Interest	J	T					
5. Newberger Berman Brokerage Account #1									
6. -Dreyfus Treas Prime Cash Mgmt Inv Shs money market fund		None	K	T					
7. -Schlumberger Ltd common stock	A	Dividend	J	T					
8. -Neuberger Berman Genesis FD Institutional Class mutual fund	B	Distribution	K	T					
9. -Goldcorp Inc New common stock	A	Dividend			Sold	04/26/12	J		
10. -Sociedad Quimica Minera De Chile SA Apon ADR Ser B com stk	A	Dividend	J	T					
11. -SPDR Gold Tr Gold Shs common stock		None	J	T					
12. -Range Resources Corp common stock	A	Dividend	J	T					
13. -EMC Corp - Mass common stock		None	J	T					
14. -Philip Morris International Inc common stock	A	Dividend			Sold	10/18/12	J	C	
15. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend	J	T	Buy (add'l)	01/12/12	J		
16.					Sold (part)	11/20/12	J		
17. -Google Inc Cl A common stock		None	J	T	Sold (part)	08/27/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. -Cypress Semiconductor Corp common stock		None			Buy (add'l)	01/17/12	J		
19.					Sold	06/06/12	J		
20. -Kubota Corporation ADR common stock	A	Dividend	J	T					
21. -Cenovus Energy Inc common stock	A	Dividend	J	T					
22. -Sanofi Aventis Spon ADR common stock	A	Dividend	J	T	Sold (part)	08/16/12	J	A	
23. -Valmont Industries Inc common stock	A	Dividend	J	T					
24. -Crescent Point Energy Corp common stock	A	Dividend	J	T					
25. -Occidental Pete Corp common stock	A	Dividend	K	T					
26. -Treasury Wine Estates common stock	A	Dividend	J	T	Sold (part)	08/30/12	J	A	
27. -Alpha Natural Resources Inc common stock		None			Buy (add'l)	02/03/12	J		
28.					Sold	04/10/12	J		
29. -FMC Technologies Inc common stock		None			Sold	05/10/12	J		
30. -Boeing Co common stock	A	Dividend	J	T					
31. -Honeywell Intl Inc common stock	A	Dividend	J	T	Buy (add'l)	10/02/12	J		
32. -United Parcel Svc Inc common stock	A	Dividend			Sold	05/22/12	J	A	
33. -Linear Technology Corp common stock	A	Dividend	J	T	Buy (add'l)	01/17/12	J		
34. -Neuberger Berman Eq FDS GBL Thematic Opp FD Instl mutual fd	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Unilever N V New York Shs New ADR	A	Dividend	J	T	Buy	04/26/12	J		
36. -Weyerhaeuser Co common stock	A	Distribution	J	T	Buy	06/04/12	J		
37.					Sold (part)	09/04/12	J	A	
38. -Intel Corp common stock	A	Dividend	J	T	Buy	06/06/12	J		
39. -Novartis AG American Depository Shrs		None	J	T	Buy	07/24/12	J		
40. -Freeport McMoran Copper & Gold Inc common stock	A	Dividend			Buy	08/09/12	J		
41.					Sold	12/05/12	J		
42. -Southwestern Energy Co common stock		None	J	T	Buy	08/10/12	J		
43. M&T Bank checking account	A	Interest	K	T					
44. IRA #1									
45. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
46. IRA #2									
47. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
48. MetLife-whole life		None			Redeemed	01/17/12	K	D	
49. MetLife-whole life		None			Redeemed	01/17/12	L	E	
50. MetLife-whole life	B	Dividend	J	T					
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52. 1/3 interest in Trisis-oil & gas royalty interest & land in									
53. Scurry, Tyler & Wood Counties, TX	E	Royalty	O	W					
54. IRA Rollover Acct #1									
55. -Dreyfus Treas Prime Cash Mgmt Inv shs money mkt fd		None	N	T					
56. -Sociedad Quimica Minera de Chile SA Spon ADR Ser B common	A	Dividend	K	T					
57. -Neuberger Berman Genesis FD Investor Class mutual fund	A	Dividend	K	T	Buy (add'l)	12/28/12	K		
58.	A	Distribution							
59. -Philip Morris International common stock	B	Dividend	K	T	Sold (part)	04/19/12	K	D	
60.					Sold (part)	10/18/12	K	D	
61. -Ishares TR NASDAQ Biotechnology Index Fd common stock	A	Dividend	L	T					
62. -EMC Corp-Mass common stock		None	K	T	Sold (part)	04/19/12	K	D	
63. -SPDR Gold Tr Gold Shs common stock		None	L	T					
64. -Schlumberger Ltd common stock	A	Dividend	K	T					
65. -Southwestern Energy Co common stock		None	K	T					
66. -Range Resources Corp common stock	A	Dividend	L	T					
67. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend	K	T	Buy (add'l)	01/12/12	J		
68.					Sold (part)	11/20/12	K	C	

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69. -Google Inc common stock		None	L	T	Sold (part)	08/27/12	K	D	
70. -Unilever N V New York Shs New ADR common stock	B	Dividend	L	T					
71. -Ishares Inc MSCI Japan Index Fd		None			Sold	01/31/12	K		
72. -United Parcel Svc Inc Cl B common stock	A	Dividend			Sold (part)	04/26/12	K	D	
73.					Sold	05/23/12	K	D	
74. -Cypress Semiconductor Corp common stock	A	Distribution			Buy (add'l)	01/13/12	J		
75.					Sold	06/07/12	K	C	
76. -Kubota Corporation common stock	B	Dividend	L	T					
77. -Goldcorp Inc common stock	A	Dividend			Sold	04/26/12	K		
78. -Cenovus Energy Inc common stock	B	Dividend	L	T					
79. -Alpha Natural Resources Inc common stock		None			Sold	04/10/12	K		
80. -Sanofi Aventis Spon ADR common stock	C	Dividend	K	T	Sold (part)	08/08/12	K	C	
81.					Sold (part)	08/22/12	K	C	
82. -Valmont Industries Inc common stock	A	Dividend	K	T	Sold (part)	05/23/12	J	C	
83. -Crescent Point Energy Corp common stock	B	Dividend	K	T					
84. -FMC Technologies Inc common stock		None			Sold	05/10/12	L	C	
85. -Linear Technology Corp common stock	B	Dividend	L	T					

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86. -Centurylink Inc common stock	C	Dividend	K	T					
87. -Silver Wheaton Corp common stock		None			Sold	05/08/12	K		
88. -Microchip Technology Inc common stock	B	Distribution	K	T					
89. -Neuberger Berman Eq FDS GBL Thematic Opp Fd Inst mutual fun	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
90.					Buy (add'l)	12/28/12	K		
91. -Treasury Wine Estates US listed common stock	B	Dividend	K	T	Sold (part)	08/31/12	K	D	
92. -Honeywell Intl Inc common stock	B	Dividend	L	T	Buy (add'l)	02/06/12	J		
93. -Boeing Co common stock	B	Dividend	L	T					
94. -Novartis AG American depository shares	B	Dividend	K	T					
95. -Roche Holding LTD Spon ADR REPSTG ORD		None	K	T	Buy	03/09/12	K		
96. -Occidental Pete Corp common stock	A	Dividend	K	T	Buy	05/02/12	K		
97. -Weyerhaeuser Co common stock	A	Distribution	K	T	Buy	06/04/12	K		
98.					Sold (part)	09/04/12	J	C	
99. -Intel Corp common stock	A	Dividend	K	T	Buy	06/07/12	K		
100. -Freeport McMoran Copper & Gold Inc common stock	A	Dividend			Buy	08/09/12	K		
101.					Sold	12/05/12	K		
102. -Newmont Mining Corp Holding Co common stock	A	Dividend	K		Buy	08/09/12	K		

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103. -Cisco Systems Inc common stock	A	Dividend	K	T	Buy	08/16/12	K		
104. -Deere & Co common stock		None	K	T	Buy	10/01/12	K		
105. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
106. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					
107. Morgan Stanley Smith Barney brokerage account #1									
108. -Morgan Stanley Bank NA	A	Interest	J	T					
109. -Centurytel Inc bond	B	Interest			Sold	08/28/12	K	A	
110. -Lincoln Natl Corp bond	B	Interest			Sold	09/27/12	K	B	
111. -Bank America Corp bond	A	Interest			Sold	06/14/12	K	B	
112. -Citizens Util Co bond	A	Interest	K	T					
113. -Reinsurance Grp of America Co Bond	A	Interest	K	T	Buy	06/14/12	K		
114. -Hatteras Finl Corp preferred stock	A	Dividend			Buy	09/04/12	K		
115.					Sold	12/31/12	K	A	
116. -XL Capital Ltd LTD Fixed Bond	A	Int./Div.			Buy	09/27/12	K		
117.					Sold	12/31/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gross, Kevin

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gross, Kevin

Date of Report

06/19/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544