

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baverman, Alan J.	2. Court or Organization Northern District of Georgia	3. Date of Report 12/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1885 US Courthouse 75 Spring Street, SW Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Self-employed real estate broker-agent

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Flagstar Bank	Mortgage on Rental Property # 2	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCT Fid SEP IRA 090									
2. -Fid Govt MM (SPAXX)	A	Dividend	K	T					
3. -GEMoney Bank Salt Lake City UT S7	A	Interest	J	T					
4. -GEMoney Bank Salt Lake City UT T5	A	Interest	J	T					
5. -GEMoney Bank Salt Lake City UT U2	A	Interest	J	T					
6. -Barckays BK DEL CD (8/19)		None	L	T	Buy	08/20/14	L		
7. -FirstBank P R Santruce CD (11/17)	A	Interest	K	T	Buy	08/20/14	K		
8. -GE CAP Bk Retail CD (8/18)	A	Interest	K	T	Buy	08/20/14	K		
9. -Ally Bank CD (8/16)		None	K	T	Buy	08/21/14	K		
10. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend	K	T					
11. -Manning & Napier Pro Blend Conserv Term Ser	A	Dividend	J	T					
12. -PIMCO Inv Gr Corp Bond Instl	A	Dividend	J	T					
13. -PIMCO Low Dur Inst	A	Dividend			Sold	09/11/14	J		
14. -PIMCO Foreign Inst SHS	A	Dividend	J	T					
15. -Israel ST \$BD 7TH JU	A	Interest	K	T					
16. -Fidelity MSCI Health Care Index ETF	A	Dividend	J	T					
17. -Fidelity Select Health Care Index	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Select Health Care Index					Buy (add'l)	01/31/14	J		
19. -Fidelity Select Health Care Index					Buy (add'l)	04/15/14	J		
20. -Fidelity Select Health Care Index					Buy (add'l)	05/14/14	J		
21. -Fidelity Select Health Care Index					Buy (add'l)	06/16/14	J		
22. -Fidelity Select Health Care Index					Buy (add'l)	07/15/14	J		
23. -Fidelity Select Health Care Index					Buy (add'l)	08/14/14	J		
24. -Fidelity Select Health Care Index					Buy (add'l)	09/16/14	J		
25. -Fidelity Select Pharmaceuticals Index	A	Distribution	J	T					
26. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	01/31/14	J		
27. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	04/15/14	J		
28. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	05/14/14	J		
29. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	06/16/14	J		
30. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	07/15/14	J		
31. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	08/14/14	J		
32. -Fidelity Select Pharmaceuticals Index					Buy (add'l)	09/16/14	J		
33. -Fidelity Select Biotechnology Index	A	Distribution	J	T					
34. -Fidelity Select Biotechnology Index					Buy (add'l)	01/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Fidelity Select Biotechnology Index					Buy (add'l)	04/15/14	J		
36. -Fidelity Select Biotechnology Index					Buy (add'l)	05/14/14	J		
37. -Fidelity Select Biotechnology Index					Buy (add'l)	06/16/14	J		
38. -Fidelity Select Biotechnology Index					Buy (add'l)	07/15/14	J		
39. -Fidelity Select Biotechnology Index					Buy (add'l)	08/14/14	J		
40. -Fidelity Select Biotechnology Index					Buy (add'l)	09/16/14	J		
41. -iShares TR JPMorgan USD Emerging Mkts Bd Fd	A	Dividend	J	T					
42. -iShares TR Dow Jones US RE Index FD	A	Dividend	J	T					
43. --iShares Core S&P Total US St Mkt ETF	A	Dividend	J	T	Buy	08/07/14	J		
44. BROKERAGE ACCT Fid IRA 350									
45. -Fidelity Govt MM (was Feder. Prime Obligations Trust Sh)	A	Dividend	M	T					
46. -BMW BK N. Am. Utah	A	Interest	J	T					
47. -Union Bank CD	A	Interest	K	T					
48. -iShares Barclays US Agg BD FD (now iShares Core Total US BD Mkt ETF	A	Dividend	J	T					
49. -Janus Short Term Bond Fund Class T	A	Dividend	K	T					
50. -PIMCO Inv Gr Corp Bond Instl	A	Dividend	J	T					
51. -PIMCO Low Dur Inst	A	Dividend			Sold	09/11/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -PIMCO Foreign Inst SHS	A	Dividend	J	T					
53. -Fidelity Select Materials Portfolio	A	Dividend	J	T	Buy	01/31/14	J		
54. -Fidelity Select Materials Portfolio					Buy (add'l)	02/04/14	J		
55. -Fidelity Select Materials Portfolio					Buy (add'l)	03/20/14	J		
56. -Fidelity Select Materials Portfolio					Buy (add'l)	04/22/14	J		
57. -Fidelity Select Materials Portfolio					Buy (add'l)	05/19/14	J		
58. -Fidelity Select Materials Portfolio					Buy (add'l)	06/20/14	J		
59. -Fidelity Select Materials Portfolio					Buy (add'l)	07/22/14	J		
60. -Fidelity Select Materials Portfolio					Buy (add'l)	08/20/14	J		
61. -Fidelity Select Software & Computer	A	Dividend	J	T	Buy	01/31/14	J		
62. -Fidelity Select Software & Computer					Buy (add'l)	02/04/14	J		
63. -Fidelity Select Software & Computer					Buy (add'l)	03/20/14	J		
64. -Fidelity Select Software & Computer					Buy (add'l)	04/22/14	J		
65. -Fidelity Select Software & Computer					Buy (add'l)	05/19/14	J		
66. -Fidelity Select Software & Computer					Buy (add'l)	06/20/14	J		
67. -Fidelity Select Software & Computer					Buy (add'l)	07/22/14	J		
68. -Fidelity Select Software & Computer					Buy (add'l)	08/07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Fidelity Select Software & Computer					Buy (add'l)	08/20/14	J		
70. -Fidelity Select Chemicals	A	Dividend	J	T	Buy	01/31/14	J		
71. -Fidelity Select Chemicals					Buy (add'l)	02/04/14	J		
72. -Fidelity Select Chemicals					Buy (add'l)	03/20/14	J		
73. -Fidelity Select Chemicals					Buy (add'l)	04/22/14	J		
74. -Fidelity Select Chemicals					Buy (add'l)	05/19/14	J		
75. -Fidelity Select Chemicals					Buy (add'l)	06/20/14	J		
76. -Fidelity Select Chemicals					Buy (add'l)	07/22/14	J		
77. -Fidelity Select Chemicals					Buy (add'l)	08/20/14	J		
78. -Israel ST \$8D JUB 05/19	A	Interest	K	T	Buy	05/19/14	K		
79. -Vanguard Sector Index FDS Vanguard Utils Viper	A	Dividend	K	T	Buy	07/22/14	J		
80. -Vanguard Sector Index FDS Vanguard Utils Viper					Buy (add'l)	08/07/14	J		
81. -Vanguard Sector Index FDS Vanguard Utils Viper					Buy (add'l)	08/11/14	J		
82. BROKERAGE ACCT Fid X19									
83. -Cash Acct (Fedlity)	A	Interest	J	T	Distributed (part)	04/11/14	J		
84. -Cash Acct (Fidelity)					Distributed (part)	09/10/14	K		
85. RENTAL PROPERTY									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -# 1 [REDACTED], (2014 Assessment: \$159,500)	E	Rent	M	S					
87. -#2 [REDACTED], (2014 Assessment: \$129,000)	D	Rent	M	S					
88. FAMILY S CORPORATION (F1)	C	Distribution	O	T					
89. -American Funds Fundamental Investors- Class A (FI-A)	A	Dividend	K	T	Spinoff (from line 94)	01/13/14	J		exc from MMF-A
90. -American Funds Fundamental Investors- Class A (FI-A)					Spinoff (from line 98)	03/07/14	J		exc from MMF-A
91. -American Funds WaMu Investors Fund - Class A (WMIF-A)	E	Dividend	N	T					
92. -AF Short-Term Tax-Exempt Bond Fund A (STEX-A)	A	Dividend	J	T					
93. -American Funds Inv. Co. of Amer. A (ICA- A)	A	Dividend	J	T					
94. -American Funds Money market Fund-A		None			Distributed (part)	01/13/14	J		exc to FI-A
95. -American Funds Money market Fund-A					Distributed (part)	01/13/14	J		exc to SCWF-A
96. -American Funds Money market Fund-A					Distributed (part)	01/13/14	J		exc to SCWF-A
97. -American Funds Money market Fund-A					Distributed (part)	01/13/14	J		exc to NEF-A
98. -American Funds Money market Fund-A					Distributed (part)	03/07/14	J		exc to FI-A
99. -American Funds New Economy Fund-A (NEF-A)	A	Dividend	J	T	Spinoff (from line 97)	01/13/14	J		exc from MMF-A
100. -American Funds Smallcap World Fund-A (SCWF-A)	A	Dividend	J	T	Spinoff (from line 96)	01/13/14	J		exc from MMF-A
101. -Trust Bank Account (SunTrust)	B	Interest	K	T					
102. BANK ACCOUNTS									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -North Georgia Bank 1	A	Interest	M	T					
104. -North Georgia Bank 2	A	Interest	J	T					
105. -North Georgia Bank 3	A	Interest	J	T					
106. -North Georgia Bank 4	A	Interest	L	T					
107. -North Georgia Bank 5	A	Interest	K	T					
108. -SunTrust (MMA)	A	Interest	L	T					
109. -SunTrust I	A	Interest	J	T					
110. -SunTrust II	A	Interest	J	T					
111. -SunTrust III	A	Interest	J	T					
112. -Chase	A	Interest	J	T					
113. -Bank of America	A	Interest	J	T					
114. TRUST BROKERAGE ACCOUNT									
115. -E-Trade cash balance	A	Interest	J	T					
116. -Nuveen Preferred and Conv. Income Fund	B	Dividend	K	T					
117. BELL & HOWELL RETIREMENT (I) SAVINGS PLAN NO. 2									
118. -Fidelity Money Market (FDRXX)	A	Dividend	J	T	Distributed (part)	12/01/14	J		
119. -Fidelity Asset Mgr (FASMX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -iShares Core S&P Total US Stock Mkt (ITOT)	A	Dividend	J	T	Buy	01/30/14	J		
121. BELL & HOWELL RETIREMENT (II) SAVINGS PLAN NO. 3									
122. -Fidelity Money Market (FDRXX)	A	Dividend	J	T	Distributed (part)	12/01/14	J		
123. -Fidelity Asset Mgr (FASMX)	A	Dividend	J	T					
124. -iShares Core S&P Total US Stock Mkt (ITOT)	A	Dividend	J	T	Buy	01/30/14	J		
125. Fidelity JRB 641 (savings account)		None			Closed	01/30/14	J		
126. BROKERAGE ACCT Fid IRA BDA									
127. -Fidelity Govt MM	A	Dividend	J	T	Distributed (part)	12/09/14	J		
128. -iShares Core S&P Total US Stock Mkt ETF	A	Dividend	J	T	Buy	08/01/14	J		
129. -iShares Core S&P Total US Stock Mkt ETF					Buy (add'l)	08/06/14	J		
130. -James Balanced: Golden Rainbow Fund	A	Dividend	J	T	Buy	08/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Bayerman, Alan J.	12/27/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Baverman, Alan J.

Date of Report

12/27/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Alan J. Baverman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544