

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 04/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Ameritus Board of Directors Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)

2. _____

3. _____

4. _____

5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Bloch, Alan N.

Date of Report

04/09/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Academy of Trial Lawyers of Allegheny County	10-2-2013 to 10-4-2013	Farmington, PA	Attend the Annual Retreat	Room and meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Bloch, Alan N.

Date of Report

04/09/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Bloch, Alan N.

Date of Report

04/09/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia Pa. Gas Works Bond (12th Series)	A	Interest	J	T					
2. Montgomery County Bond	A	Interest	J	T					
3. Pa. Turnpike Bond (Series B)	A	Interest	J	T					
4. Pa. State Higher Educ. Assistance Agency (B/E)	A	Interest			Redeemed	08/12/13	J		
5. Delaware Cty. IDA Sub. Water Authority Bond	A	Interest			Redeemed	10/31/13	J		
6. Mt. Lebanon School District Bond	A	Interest			Redeemed	02/15/13	J		
7. Lower Merion School District Bond	A	Interest			Redeemed	05/15/13	J		
8. Altoona PA NTS Series A Bond	B	Interest	K	T					
9. Scranton PA Gen. Obligation (Series B) Bond	A	Interest	J	T					
10. Norristown School District Bond	A	Interest			Redeemed	03/01/13	J		
11. Gateway School District Bond	A	Interest			Redeemed	10/15/13	J		
12. Economy Pa. Municipal Sewer Authority	A	Interest	J	T					
13. Abington School District Bond	A	Interest	J	T					
14. Pa. State Ref. Project (1st Series) Bond	A	Interest	K	T					
15. Philadelphia Gas Works (5th Series)	A	Interest	J	T					
16. Ambridge Penn Area School District	A	Interest	J	T					
17. Plum Borough School District	A	Interest			Redeemed	09/16/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Garnet Valley School District	A	Interest	J	T					
19. Iroquois School District	A	Interest			Redeemed	05/23/13	J		
20. Philadelphia Pa. School District	A	Interest	J	T					
21. State Public School Building Authority	A	Interest	J	T					
22. State Public School Building Authority	B	Interest	K	T					
23. State Public School Building Authority	A	Interest	J	T					
24. Philadelphia Pa. School District	B	Interest	K	T					
25. Spring-Ford Area School District	A	Interest	J	T					
26. State Public School Building Authority	A	Interest	J	T					
27. Pa. State Higher Education Drexel University	A	Interest	K	T					
28. Philadelphia PA Water & Wastewater Series B Bond	B	Interest	K	T					
29. Aliquippa PA School District Bond	B	Interest	K	T					
30. Mahanoy PA Sewer Authority Bond	A	Interest	K	T					
31. Mars PA School District Bond	A	Interest	J	T					
32. Erie PA Water Authority Bond	A	Interest	J	T					
33. Altoona PA Area School District Bond	A	Interest	K	T					
34. Pa. State Turnpike Commission Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. West Mifflin PA Area School District Bond	A	Interest	J	T					
36. Uniontown PA Area School District Bond	A	Interest	J	T					
37. Erie PA Water Authority Water Bond	A	Interest	J	T					
38. Altoona PA Area School District Bond	A	Interest	J	T					
39. Reading PA School District Bond	A	Interest	K	T					
40. Coatesville PA Area School District Bond	A	Interest	J	T					
41. Reading PA School District Bond	A	Interest	J	T					
42. North Versailles Township Sanitary Authority Bond	A	Interest			Redeemed	06/18/13	J		
43. Interest-PNC Bank- Checking; Virginia Manor Shops, Pgh.	A	Interest	J	T					
44. Vanguard Pa. Insured Tax Free Fund	B	Dividend	K	T					
45. Pimco High Yield Fund Class A	A	Dividend			Sold	03/19/13	J		
46. J.P. Morgan Chase 7% Shares	A	Dividend			Redeemed	05/08/13	J		
47. Bond Fund of America	A	Dividend			Sold	03/15/13	J		
48. American High Income Trust	A	Dividend			Sold	03/15/13	J		
49. Capital Income Builder Class A of American Funds	C	Dividend	N	T					
50. --					Buy (add'l)	02/22/13	J		
51. --					Buy (add'l)	03/14/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. --					Buy (add'l)	03/15/13	J		
53. --					Buy (add'l)	03/19/13	J		
54. --					Buy (add'l)	05/21/13	J		
55. --					Buy (add'l)	05/30/13	J		
56. --					Buy (add'l)	06/27/13	J		
57. --					Buy (add'l)	08/16/13	J		
58. --					Buy (add'l)	08/19/13	M		
59. --					Buy (add'l)	08/23/13	J		
60. --					Buy (add'l)	09/26/13	J		
61. --					Buy (add'l)	10/25/13	J		
62. --					Buy (add'l)	11/12/13	J		
63. Income Fund America, Inc. Class A of American Funds	C	Dividend	N	T					
64. --					Buy (add'l)	02/22/13	J		
65. --					Buy (add'l)	03/14/13	J		
66. --					Buy (add'l)	03/15/13	J		
67. --					Buy (add'l)	03/19/13	J		
68. --					Buy (add'l)	05/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Bloch, Alan N.

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69. --					Buy (add'l)	05/30/13	J		
70. --					Buy (add'l)	06/27/13	J		
71. --					Buy (add'l)	08/16/13	J		
72. --					Buy (add'l)	08/19/13	M		
73. --					Buy (add'l)	08/23/13	J		
74. --					Buy (add'l)	09/26/13	J		
75. --					Buy (add'l)	10/25/13	J		
76. --					Buy (add'l)	11/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Bloch, Alan N.

Date of Report

04/09/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Bloch, Alan N.

Date of Report

04/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan N. Bloch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544