

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Garland, Merrick B.	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 05/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, N.W. Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Yale Law School	04/04-04/06/2017	New Haven, CT	Supreme Court Clinic	Transportation, meals, room
2.	Boston College Law School	04/17-04/20/2017	Boston, MA	Moot Court	Transportation, meals, room
3.	Yale Law School	08/13-08/25/2017	Shanghai & Beijing, China	Talks at law schools and courts	Transportation, meals, room
4.	Harvard Law School	10/26-10/29/2017	Cambridge, MA	Moot Court	Transportation, meals, room
5.	University of Chicago Law School	11/03-11/05/2017	Chicago, IL	Visiting Jurist Program	Transportation, meals, room

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former Law Clerks	Gift for 20th Anniversary as Judge: Comic Book Collection	\$700.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Trust Bank Accounts	A	Interest	M	T					
2. Justice Federal Credit Union Accounts	B	Interest	N	T					
3. Citibank Bank Accounts	A	Interest	M	T					
4. U.S. Savings Bonds		None	K	T					
5. IRA #1 (H)									
6. -Edward Jones Co. cash balance	A	Interest	J	T					
7. -Ally Bank CD	A	Interest	L	T					
8. Brokerage Account #1 (H)									
9. -General Mills Inc. Common	C	Dividend	M	T					
10. -Pfizer Common	B	Dividend	K	T					
11. -Bristol-Myers Squibb Co. Common	A	Dividend	K	T					
12. -General Electric Co. Common	C	Dividend	L	T					
13. -Procter & Gamble Co. Common	D	Dividend	M	T					
14. -J.M. Smucker Co. Common	A	Dividend	J	T					
15. -Aberdeen U.S. Multi Cap Fund	D	Dividend	M	T	Sold (part)	02/06/17	K	B	
16. -Nuveen Maryland Quality Municipal Inc. Fund	E	Dividend	O	T					
17. -Vanguard S&P 500 ETF Fund	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Garland, Merriek B.

Date of Report

05/08/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Montgomery County Md Hsg Bonds (combined listing)	A	Interest	K	T					
19. -Md.State Cmnty Dev. Admin.Rev.Bond	A	Interest	L	T					
20. -Montgomery County Md Public Impt Bond	D	Interest	M	T	Buy	02/06/17	L		
21. -Md State Dept Transn Cnty Rev Bonds (combined listing)	D	Interest	M	T	Redeemed (part)	04/03/17	K		
22. -Univ Md Sys Aux Rev Bond	C	Interest	L	T	Buy	02/06/17	L		
23. -Baltimore Cty Md Bond	B	Interest	L	T	Buy	02/06/17	L		
24. -U.S. Treasury Notes/Bills (combined listing)	D	Interest	O	T	Redeemed (part)	06/30/17	M	A	
25.					Buy	04/07/17	M		
26.					Buy	07/13/17	N		
27. -JP Morgan Chase Bank Deposit Account	A	Interest	J	T					
28. -JP Morgan Prime Money Mkt Fund	A	Interest	M	T	Buy	12/06/17	M		
29. Brokerage Account #2 (H)									
30. -Fidelity Gov't Money Market Fund	A	Dividend	K	T	Buy	04/17/17	J		
31.					Buy	07/17/17	J		
32.					Buy	10/06/17	J		
33.					Buy	12/26/17	K		
34. -Fidelity Municipal Money Market Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Fidelity Equity Dividend Income Fund	E	Dividend	N	T					
36. -Fidelity 500 Index Fund	E	Dividend	P1	T					
37. Fidelity Contrafund	G	Dividend	P1	T					
38. IRA #2 (H)									
39. -Edward Jones Co. cash balance	A	Interest	J	T					
40. -Ally Bank CD	A	Interest	J	T					
41. Retirement Account IRA (H)									
42. -Fidelity Magellan Fund	E	Dividend	N	T					
43. Rollover IRA (H)									
44. -Fidelity 500 Index Fund	E	Dividend	O	T					
45. Trust (H)									
46. -Property, NY, NY	F	Rent	P1	W					
47. -JP Morgan Chase Bank Account	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Garland, Merrick B.

Date of Report

05/08/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Merrick B. Garland**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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