

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CECCHI, CLAIRE C.	2. Court or Organization US DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	3. Date of Report 07/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Martin Luther King Jr Fed Bldg 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

CARELLA, BYRNE, CECCHI, BRODY, OLSTEIN & AGNELLO - WAGES

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	401k loan	K
2.	Citibank	Unsecured credit lines	O
3.	American Express	Credit Card	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking accounts	A	Interest	J	T					
2. Citibank - Checking accounts	A	Interest	K	T					
3. Northwestern Mutual Ins Cash Value	A	Interest	K	T					
4. Guardian Whole Life	A	Interest	K	T					
5. Mass Mutual Whole Life	A	Interest	J	T					
6. 401(k) #1 - Lines 8-10									
7. - Davis NY Venture Fund		None			Sold	08/31/11	K		
8. - AmericanFunds American Growth Fund		None			Sold	08/31/11	K		
9. - AmericanFunds Inv Fund	A	Dividend			Buy	08/31/11	K		
10. - Mainstay Large Cap	A	Dividend	K	T	Buy	08/31/11	K		
11. SEP #1 - Lines 12-14									
12. - Morgan Stanley-Liquid Asset Fund	A	Dividend			Merged (with line 14)	7/26/11			
13. - Morgan Stanley Bank (Cash account)	A	Dividend			Merged (with line 14)	7/26/11			
14. - UBS Bank (Cash account)	A	Int./Div.	K	T	Open	7/26/11			
15. 401(k) #2 - Lines 16-19									
16. - Columbia Core Bond Z	A	Dividend			Sold	02/01/11	M		
17. - Columbia Large Cap Growth Z		None			Sold	02/01/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - American Century Growth Fund	D	Dividend	M	T	Buy	02/02/11	M		
19. - PIMCO Total Return	B	Dividend	M	T	Buy	02/02/11	M		
20. Carella, Byrne, Cecchi, Brody, Olstein & Agnello, PC		None	P1	W					
21. Mark Pharmaceutical (Unlisted Security)		None	J	T					
22. Brokerage #1 - Lines 23-30									
23. - Western Asset Money Mkt	A	Int./Div.	K	T					
24. - Vanguard Total Stock Market etf	B	Dividend	J	T	Sold (part)	06/28/11	M	E	
25. - Blackrock High Yield Bond Port	A	Int./Div.			Sold	06/28/11	K	B	
26. - Lazard Emerging Markets Equity Port					Sold	06/28/11	K	D	
27. - Principal FDS-Pref Sec Cl I	A	Int./Div.			Sold	06/28/11	K	C	
28. - Prudential Short-term Corp Bond Fund	A	Int./Div.	J	T	Sold (part)	07/08/11	J	A	
29. - Prudential Short-term Corp Bond Fund	A	Int./Div.			Sold (part)	08/04/11	K	A	
30. - Thornburg International Value Fund	A	Int./Div.			Sold	06/28/11	K	D	
31. Trust 1- See Note in Part VIII		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS:

Lines 13-15. Morgan Stanly SEP was rolled over to UBS Financial on July 26,2011

Line 31. Trust 1 - [REDACTED] trustee of trust which as of report date had no value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ CLAIRE C. CECCHI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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