

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Furay, Catherine J.	2. Court or Organization U.S. Bankruptcy Court, Western District of Wisconsin	3. Date of Report 10/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 120 N. Henry Street, Room 340 Madison, WI 53703-2559		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Committee Member	Villages of Bishops Bay Architectural Review Committee
2. Member of Board of Directors and Treasurer	University of Wisconsin-Eau Claire Foundation Inc.
3. Committee Member	Finance Committee and Board of Governors of the National Conference of Bankruptcy Judges
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 1980	Wisconsin Deferred Compensation Program - Employee Trust Funds - Prior employer; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Sweet DeMarb LLC - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Turnaround Management Association	02/19/16 - 2/21/16	Las Vegas, NV	TMA Certification Oversight Committee Meeting	Transportation, meals, hotel
2. State Bar of Wisconsin	03/02/16 - 03/04/16	Kohler, WI	BICR Retreat	Registration fee, meals, hotel
3. National Conference of Bankruptcy Judges	03/13/16 - 03/15/16	Fort Worth, TX	NCBJ 2016 Mid-Year Meeting	Transportation, hotel
4. Institute of Continuing Legal Education in Georgia	06/01/16 - 06/03/16	Atlanta, GA	2016 Atlanta Chapter 12 Bankruptcy Conference	Transportation, meals, hotel
5. American Bankruptcy Institute	06/16/16 - 06/19/16	Lake Geneva, WI	ABI Central States Bankruptcy Workshop	Transportation, meals, hotel

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6.	American Bankruptcy Institute	10/09/16 - 10/10/16	Chicago, IL	2016 Hon. Eugene R. Wedoff 7th Circuit Bankruptcy Conference	Transportation, hotel
7.	National Conference of Bankruptcy Judges	10/25/16 - 10/29/16	San Francisco, CA	NCBJ 2016 Annual Meeting	Transportation, meals, hotel
8.	Turnaround Management Association	11/1/16 - 11/4/16	Orlando, FL	TMA 28th Annual Conference and Certification Oversight Committee Meeting	Transportation, meals, hotel
9.					
10.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	*See note in Part VIII		\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	American Express	Credit Card	J
3.	Visa	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank Account The Park Bank	A	Int./Div.	J	T					
2. Bank Account Middleton Community Bank	A	Int./Div.	K	T					
3. The Peoples Community Bancshares	A	Int./Div.	J	T					
4. Mitzvah LLC		None	M	U					
5. Bank Account Towne Bank	A	Int./Div.	J	T					
6. INVESTMENT ACCOUNT #1 (UBS): (H)									
7. - Cash (UBS)	A	Int./Div.	J	T					
8. - Henderson European Focus Fund Class I (HFEIX)		None			Buy (add'l)	02/26/16	J		
9.					Sold	05/31/16	J	A	
10. - Oakmark Int'l Fund Class I (OAKIX)		None			Buy (add'l)	02/26/16	J		
11.					Sold	05/31/16	J	A	
12. - First Eagle Global Fund Class I (SGIIX)		None			Sold	02/26/16	J	A	
13. - Wells Fargo Strategic Municipal Bond Fund (STRIX)	A	Dividend			Sold (part)	02/26/16	J	A	
14.					Sold	05/31/16	K	A	
15. - BlackRock Global Long/Short Credit Fund (BGCIX)		None			Sold (part)	02/26/16	J	A	
16.					Sold	05/31/16	J	A	
17. - Financial Sector SPDR Trust ETF (XLF)	A	Dividend			Sold (part)	02/25/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	05/31/16	J	A	
19. - Guggenheim S&P 500 Equal Weight ETF (RSP)	A	Dividend			Buy (add'l)	02/25/16	J		
20.					Sold	05/31/16	J	A	
21. - WisdomTree Trust Japan Hedged Equity FD (DXJ)		None			Buy (add'l)	02/25/16	J		
22.					Sold	05/31/16	J	A	
23. - WisdomTree Trust Europe Hedge Equity ETF (HEDJ)	A	Dividend			Buy (add'l)	02/25/16	J		
24.					Sold	05/31/16	J	A	
25. - John Hancock Global Absolute Return (JHAIX)		None			Sold (part)	02/26/16	J	A	
26.					Sold	06/01/16	J	A	
27. - American Century High Yield Muni (ABHYX)	A	Dividend			Buy (add'l)	02/26/16	J		
28.					Sold	05/31/16	J	A	
29. - PIMCO Enhanced Short Maturity (MINT)	A	Dividend			Sold	02/25/16	J	A	
30. - ALPS Alerian MLP Index (AMLP)	A	Dividend			Sold	02/25/16	J	A	
31. - SPDR Energy Sector ETF (XLE)		None			Sold	02/25/16	J	A	
32. - Vanguard Emerging Markets ETF (VWO)		None			Sold	02/25/16	J	A	
33. - Vaneck Vectors Oil Services ETF (OIH)		None			Buy	02/25/16	J		
34.					Sold	05/31/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Alger Spectra Fund Class Z (ASPZX)		None			Buy	02/26/16	J		
36.					Sold	05/31/16	J	A	
37. - Brandes Emerging Markets Value Fund Class I (BEMIX)	A	Dividend			Buy	02/26/16	J		
38.					Sold	05/31/16	J	A	
39. - Goldman Sachs MLP Energy Infrast Fund Class Instl (GMLPX)	A	Dividend			Buy	02/26/16	J		
40.					Sold	06/01/16	J	A	
41. - Virtus Emerging Markets Opportunities Fund Class I (HIEMX)		None			Buy	02/26/16	J		
42.					Sold	05/31/16	J	A	
43. - Wells Fargo Municipal Bond Fund Class Inst (WMBIX)	A	Dividend			Buy	02/26/16	J		
44.					Sold	05/31/16	J	A	
45. - ABB LTD (ABB)	A	Dividend	J	T	Buy	05/31/16	J		
46.					Sold (part)	12/16/16	J	A	
47. - America Movil (AMX)	A	Dividend	J	T	Buy	05/31/16	J		
48.					Sold (part)	12/16/16	J	A	
49. - American Tower Corp REIT (AMT)	A	Dividend			Buy	05/31/16	J		
50.					Sold	11/16/16	J	A	
51. - Amgen Inc. (AMGN)	A	Dividend	J	T	Buy	05/31/16	J		

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52.					Sold (part)	12/16/16	J	A	
53. - Apple Inc. (AAPL)	A	Dividend	J	T	Buy	05/31/16	J		
54.					Sold (part)	12/16/16	J	A	
55. - Banco Santander (SAN)	A	Dividend	J	T	Buy	05/31/16	J		
56.					Sold (part)	12/16/16	J	A	
57. - Capital One Financial Corp (COF)	A	Dividend	J	T	Buy	05/31/16	J		
58.					Sold (part)	12/16/16	J	A	
59. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Buy	05/31/16	J		
60.					Sold (part)	12/16/16	J	A	
61. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Buy	05/31/16	J		
62.					Sold (part)	12/16/16	J	A	
63. - Citigroup Inc. (C)	A	Dividend	J	T	Buy	05/31/16	J		
64.					Buy (add'l)	11/16/16	J		
65.					Sold (part)	12/16/16	J	A	
66. - Coca Cola Co. (KO)	A	Dividend	J	T	Buy	05/31/16	J		
67.					Sold (part)	12/16/16	J	A	
68. - Credit Suisse Group (CS)		None	J	T	Buy	05/31/16	J		

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69.					Sold (part)	12/16/16	J	A	
70. - Diageo PLC New (DEO)	A	Dividend	J	T	Buy	05/31/16	J		
71.					Sold (part)	12/16/16	J	A	
72. - Duke Energy Corp (DUK)	A	Dividend	J	T	Buy	05/31/16	J		
73.					Sold (part)	12/16/16	J	A	
74. - Eaton Corp PLC (ETN)	A	Dividend	J	T	Buy	05/31/16	J		
75.					Sold (part)	12/16/16	J	A	
76. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy	05/31/16	J		
77.					Sold (part)	12/16/16	J	A	
78. - Ford Motor Co. (F)	A	Dividend	J	T	Buy	05/31/16	J		
79.					Sold (part)	12/16/16	J	A	
80. - General Electric Co. (GE)	A	Dividend	J	T	Buy	05/31/16	J		
81.					Sold (part)	12/16/16	J	A	
82. - Halliburton Co. (HAL)	A	Dividend	J	T	Buy	05/31/16	J		
83.					Sold (part)	12/16/16	J	A	
84. - HSBC Holdings (HSBC)	A	Dividend	J	T	Buy	05/31/16	J		
85.					Sold (part)	12/16/16	J	A	

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86. - Kingfisher PLC New Spon ADR (KGFHY)	A	Dividend	J	T	Buy	05/31/16	J		
87.					Sold (part)	12/16/16	J	A	
88. - McDonald's Corp. (MCD)	A	Dividend	J	T	Buy	05/31/16	J		
89. - Merck & Co Inc. (MRK)	A	Dividend	J	T	Buy	05/31/16	J		
90.					Sold (part)	12/16/16	J	A	
91. - Microsoft Corp. (MSFT)	A	Dividend	J	T	Buy	05/31/16	J		
92.					Sold (part)	12/16/16	J	A	
93. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Buy	05/31/16	J		
94.					Sold (part)	12/16/16	J	A	
95. - Nestle (NSRGY)		None	J	T	Buy	05/31/16	J		
96.					Sold (part)	12/16/16	J	A	
97. - Oracle Corp. (ORCL)	A	Dividend	J	T	Buy	05/31/16	J		
98.					Sold (part)	12/16/16	J	A	
99. - Qualcomm Inc. (QCOM)	A	Dividend	J	T	Buy	05/31/16	J		
100.					Sold (part)	12/16/16	J	A	
101. - Sanofi Spon ADR (SNY)		None	J	T	Buy	05/31/16	J		
102.					Sold (part)	12/16/16	J	A	

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103. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Buy	05/31/16	J		
104.					Sold (part)	12/16/16	J	A	
105. - Spectra Energy Corp (SE)	A	Dividend	J	T	Buy	05/31/16	J		
106.					Sold (part)	12/16/16	J	A	
107. - Swatch Group AG (SWGAY)		None	J	T	Buy	05/31/16	J		
108.					Sold (part)	12/16/16	J	A	
109. - Unilever NV (UN)	A	Dividend	J	T	Buy	05/31/16	J		
110.					Sold (part)	12/16/16	J	A	
111. - Walt Disney Co. (DIS)	A	Dividend	J	T	Buy	05/31/16	J		
112.					Sold (part)	12/16/16	J	A	
113. - Western Union (WU)	A	Dividend	J	T	Buy	05/31/16	J		
114.					Sold (part)	12/16/16	J	A	
115. - Westpac Banking Ltd. (WBK)		None	J	T	Buy	05/31/16	J		
116.					Sold (part)	12/16/16	J	A	
117. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Buy	05/31/16	J		
118.					Sold (part)	12/16/16	J	A	
119. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Buy	05/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	12/16/16	J	A	
121. - Ishares National Municipal Bond ETF (MUB)	A	Dividend	J	T	Buy	05/31/16	J		
122.					Sold (part)	12/16/16	J	A	
123. - SPDR Nuveen Barclays Municipal ETF (TFI)	A	Dividend	K	T	Buy	05/31/16	K		
124.					Sold (part)	12/16/16	J	A	
125. - Vaneck Vectors ETF High Yield Municipal (HYD)	A	Dividend	J	T	Buy	05/31/16	J		
126.					Sold (part)	12/16/16	J	A	
127. - TEVA Pharmaceuticals (TEVA)	A	Dividend	J	T	Buy	09/07/16	J		
128.					Sold (part)	12/16/16	J	A	
129. - VISA Inc. (V)	A	Dividend	J	T	Buy	11/16/16	J		
130.					Sold (part)	12/16/16	J	A	
131. IRA #1 (UBS): (H)									
132. - Cash (UBS)	A	Int./Div.	J	T					
133. - Henderson European Focus Fund Class I (HFEIX)		None			Sold (part)	01/11/16	J	A	
134.					Sold	05/31/16	K	B	
135. - Brandes Emerging Markets Fund Class I (BEMIX)	A	Dividend			Sold (part)	01/11/16	K	A	
136.					Sold	05/31/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Oakmark Int'l Fund Class I (OAKIX)		None			Buy (add'l)	01/11/16	J		
138.					Sold	05/31/16	K	A	
139. - Franklin Templeton Global Bond Fund Advisor Class (TGBAX)	A	Dividend			Sold (part)	01/11/16	K	A	
140.					Sold	05/31/16	K	A	
141. - RiverNorth/Doubleline Strategic Income Fund (RNSIX)	B	Dividend			Buy (add'l)	01/11/16	J		
142.					Sold	05/31/16	L	B	
143. - Virtus Multi-Sector Short-Term Bond Fund Class A (PMSX)	B	Dividend			Buy (add'l)	01/11/16	K		
144.					Sold	05/31/16	L	B	
145. - First Eagle Global Fund Class I (SGHX)		None			Sold	01/11/16	L	A	
146. - BlackRock Global Long/Short Credit Fund (BGCIX)		None			Sold (part)	01/11/16	J	A	
147.					Sold	05/31/16	K	A	
148. - Wells Fargo Advantage Absolute Return Fund (WABIX)		None			Sold	01/11/16	L	A	
149. - Financial Sector SPDR Trust ETF (XLF)	A	Dividend			Sold (part)	01/13/16	J	A	
150.					Sold	05/31/16	K	A	
151. - Guggenheim S&P 500 Equal Weight ETF (RSP)	A	Dividend			Buy (add'l)	01/13/16	J		
152.					Sold	05/31/16	L	C	
153. - WisdomTree Trust Japan Hedged Equity FD (DXJ)		None			Buy (add'l)	01/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	05/31/16	K	A	
155. - RS Floating Rate Class A (RSFYX)	A	Dividend			Sold	01/11/16	K	A	
156. - Goldman Sachs MLP Energy Infrastructure (GMLPX)	A	Dividend			Buy (add'l)	01/11/16	J		
157.					Sold	05/31/16	K	B	
158. - Vanek Vectors Oil Services ETF (OIH)*		None			Buy (add'l)	01/13/16	J		
159.					Sold	05/31/16	J	B	
160. - WisdomTree Trust Europe Hedge Equity ETF (HEDJ)	A	Dividend			Buy (add'l)	01/13/16	J		
161.					Sold	05/31/16	K	A	
162. - John Hancock Global Absolute Return (JHAIX)		None			Sold (part)	01/11/16	J	A	
163.					Sold	05/31/16	K	A	
164. - Alger Spectra Fund Class Z (ASPZX)		None			Buy	01/11/16	K		
165.					Sold	05/31/16	K	B	
166. - Virtus Emerging Markets Opportunities Fund Class I (HIEMX)		None			Buy	01/11/16	J		
167.					Sold	05/31/16	K	B	
168. - Angel Oak Multi-Strat Income Fund Class Instl (ANGIX)	A	Dividend			Buy	01/11/16	K		
169.					Sold	05/31/16	K	A	
170. - Loomis Sayles Sr Floating Rate & Fixed Rate Inc Fund Class Y (LSFYX)	A	Dividend			Buy	01/11/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	05/31/16	K	A	
172. - Vanguard Total Bond Market Index (BND)	B	Dividend	L	T	Buy	01/11/16	K		
173.					Sold (part)	05/31/16	K	A	
174.					Buy (add'l)	05/31/16	L		
175.					Buy (add'l)	12/27/16	J		
176. - Abb Ltd Spon Adr (ABB)	A	Dividend	J	T	Buy	05/31/16	J		
177.					Buy (add'l)	12/15/16	J		
178.					Buy (add'l)	12/27/16	J		
179. - America Movil (AMX)	A	Dividend	J	T	Buy	05/31/16	J		
180.					Buy (add'l)	12/15/16	J		
181.					Buy (add'l)	12/27/16	J		
182. - American Tower Corp REIT (AMT)	A	Dividend			Buy	05/31/16	J		
183.					Sold (part)	11/16/16	J	A	
184.					Sold	11/16/16	J	A	
185. - Amgen Inc. (AMGN)	A	Dividend	J	T	Buy	05/31/16	J		
186.					Buy (add'l)	12/15/16	J		
187.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Apple Inc. (AAPL)	A	Dividend	K	T	Buy	05/31/16	K		
189.					Buy (add'l)	12/15/16	J		
190.					Buy (add'l)	12/27/16	J		
191. - Banco Santander (SAN)	A	Dividend	K	T	Buy	05/31/16	J		
192.					Buy (add'l)	12/15/16	J		
193.					Buy (add'l)	12/27/16	J		
194. - Capital One Financial Corp (COF)	A	Dividend	J	T	Buy	05/31/16	J		
195.					Buy (add'l)	12/15/16	J		
196.					Buy (add'l)	12/27/16	J		
197. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Buy	05/31/16	J		
198.					Buy (add'l)	12/15/16	J		
199.					Buy (add'l)	12/27/16	J		
200. - Cisco Systems Inc. (CSCO)	A	Dividend	K	T	Buy	05/31/16	J		
201.					Buy (add'l)	12/15/16	J		
202.					Buy (add'l)	12/27/16	J		
203. - Citigroup Inc. (C)	A	Dividend	K	T	Buy	05/31/16	J		
204.					Buy (add'l)	11/16/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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205.					Buy (add'l)	12/15/16	J		
206.					Buy (add'l)	12/27/16	J		
207. - Coca Cola Co. (KO)	A	Dividend	J	T	Buy	05/31/16	J		
208.					Buy (add'l)	12/15/16	J		
209.					Buy (add'l)	12/27/16	J		
210. - Credit Suisse Group Spon ADR (CS)		None	J	T	Buy	05/31/16	J		
211.					Buy (add'l)	12/15/16	J		
212. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	J	T	Buy	05/31/16	J		
213.					Buy (add'l)	12/15/16	J		
214.					Buy (add'l)	12/27/16	J		
215. - Duke Energy Corp (DUK)	A	Dividend	J	T	Buy	05/31/16	J		
216.					Buy (add'l)	12/15/16	J		
217.					Buy (add'l)	12/27/16	J		
218. - Eaton Corp PLC (ETN)	A	Dividend	J	T	Buy	05/31/16	J		
219.					Buy (add'l)	12/15/16	J		
220.					Buy (add'l)	12/27/16	J		
221. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy	05/31/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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222.					Buy (add'l)	12/15/16	J		
223.					Buy (add'l)	12/27/16	J		
224. - Ford Motor Co. (F)	A	Dividend	J	T	Buy	05/31/16	J		
225.					Buy (add'l)	12/15/16	J		
226.					Buy (add'l)	12/27/16	J		
227. - General Electric Co. (GE)	A	Dividend	K	T	Buy	05/31/16	J		
228.					Buy (add'l)	12/15/16	J		
229.					Buy (add'l)	12/27/16	J		
230. - Halliburton Co. (HAL)	A	Dividend	J	T	Buy	05/31/16	J		
231.					Buy (add'l)	12/15/16	J		
232.					Buy (add'l)	12/27/16	J		
233. - HSBC Holdings PLC New GB Spon ADR (HSBC)	A	Dividend	K	T	Buy	05/31/16	J		
234.					Buy (add'l)	12/15/16	J		
235.					Buy (add'l)	12/27/16	J		
236. - Kingfisher PLC New Spon ADR (KGFHY)	A	Dividend	J	T	Buy	05/31/16	J		
237.					Buy (add'l)	12/15/16	J		
238. - McDonald's Corp. (MCD)	A	Dividend	J	T	Buy	05/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	12/15/16	J		
240.					Buy (add'l)	12/27/16	J		
241. - Merck & Co Inc. New Com (MRK)	A	Dividend	J	T	Buy	05/31/16	J		
242.					Buy (add'l)	12/15/16	J		
243.					Buy (add'l)	12/27/16	J		
244. - Microsoft Corp. (MSFT)	A	Dividend	J	T	Buy	05/31/16	J		
245.					Buy (add'l)	12/15/16	J		
246.					Buy (add'l)	12/27/16	J		
247. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Buy	05/31/16	J		
248.					Buy (add'l)	12/15/16	J		
249.					Buy (add'l)	12/27/16	J		
250. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)		None	J	T	Buy	05/31/16	J		
251.					Buy (add'l)	12/15/16	J		
252.					Buy (add'l)	12/27/16	J		
253. - Oracle Corp. (ORCL)	A	Dividend	K	T	Buy	05/31/16	K		
254.					Buy (add'l)	12/15/16	J		
255.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Qualcomm Inc. (QCOM)	A	Dividend	K	T	Buy	05/31/16	K		
257.					Buy (add'l)	12/15/16	J		
258.					Buy (add'l)	12/27/16	J		
259. - Sanofi Spon ADR (SNY)		None	K	T	Buy	05/31/16	K		
260.					Buy (add'l)	12/15/16	J		
261.					Buy (add'l)	12/27/16	J		
262. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Buy	05/31/16	J		
263.					Buy (add'l)	12/15/16	J		
264.					Buy (add'l)	12/27/16	J		
265. - Spectra Energy Corp (SE)	A	Dividend	J	T	Buy	05/31/16	J		
266.					Buy (add'l)	12/15/16	J		
267.					Buy (add'l)	12/27/16	J		
268. - Swatch Group AD ADR (SWGAY)		None	K	T	Buy	05/31/16	J		
269.					Buy (add'l)	12/15/16	J		
270. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	J	T	Buy	05/31/16	J		
271.					Buy (add'l)	12/15/16	J		
272.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Walt Disney Co. (DIS)	A	Dividend	J	T	Buy	05/31/16	J		
274.					Buy (add'l)	12/15/16	J		
275.					Buy (add'l)	12/27/16	J		
276. - Western Union Co (WU)	A	Dividend	K	T	Buy	05/31/16	J		
277.					Buy (add'l)	12/15/16	J		
278.					Buy (add'l)	12/27/16	J		
279. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)		None	K	T	Buy	05/31/16	J		
280.					Buy (add'l)	12/15/16	J		
281.					Buy (add'l)	12/27/16	J		
282. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Buy	05/31/16	J		
283.					Buy (add'l)	12/15/16	J		
284.					Buy (add'l)	12/27/16	J		
285. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Buy	05/31/16	J		
286.					Buy (add'l)	12/15/16	J		
287.					Buy (add'l)	12/27/16	J		
288. - Blackstone Group Lp (BX)	A	Dividend	K	T	Buy	05/31/16	K		
289.					Buy (add'l)	12/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	12/27/16	J		
291. - Ishares Core U.S. Aggregate Bond ETF (AGG)	B	Dividend	M	T	Buy	05/31/16	M		
292.					Buy (add'l)	12/27/16	J		
293. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	A	Dividend	K	T	Buy	05/31/16	K		
294.					Buy (add'l)	12/27/16	J		
295. - Powershares Senior Loan (BKLN)	B	Dividend	K	T	Buy	05/31/16	K		
296.					Buy (add'l)	12/27/16	J		
297. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	A	Dividend	K	T	Buy	05/31/16	K		
298.					Buy (add'l)	12/27/16	J		
299. - TEVA Pharmaceuticals Israel ADR (TEVA)	A	Dividend	J	T	Buy	09/12/16	J		
300.					Buy (add'l)	12/15/16	J		
301.					Buy (add'l)	12/27/16	J		
302. - Visa Inc. (V)	A	Dividend	J	T	Buy	11/16/16	J		
303.					Buy (add'l)	12/15/16	J		
304.					Buy (add'l)	12/27/16	J		
305. - Monsanto Co. (MON)		None	J	T	Buy	12/15/16	J		
306.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. IRA #2 (UBS): (H)									
308. - Cash (UBS)	A	Int./Div.	J	T					
309. - Henderson European Focus Fund Class I (HFEIX)		None			Sold (part)	01/11/16	J	A	
310.					Sold	05/31/16	J	A	
311. - Brandes Emerging Markets Fund Class I (BEMIX)	A	Dividend			Sold (part)	01/11/16	K	A	
312.					Sold	05/31/16	K	A	
313. - Oakmark Int'l Fund Class I (OAKIX)		None			Buy (add'l)	01/11/16	J		
314.					Sold	05/31/16	L	A	
315. - Franklin Templeton Global Bond Fund Advisor Class (TGBAX)	A	Dividend			Sold (part)	01/11/16	K	A	
316.					Sold	05/31/16	K	A	
317. - RiverNorth/Doubleline Strategic Income Fund (RNSIX)	C	Dividend			Buy (add'l)	01/11/16	J		
318.					Sold	06/01/16	M	B	
319. - Virtus Multi-Sector Short-Term Bond Fund Class A (PIMSX)	B	Dividend			Buy (add'l)	01/11/16	K		
320.					Sold	05/31/16	M	B	
321. - First Eagle Global Fund Class I (SGIIX)		None			Sold	01/11/16	L	A	
322. - BlackRock Global Long/Short Credit Fund (BGCIX)		None			Sold (part)	01/11/16	J	A	
323.					Sold	05/31/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Wells Fargo Advantage Absolute Return Fund (WABIX)		None			Sold	01/11/16	L	A	
325. - Financial Sector SPDR Trust ETF (XLF)	A	Dividend			Sold (part)	01/13/16	J	A	
326.					Sold	05/31/16	K	A	
327. - Guggenheim S&P 500 Equal Weight ETF (RSP)	A	Dividend			Buy (add'l)	01/13/16	J		
328.					Sold	05/31/16	M	D	
329. - WisdomTree Trust Japan Hedged Equity FD (DXJ)		None			Buy (add'l)	01/13/16	J		
330.					Sold	05/31/16	L	A	
331. - RS Floating Rate Class A (RSFYX)	A	Dividend			Sold	01/11/16	K	A	
332. - Goldman Sachs MLP Energy Infrastructure (GMLPX)	B	Dividend			Buy (add'l)	01/11/16	J		
333.					Sold	06/01/16	K	B	
334. - Vaneck Vectors Oil Services ETF (OIH)*		None			Buy (add'l)	01/13/16	J		
335.					Sold	05/31/16	K	B	
336. - WisdomTree Trust Europe Hedge Equity ETF (HEDJ)	A	Dividend			Buy (add'l)	01/13/16	J		
337.					Sold	05/31/16	L	A	
338. - John Hancock Global Absolute Return (JHAIX)		None			Sold (part)	01/11/16	J	A	
339.					Sold	06/01/16	L	A	
340. - Alger Spectra Fund Class Z (ASPZX)		None			Buy	01/11/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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341.					Sold	05/31/16	L	B	
342. - Virtus Emerging Markets Opportunities Fund Class 1 (HIEMX)		None			Buy	01/11/16	K		
343.					Sold	05/31/16	K	B	
344. - Angel Oak Multi-Strat Income Fund Class Instl (ANGIX)	A	Dividend			Buy	01/11/16	K		
345.					Sold	05/31/16	K	A	
346. - Loomis Sayles Sr Floating Rate & Fixed Rate Inc Fund Class Y (LSFYX)	B	Dividend			Buy	01/11/16	L		
347.					Sold	05/31/16	L	A	
348. - Vanguard Total Bond MKT IDX (VBTIX)	A	Dividend			Buy	01/11/16	L		
349.					Sold	05/31/16	L	A	
350. - Abb Ltd Spon ADR (ABB)	A	Dividend	K	T	Buy	05/31/16	K		
351.					Buy (add'l)	12/14/16	J		
352.					Buy (add'l)	12/27/16	J		
353. - America Movil (AMX)	A	Dividend	J	T	Buy	05/31/16	J		
354.					Buy (add'l)	12/14/16	J		
355.					Buy (add'l)	12/27/16	J		
356. - American Tower Corp REIT (AMT)	A	Dividend			Buy	05/31/16	J		
357.					Sold (part)	11/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	11/16/16	J	A	
359. - Amgen Inc. (AMGN)	A	Dividend	K	T	Buy	05/31/16	K		
360.					Buy (add'l)	12/14/16	J		
361.					Buy (add'l)	12/27/16	J		
362. - Apple Inc. (AAPL)	A	Dividend	K	T	Buy	05/31/16	K		
363.					Buy (add'l)	12/14/16	J		
364.					Buy (add'l)	12/27/16	J		
365. - Banco Santander (SAN)	A	Dividend	K	T	Buy	05/31/16	K		
366.					Buy (add'l)	12/14/16	J		
367.					Buy (add'l)	12/27/16	J		
368. - Capital One Financial Corp (COF)	A	Dividend	K	T	Buy	05/31/16	J		
369.					Buy (add'l)	12/14/16	J		
370.					Buy (add'l)	12/27/16	J		
371. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Buy	05/31/16	J		
372.					Buy (add'l)	12/14/16	J		
373.					Buy (add'l)	12/27/16	J		
374. - Cisco Systems Inc. (CSCO)	A	Dividend	K	T	Buy	05/31/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	12/14/16	J		
376.					Buy (add'l)	12/27/16	J		
377. - Citigroup Inc. (C)	A	Dividend	K	T	Buy	05/31/16	J		
378.					Buy (add'l)	11/16/16	J		
379.					Buy (add'l)	12/14/16	J		
380.					Buy (add'l)	12/27/16	J		
381. - Coca Cola Co. (KO)	A	Dividend	K	T	Buy	05/31/16	K		
382.					Buy (add'l)	12/14/16	J		
383.					Buy (add'l)	12/27/16	J		
384. - Credit Suisse Group Spon ADR (CS)		None	J	T	Buy	05/31/16	J		
385.					Buy (add'l)	12/14/16	J		
386. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	J	T	Buy	05/31/16	J		
387.					Buy (add'l)	12/14/16	J		
388.					Buy (add'l)	12/27/16	J		
389. - Duke Energy Corp. (DUK)	A	Dividend	J	T	Buy	05/31/16	J		
390.					Buy (add'l)	12/14/16	J		
391.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Furay, Catherine J.

Date of Report

10/03/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Eaton Corp PLC (ETN)	A	Dividend	K	T	Buy	05/31/16	K		
393.					Buy (add'l)	12/14/16	J		
394.					Buy (add'l)	12/27/16	J		
395. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Buy	05/31/16	J		
396.					Buy (add'l)	12/14/16	J		
397.					Buy (add'l)	12/27/16	J		
398. - Ford Motor Co. (F)	A	Dividend	K	T	Buy	05/31/16	K		
399.					Buy (add'l)	12/14/16	J		
400.					Buy (add'l)	12/27/16	J		
401. - General Electric Co. (GE)	A	Dividend	K	T	Buy	05/31/16	K		
402.					Buy (add'l)	12/14/16	J		
403.					Buy (add'l)	12/27/16	J		
404. - Halliburton Co. (HAL)	A	Dividend	K	T	Buy	05/31/16	J		
405.					Buy (add'l)	12/14/16	J		
406.					Buy (add'l)	12/27/16	J		
407. - HSBC Holdings PLC New GB Spon ADR (HSBC)	A	Dividend	K	T	Buy	05/31/16	K		
408.					Buy (add'l)	12/14/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Furay, Catherine J.

Date of Report

10/03/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	12/27/16	J		
410. - Kingfisher PLC New Spon ADR (KGFHY)	A	Dividend	K	T	Buy	05/31/16	K		
411.					Buy (add'l)	12/14/16	J		
412. - McDonald's Corp. (MCD)	A	Dividend	J	T	Buy	05/31/16	J		
413.					Buy (add'l)	12/14/16	J		
414.					Buy (add'l)	12/27/16	J		
415. - Merck & Co Inc. New Com (MRK)	A	Dividend	K	T	Buy	05/31/16	K		
416.					Buy (add'l)	12/14/16	J		
417.					Buy (add'l)	12/27/16	J		
418. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Buy	05/31/16	J		
419.					Buy (add'l)	12/14/16	J		
420.					Buy (add'l)	12/27/16	J		
421. - Mondelez International Inc. (MDLZ)	A	Dividend	K	T	Buy	05/31/16	K		
422.					Buy (add'l)	12/14/16	J		
423.					Buy (add'l)	12/27/16	J		
424. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)		None	K	T	Buy	05/31/16	K		
425.					Buy (add'l)	12/14/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426.					Buy (add'l)	12/27/16	J		
427. - Oracle Corp. (ORCL)	A	Dividend	K	T	Buy	05/31/16	K		
428.					Buy (add'l)	12/14/16	J		
429.					Buy (add'l)	12/27/16	J		
430. - Qualcomm Inc. (QCOM)	A	Dividend	K	T	Buy	05/31/16	K		
431.					Buy (add'l)	12/14/16	J		
432.					Buy (add'l)	12/27/16	J		
433. - Sanofi Spon ADR (SNY)		None	K	T	Buy	05/31/16	K		
434.					Buy (add'l)	12/14/16	J		
435.					Buy (add'l)	12/27/16	J		
436. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Buy	05/31/16	J		
437.					Buy (add'l)	12/14/16	J		
438.					Buy (add'l)	12/27/16	J		
439. - Spectra Energy Corp (SE)	A	Dividend	K	T	Buy	05/31/16	J		
440.					Buy (add'l)	12/14/16	J		
441.					Buy (add'l)	12/27/16	J		
442. - Swatch Group AD ADR (SWGAY)		None	K	T	Buy	05/31/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	12/14/16	J		
444. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	J	T	Buy	05/31/16	J		
445.					Buy (add'l)	12/14/16	J		
446.					Buy (add'l)	12/27/16	J		
447. - Walt Disney Co. (DIS)	A	Dividend	K	T	Buy	05/31/16	K		
448.					Buy (add'l)	12/14/16	J		
449.					Buy (add'l)	12/27/16	J		
450. - Western Union Co (WU)	A	Dividend	K	T	Buy	05/31/16	K		
451.					Buy (add'l)	12/14/16	J		
452.					Buy (add'l)	12/27/16	J		
453. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)		None	K	T	Buy	05/31/16	K		
454.					Buy (add'l)	12/14/16	J		
455.					Buy (add'l)	12/27/16	J		
456. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Buy	05/31/16	J		
457.					Buy (add'l)	12/14/16	J		
458.					Buy (add'l)	12/27/16	J		
459. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Buy	05/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	12/14/16	J		
461.					Buy (add'l)	12/27/16	J		
462. - Blackstone Group Lp (BX)	A	Dividend	K	T	Buy	05/31/16	K		
463.					Buy (add'l)	12/14/16	J		
464.					Buy (add'l)	12/27/16	J		
465. - Ishares Core U.S. Aggregate Bond ETF (AGG)	C	Dividend	M	T	Buy	05/31/16	M		
466.					Buy (add'l)	12/14/16	J		
467.					Buy (add'l)	12/27/16	J		
468. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	A	Dividend	K	T	Buy	05/31/16	K		
469.					Buy (add'l)	12/14/16	J		
470.					Buy (add'l)	12/27/16	J		
471. - Powershares Senior Loan (BKLN)	B	Dividend	L	T	Buy	05/31/16	L		
472.					Buy (add'l)	12/14/16	J		
473.					Buy (add'l)	12/27/16	J		
474. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	A	Dividend	K	T	Buy	05/31/16	K		
475.					Buy (add'l)	12/14/16	J		
476.					Buy (add'l)	12/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Vanguard Total Bond Market Index (BND)	B	Dividend	M	T	Buy	05/31/16	M		
478.					Buy (add'l)	12/14/16	J		
479.					Buy (add'l)	12/27/16	J		
480. - TEVA Pharmaceuticals Israel ADR (TEVA)	A	Dividend	J	T	Buy	09/12/16	K		
481.					Buy (add'l)	12/14/16	J		
482.					Buy (add'l)	12/27/16	J		
483. - Visa Inc. (V)	A	Dividend	J	T	Buy	11/16/16	J		
484.					Buy (add'l)	12/14/16	J		
485.					Buy (add'l)	12/27/16	J		
486. - Monsanto Co. (MON)		None	J	T	Buy	12/14/16	J		
487.					Buy (add'l)	12/27/16	J		
488. Extendquip LLC		None	K	U					
489. State of Wisconsin Life Insurance	A	Dividend	J	T					
490. Wisconsin Retirement System Dept of Employee Trust Funds	A	Interest	J	T					
491. Wisconsin Deferred Compensation Program (H)									
492. - Black Rock EAFE Equity - Index Coll T		None	J	T					
493. - DFA US Micro Cap I	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - BlackRock Mid Cap Index - Collective F		None	J	T					
495. - Fidelity Contrafund	A	Dividend	J	T					
496. - Vanguard Institutional Index Fund Plus	A	Dividend	J	T					
497. - Federated US Government Securities 2-5 Yr.	A	Dividend	J	T					
498. - Vanguard Stable Value Fund		None	J	T					
499. IRA #3 (UBS): (H)									
500. - Cash (UBS)	A	Int./Div.	J	T					
501. - Royal Bank of Canada Trigger ROS XLF		None			Redeemed	08/31/16	K	B	
502. - Deutsche Bank AG Trigger ROS XLK		None			Redeemed	08/31/16	K	B	
503. - Barclays Bank PLC Trigger PS DXJ		None	K	T					
504. - UBS AG Trigger PS EM EQTY BSKT		None	K	T					
505. - HSBC USA Inc Buffered ROS IBEX		None			Redeemed	10/31/16	K	A	
506. - Citigroup INC Buffered ROS EWZ		None	J	T					
507. - JPMorgan Chase & Co. Buffered ROS FTSEMIB		None	K	T					
508. - Goldman Sachs Group, Inc. Buffered ROS HSCEI		None	J	T					
509. - Morgan Stanley Contingent ROS SPX		None	K	T					
510. - Goldman Sachs Group, Inc. Airbag PS UKX		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Credit Suisse AG Airbag PS SPTSX60		None	J	T					
512. - Deutsche Bank Trigger ROS SX5E		None	K	T					
513. - Credit Suisse AG L/O TPAOS SPX SX5E	A	Int./Div.	J	T					
514. - Royal Bank of Canada L/O TPAOS RTY SX5E	A	Int./Div.	J	T					
515. - JP Morgan Chase Financial T-ISS SPX		None	K	T	Buy	08/31/16	K		
516. - GS Finance Corp T-SS SPX		None	K	T	Buy	08/31/16	K		
517. IRA #4 (UBS): (H)									
518. - Cash (UBS)	A	Int./Div.	J	T					
519. - Royal Bank of Canada Trigger ROS XLF		None			Redeemed	08/31/16	K	B	
520. - Deutsche Bank AG Trigger ROS XLK		None			Redeemed	08/31/16	K	B	
521. - Barclays Bank PLC Trigger PS DXJ		None	K	T					
522. - UBS AG Trigger PS EM EQTY BSKT		None	K	T					
523. - HSBC USA Inc. Buffered ROS IBEX		None			Redeemed	10/31/16	K	B	
524. - Citigroup Inc. Buffered ROS EWZ		None	J	T					
525. - JPMorgan Chase & Co Buffered ROS FTSEMIB		None	K	T					
526. - Goldman Sachs Group, Inc. Buffered ROS HSCEI		None	J	T					
527. - Morgan Stanley Contingent ROS SPX		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Goldman Sachs Group, Inc. Airbag PS UKX		None	K	T					
529. - Credit Suisse AG Airbag PS SPTSX60		None	K	T					
530. - Deutsche Bank Trigger ROS SX5E		None	K	T					
531. - Credit Suisse AG L/O TPAOS SPX SX5E	B	Int./Div.	K	T					
532. - Royal Bank of Canada L/O TPAOS SX5E	B	Int./Div.	K	T					
533. - JP Morgan Chase Financial T-ISS SPX		None	K	T	Buy	08/31/16	K		
534. - GS Finance Corp T-SS SPX		None	K	T	Buy	08/31/16	K		
535. 401k ACCOUNT (ING): (H)*									
536. Lincoln Financial Group Annuity 401k*	B	Int./Div.	M	T					
537. IRA #5 (UBS): (H)									
538. - Cash (UBS)		None	J	T					
539. - ACAP Strategic Fund	A	Int./Div.	L	T					
540. - Skybridge Multi-Advisor	B	Int./Div.	L	T					
541. IRA #6 (UBS): (H)									
542. - Cash (UBS)		None	J	T					
543. - ACAP Strategic Fund	A	Int./Div.	K	T					
544. - Skybridge Multi-Advisor	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V. Gifts. During 2016, friends sent me gifts of art, jewelry, and memorabilia. I have no reason to believe that any of these items exceeded the \$375 limit. If I should learn otherwise, I will amend this form.

Part VII. Investments and Trusts.

Line 158 - formerly Market Vectors Oil Services ETF (OIH)

Line 334 - formerly Market Vectors Oil Services ETF (OIH)

Line 536 - There are no individual holdings within this account. There is no control over the account or its investments.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine J. Furay**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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