

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Robert L.	2. Court or Organization District Court - E.D. Tenn.	3. Date of Report 04/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 800 Market Street Suite 141 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Tennessee Consolidated Retirement System Judicial Retirement	\$14,583.36
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. American Trust Bank of East TN		None	J	T					
3. BB&T	C	Dividend	M	T					
4. BP PLC	A	Dividend	J	T					
5. Comcast	A	Dividend	K	T					
6. Dow Chem.	A	Dividend	K	T					
7. EMC	A	Dividend	J	T					
8. First Horizon	A	Dividend	K	T					
9. General Electric	A	Dividend	K	T					
10. IBM	A	Dividend	K	T					
11. JDS Uniphase Corp		None	J	T					
12. John Hancock		None	K	T					
13. Johnson & Johnson	A	Dividend	J	T					
14. Alcatel-Lucent		None	J	T					
15. Medtronic	A	Dividend	J	T					
16. NASDAQ - 100		None	J	T					
17. Pfizer	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Phoenix Cos.		None	J	T					
19. Putnam Global Growth	A	Dividend	K	T					
20. Guggenheim	A	Dividend	J	T					
21. Sun Trust Bank	D	Dividend	O	T					
22. Twin Cities Financial, Inc.	A	Dividend	K	T					
23. Tyco Intl.	A	Dividend	J	T					
24. Cohen & Steers Select Utility	C	Dividend	K	T					
25. Vanguard Growth Index Fund	B	Dividend	L	T					
26. Vanguard 500 Index Fund	B	Dividend	L	T					
27. Vanguard Mid Cap Index Fund	A	Dividend	L	T					
28. Vanguard Small Cap Value Fund	B	Dividend	L	T					
29. Vanguard Health Care Fund	B	Dividend	L	T					
30. INTEREST, CDS, SAVINGS, ETC.									
31. Regions Bank	B	Interest	N	T					
32. Citizens Bank of Blount County	A	Interest	K	T					
33. First Tennessee	B	Interest	N	T					
34. Home Federal	B	Interest	N	T					

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35. Merrill Lynch MIT		None			Closed	01/01/13	J		
36. Merrill Lynch Ready Assets		None			Closed	01/01/13	J		
37. SunTrust	B	Interest	N	T					
38. United Community Bank	B	Interest			Closed	05/31/13	L		
39. Y-12 FCU	A	Interest	L	T					
40. American Trust Bank	A	Interest	M	T					
41. American Patriot Bank	A	Interest			Closed	01/22/13	M		
42. Capital Mark Bank	B	Interest	M	T					
43. Clayton B&T	A	Interest	M	T					
44. US Bank	B	Interest	M	T					
45.									
46. Vanguard Int'l Value Fund	B	Dividend	L	T					
47. Vanguard Prime Cap Core Fund	A	Dividend	L	T					
48. Atmos Energy	B	Dividend	K	T					
49.									
50. Merrill Lynch Custodian IRA									
51. - Regions Bank	A	Dividend	J	T					

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52. - BMC Software		None			Sold	09/11/13	J	D	
53. - General Electric	A	Dividend	K	T					
54. - Johnson & Johnson	A	Dividend	J	T					
55. - Merrill Lynch Ret. Reserves		None	J	T					
56. - PerkinElmer	A	Dividend	J	T					
57. - Sun Trust Bank	A	Dividend	J	T					
58. - Tanger Factory Outlet	A	Dividend	K	T					
59. - Capital Bank		None	J	T					
60. - Microsoft Corp.	A	Dividend	K	T					
61. - Ingersoll-Rand	A	Dividend	J	T					
62. - Medtronic	A	Dividend	J	T					
63. - Allegion		None	J	T	Spinoff (from line 61)	11/23/13	J		
64.									
65. DEFERRED COMPENSATION - STATE OF TENNESSEE									
66. - Plan I - 457									
67. Fidelity Puritan Fund	A	Distribution	K	T					
68. Fidelity International Discovery	A	Distribution	K	T					

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69. Fidelity OTC Portfolio	A	Distribution	L	T					
70. Fidelity Contrafund	A	Distribution	L	T					
71. Nationwide Bank Account	A	Distribution	K	T					
72. - Plan II - 401K									
73. Nationwide Bank Account	A	Distribution	J	T					
74. ING VP Growth and Income	B	Distribution	M	T					
75. Vanguard Institutional Index Fund	A	Distribution	K	T					
76.									
77. Ingersoll-Rand	A	Dividend	J	T					
78. Verizon Communications	A	Dividend	J	T					
79. TN Valley Fin. Hldr. Inc.		None	J	T					
80. Caterpillar Inc.	A	Dividend	K	T					
81. LSI		None	J	T					
82. TE Connectivity	A	Dividend	J	T					
83. Covidien	A	Dividend	J	T					
84. Vanguard REIT	A	Dividend	K	T					
85. DEERE CO.	A	Dividend	K	T					

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86. Mountain Nat'l Bank CDs (now First Tennessee Bank)	A	Interest			Merged (with line 33)	06/07/13	M		
87. Foothills B&T	B	Interest	N	T					
88. Alcoa FCU	A	Interest	J	T					
89. Home Depot	A	Dividend	K	T					
90. Cisco Sys	A	Dividend	K	T					
91. Proctor & Gamble	A	Dividend	K	T					
92. TN Engy. Bond	A	Interest	L	T					
93. Regions FIN Bond	C	Interest			Redeemed	04/26/13	M		
94. Monsanto	A	Dividend	K	T					
95. Exxon-Mobil	A	Dividend	K	T					
96. Genl-Dyn	A	Dividend	J	T					
97. Merck	A	Dividend	J	T					
98. Kroger	A	Dividend	J	T					
99. Virtus Inv. Ptrs.		None	J	T					
100. Frontier Comm. Corp.	A	Dividend	J	T					
101. Johnson City TN Health & EDL	C	Interest	L	T					
102. Bristol-Myers Squibb	A	Dividend	J	T					

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103. Johnson Controls	A	Dividend	J	T					
104. Boeing Co.	A	Dividend	J	T					
105. TN ENG ACQ CRP	C	Interest	L	T					
106. Texas Inst.	A	Dividend	J	T					
107. Pentair Ltd.	A	Dividend	J	T					
108. Renasant Bank	A	Interest	N	T					
109. Chesapeake Energy	A	Dividend	J	T					
110. The Mosaic Co.	A	Dividend	J	T					
111. AIG	A	Dividend	J	T					
112. Joy Global	A	Dividend	J	T					
113. ADT	A	Dividend	J	T					
114. Stewart County, Tennessee, Bonds	A	Interest	K	T	Buy	05/01/13	K		
115. Mallinckrodt PLC		None	J	T	Spinoff (from line 83)	06/28/13	J		
116. Allegion		None	J	T	Spinoff (from line 77)	11/22/13	J		
117. Rayonier, Inc.	A	Dividend	J	T	Buy	10/28/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544