

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hollander, Ellen L.	2. Court or Organization UNITED STATES DISTRICT COURT, DISTRICT OF MARYLAND	3. Date of Report 04/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES COURTHOUSE CHAMBERS OF ELLEN LIPTON HOLLANDER 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. BOARD MEMBER	LIBRARY COMPANY OF THE BALTIMORE BAR
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1989-2016	RETIREMENT SYSTEM FOR JUDGES OF THE STATE OF MARYLAND
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	MARYLAND STATE RETIREMENT AGENCY	\$109,089.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	SELF-EMPLOYED - ADVERTISING/MARKETING
2. 2016	PENSION - THE HEARST CORP BROADCAST RETIREMENT PLAN
3. 2016	SELF-EMPLOYED - ROYALTIES (AUTHOR)
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ENSIGN B. BAKER AND ENSIGN M. HALL	12/30/2016 - 1/1/2017	CHARLESTON, SC	OFFICIATE WEDDING CEREMONY	AIR FARE, LODGING, GROUND TRANSPORTATION FOR JUDGE AND SPOUSE
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WHOLE LIFE INSURANCE POLICY : (H)									
2. NORTHWESTERN MUTUAL POLICY - CASH VALUE	A	Int./Div.	M	T					
3. CASH ACCOUNTS: (H)									
4. M&T BANK	A	Int./Div.	J	T					
5. BANK OF AMERICA	A	Interest	J	T					
6. US BANK - MORTGAGE ESCROW ACCOUNT	A	Interest	J	T					
7. JP MORGAN CHASE BANK, N.A.	A	Interest	K	T					
8. BROKERAGE & PERSONAL HOLDINGS: (H)									
9. WELLS FARGO ADVANTAGE TREASURY PLUS MM FUND	A	Int./Div.	J	T					
10. DEUTSCHE BANK MONEY MARKET	A	Int./Div.	J	T					
11. MERRILL LYNCH BANK DEPOSIT	A	Int./Div.	K	T					
12. ALPHABET INC - CLASS C		None	J	T					
13. AMAZON.COM INC.		None	J	T	Buy	10/28/16	J		
14.					Buy (add'l)	12/02/16	J		
15. AMERICAN TOWER CORP	A	Dividend	K	T	Sold (part)	05/26/16	J	B	
16.					Sold (part)	10/26/16	J	B	
17. ANSYS, INC. - COMMON		None	K	T	Sold (part)	07/25/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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18. BLACKBAUD, INC.	A	Dividend	J	T	Buy (add'l)	02/16/16	J		
19. CARMAX, INC.		None	J	T					
20. CBRE GROUP INC.		None	J	T	Buy	10/04/16	J		
21. CELGENE CORP - COMMON		None	K	T	Buy (add'l)	07/22/16	J		
22.					Buy (add'l)	09/09/16	J		
23. CISCO SYSTEMS, INC. - COMMON	A	Dividend	J	T					
24. COMCAST, INC. - COMMON	A	Dividend	J	T					
25. CONSOLIDATED EDISON - COMMON	A	Dividend	J	T					
26. COSTAR GROUP, INC.		None	J	T	Buy	02/12/16	J		
27. DISCOVER FINANCIAL SERVICES, INC.	A	Dividend	J	T					
28. ECOLAB, INC. - COMMON	A	Dividend	K	T					
29. ENVESTNET, INC - COMMON		None	J	T					
30. EXAMWORKS GROUP, INC.		None			Sold	05/13/16	J	B	
31. EXPONENT, INC.	A	Dividend	J	T					
32. FASTENAL CO - COMMON	A	Dividend	K	T	Sold (part)	02/04/16	J	B	
33.					Sold (part)	02/25/16	J	D	
34. HEALTHCARE SERVICES GROUP, INC.	A	Dividend	J	T	Sold (part)	10/26/16	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ILLUMINA, INC.		None	J	T					
36. INTEL CORP - COMMON	A	Dividend	J	T	Sold (part)	05/26/16	J	C	
37.					Sold (part)	07/28/16	J	C	
38. IBM - COMMON	D	Dividend	M	T					
39. IMAX CORP		None	K	T	Buy (add'l)	09/06/16	J		
40. INTUITIVE SURGICAL, INC		None	J	T					
41. J & J - COMMON	A	Dividend	J	T					
42. LKQ CORP - COMMON		None	K	T	Sold (part)	05/26/16	J	B	
43.					Sold (part)	12/06/16	J	C	
44. MARKEL CORP - COMMON		None	K	T	Sold (part)	02/25/16	J	B	
45. MASTERCARD, INC - CL A	A	Dividend	J	T					
46. S&P GLOBAL, INC (FORMERLY MCGRAW HILL FINL INC COM)	A	Dividend	J	T					
47. MOODYS CORP	A	Dividend	J	T					
48. PAYCHEX, INC - COMMON	A	Dividend	J	T					
49. PRA GROUP, INC - COM		None			Sold (part)	10/05/16	J	A	
50.					Sold	11/14/16	J	A	
51. QUALCOMM, INC - COMMON	A	Dividend	K	T					

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52. RED HAT, INC.		None	K	T					
53. ROPER INDUSTRIES - COMMON	A	Dividend	K	T	Buy (add'l)	07/25/16	J		
54.					Sold (part)	10/26/16	J	B	
55. SCHLUMBERGER, LTD - COMMON	A	Dividend			Sold (part)	07/28/16	J	B	
56.					Sold	10/28/16	J	C	
57. SEI INVESTMENTS CO - COMMON	A	Dividend	K	T					
58. SIGNET JEWELERS, LTD	A	Dividend	J	T					
59. STERICYCLE - COMMON		None	J	T					
60. STERIS PLC SHS	A	Dividend	J	T	Buy	11/01/16	J		
61. THREE D SYSTEMS NEW - COMMON		None	J	T	Sold (part)	05/26/16	J	A	
62. TRANSDIGM GROUP INC COM	A	Dividend	J	T					
63. TRIMBLE NAVIGATION - COMMON		None	K	T					
64. TYLER TECHNOLOGIES, INC.		None	J	T					
65. VERISK ANALYTICS		None	K	T					
66. VISA INC - COMMON	A	Dividend	K	T					
67. WAGeworks, INC.		None	J	T	Sold (part)	08/23/16	J	C	
68.					Sold (part)	12/12/16	J	A	

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69. WASTE CONNECTIONS, INC.	A	Dividend	J	T	Sold (part)	02/09/16	J	B	
70.					Buy (add'l)	06/01/16	J		
71.					Sold (part)	06/01/16	J	C	
72.					Sold (part)	06/30/16	J	A	
73. AMERICAN FUNDS - AMERICAN BALANCED FD INC SHS F-2 CL	A	Dividend	J	T					
74. AMERICAN FUNDS-GROWTH FUND OF AMERICA CL F-2	A	Dividend	J	T					
75. ARTISAN MID-CAP VALUE FUND INVESTOR		None			Sold	03/02/16	J		
76. BLACKROCK GLOBAL ALLOCATION INSTL FUND		None			Sold	03/02/16	J		
77. DAVIS VENTURE FUND-CLASS A		None			Sold	03/02/16	J		
78. FIRST EAGLE GLOBAL FUND, CLASS A	A	Dividend	J	T					
79. HARTFORD MUTUAL FUNDS- HARTFORD MIDCAP I	A	Dividend	J	T					
80. HARBOR MID CAP VALUE FD INSTITUTIONAL CLASS	A	Dividend	J	T					
81. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
82. LOOMIS SAYLES FDS II WORLDWIDE INST		None	J	T					
83. MFS SER TR I VALUE FD CL I	A	Dividend	J	T					
84. MORGAN STANLEY INSTL FD INC GLOBAL GRW I		None	J	T					
85. PRIMECAPE ODYSSEY FUNDS ODYSSEY STK FD	A	Dividend	J	T					

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86. T ROWE PRICE MD TAX FREE BOND FUND	A	Dividend	J	T					
87. AMG YACHTMAN FUND SERVICE CLASS		None			Sold	03/02/16	J		
88. AMG MGRS SKYLINE SPECIAL EQTY		None			Sold	03/02/16	J		
89. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T	Buy	03/02/16	J		
90. ISRAELI BONDS	A	Interest	J	T					
91. IRA ACCOUNT #1: (H)									
92. DEUTSCHE BANK INS DEPOSIT		None	J	T					
93. FIRST EAGLE GLOBAL FUND I	A	Dividend	K	T					
94. HARBOR CAPITAL APPRECIATION FUND	B	Dividend	K	T	Buy (add'l)	03/02/16	J		
95. HARBOR MIDCAP VALUE FUND	A	Dividend	J	T					
96. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
97. LOOMIS SAYLES GLOBAL EQUITY FD & INCOME FD CL Y	A	Dividend	K	T					
98. MFS VALUE FUND CLASS I	A	Dividend	K	T					
99. METROPOLITAN WEST TOTAL RETURN BOND FD CL I	A	Dividend	K	T	Sold (part)	03/02/16	J		
100. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO CL I		None	K	T					
101. PRIMECAP ODYSSEY STOCK FUND	A	Dividend	K	T					
102. VIRTUS GLOBAL REAL ESTATE SECURITIES FD CL A	A	Dividend	J	T					

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103. PRINCIPAL FUNDS MID CAP		None	J	T					
104. AMG MGRS SKYLINE SPECIAL EQTY		None			Sold	03/02/16	J	B	
105. VICTORY PORTFOLIOS SMALL CO OPP I	A	Dividend	J	T	Buy	03/02/16	J		
106. IRA ACCOUNT #2: (H)									
107. DEUTSCHE BANK INS DEPOSIT		None	J	T					
108. FIRST EAGLE FDS, INC - GLOBAL FUND CL I	A	Dividend	J	T					
109. HARBOR CAPITAL APPRECIATION FD	A	Dividend	J	T					
110. HARBOR MID CAP VALUE FUND	A	Dividend	J	T					
111. METROPOLITAN WEST TOTAL RETURN BOND FD CL I	A	Dividend	J	T					
112. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
113. MFS VALUE FUND CLASS I	A	Dividend	J	T					
114. MSIF GLOBAL GROWTH OPPORTUNITY PORTFOLIO FD CL I	A	Dividend	J	T					
115. MORGAN STANLEY INST FND GLOBAL GROWTH OPPORTUNITY FD CL I		None			Sold	03/02/16	J		
116. PRIMECAP ODYSSEY STOCK FUND	A	Dividend	J	T					
117. PRINCIPAL FDS INC MIDCAP BLEND	A	Dividend	J	T					
118. THORNBURG GLOBAL OPPORTUNITIES FND CLASS I	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. VIRTUS GLOBAL REAL ESTATE SECURITIES	A	Dividend	J	T					
120. AMG MGRS SKYLINE SPECIAL EQTY	A	Dividend	J	T					
121. 401(K) PLAN: (H)									
122. AMCENT EQ GR INST	A	Dividend	M	T	Buy (add'l)	02/08/16	J		
123. AMERICAN FUNDS EURO PACIFIC GROWTH FD R6		None	L	T	Buy (add'l)	02/08/16	J		
124.					Buy (add'l)	05/09/16	J		
125. AMERICAN FUNDS GROWTH FUND OF AMERICA R6		None	L	T	Buy (add'l)	02/08/16	J		
126.					Sold (part)	05/09/16	J	A	
127. FIDELITY PURITAN FUND	A	Dividend	L	T	Sold (part)	02/08/16	J	A	
128.					Buy (add'l)	05/09/16	J		
129. PIMCO TOTAL RETURN INST		None			Sold (part)	02/08/16	J	B	
130.					Buy (add'l)	05/09/16	J		
131.					Buy (add'l)	08/09/16	J		
132.					Sold	12/16/16	L	B	
133. TCW COR FXD INC I		None	L	T	Buy	12/16/16	L		
134. T. ROWE PRICE SMALL CAP STOCK FUND	A	Dividend			Buy (add'l)	02/08/16	J		
135.					Sold (part)	05/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hollander, Ellen L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Sold (part)	08/09/16	J	A	
137.					Sold	08/16/16	L	D	
138. T.ROWE PRICE INST SMCAP STK		None	L	T	Buy	08/16/16	L		
139. VANGUARD INST INDX INST PLS		None	L	T					
140. VANGUARD MID CAP INDEX INSTL FD		None	L	T	Buy (add'l)	02/08/16	J		
141.					Sold (part)	05/09/16	J	A	
142. EDUCATION SAVINGS 529 PLANS: (H)									
143. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #1)		None	J	T					
144. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #2)		None	J	T					
145. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2030 (ACCT #3)		None	J	T					
146. MD COLLEGE INVESTMENT PLANS - PORTFOLIO FOR COLLEGE 2036 (ACCT #4)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

DURING 2016 - MCGRAW HILL FINANCIAL, INC. CHANGED ITS NAME TO S&P GLOBAL, INC. (SEE LINE 46)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ellen L. Hollander**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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