

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Edith H.	2. Court or Organization 5th Circuit Court of Appeals	3. Date of Report 08/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Sam Houston Area Council, Boy Scouts of America
2. Advisory Board Member	Masters in Bankruptcy Program, St. John's Law School, New York
3. President	Garland Walker Inn of Court
4. Trustee	Trust #1
5. Co-Trustee & Co-Executor	Trust #2/Estate, See Note A of Section VIII

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Andrews & Kurth, LLP - salary
2. 2015	Prudential Ins. Co., Pension Annuity, Occidental Petrol
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Texas Federalist Society	1/28/15	Austin, TX	Speech	Lunch
2. The Federalist Society	3/26-31/15	London, England	Speech/Panel Member	Airfare, Lodging, Meals
3. Jepson School of Leadership Studies	5/14-16/15	Richmond, VA	Seminar	Airfare, Airport Parking, Taxi, Tips, Lodging
4. The Federalist Society	11/12-16/15	Washington, DC	Moderator of Panel, Meetings	Airfare, Airport Parking, Taxi, Meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Morgan Stanley Bank, N.A.	Portfolio Line of Credit	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	L	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. STOCKS, BONDS & NOTES									
5. AT&T Common Stock	A	Dividend	J	T		08/01/15	J		Inherit
6. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	A	Dividend	K	T					
7. Dow Chemical - common	A	Dividend	J	T					
8. Exxon Mobil Corp	B	Dividend	L	T					
9. Nestle - common	A	Dividend	K	T					
10. Newmont Gold - common	A	Dividend	J	T					
11. Intel Corporation	A	Dividend	K	T					
12. Procter & Gamble	B	Dividend	L	T					
13. Morgan Stanley Bank	B	Interest	M	T					
14. Morgan Stanley Bonds	C	Interest	L	T					
15. Tortoise Energy Corp.	A	Dividend	J	T					
16. Pepsico	B	Dividend	K	T					
17. NCR		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MS Global Infrastructure Q	A	Interest	K	T					
19. Am. Inv. Co. (AIVSX)	A	Dividend	L	T					
20. Franklin Insd. Tax Free Fund	B	Interest	K	T					
21. Mut. Shares Class B Tax Free	A	Dividend	J	T					
22. Mut. Shares Class B Tax Free	A	Dividend	J	T					
23. GPR Energy, LLC		None	K	U					
24. Syngenta AG ADR	C	Dividend	M	T					
25. Magellan Midstream Partners	D	Dividend	M	T					
26. Verizon Comm	A	Dividend	J	T					
27. Fidelity Fund (FFFCX)	D	Int./Div.	M	T					
28. Ford Motor Credit Co.	C	Interest	M	T					
29. Smucker J M Co	A	Dividend	J	T					
30. Lord Abbett Sht. Fund (LDLAX)	C	Dividend	M	T					
31. Pioneer Mlti Ast Ult. (MCFRX)	B	Dividend	M	T					
32. Templeton Global Bond FdC (TEGBX)	B	Dividend	L	T					
33. Goldman Sachs MLP Inc.	B	Dividend	J	T					
34. Tekla Healthcare Opp Fund (THO)	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. RRI Energy Inc. B3	B	Interest	K	T					
36. American Elec Power, Inc	A	Dividend	J	T					
37. Bank of America	A	Dividend	J	T					
38. Coca-Cola	A	Dividend	J	T					
39. DuPont	A	Dividend	J	T					
40. Eastman Chemical	A	Dividend	J	T					
41. Emerson Elec. Co.	A	Dividend	J	T					
42. General Electric Co.	A	Dividend	J	T					
43. Hershey Co.	A	Dividend	J	T					
44. Nat. Fuel Gas Co.	A	Dividend	J	T					
45. Pfizer, Inc.	A	Dividend	J	T					
46. Scana Corp.	A	Dividend	J	T					
47. AmCap Fund (AMCPX)	B	Dividend	K	T					
48. Fidelity Puritan Trust (FPURX)	A	Dividend	J	T					
49. Fidelity Sec's Fd. (FBGRX)	A	Dividend	J	T					
50. Columbia Funds (AUGAX)	A	Dividend	J	T					
51. Putnam USG (PGSIX)	A	Dividend	J	T					

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52. Wash. Mut. Inv's Fund (AWSHX)	A	Dividend	K	T		08/01/15	J		Inherit
53. First Tr. En. Income	A	Dividend	K	T					
54. (x)USAA Cornerstone (USCRX)	B	Dividend	K	T					
55. (x)Vanguard stock Market Index Fund	A	Dividend	K	T					
56. (x)Colgate Palmolive	A	Dividend	J	T					
57. (x)Pfizer	A	Dividend	J	T					
58. (x)John Hancock Annuity-See Note X, Part VIII	A	Interest	K	T					
59.									
60. Trust #1	B		L	T					
61. -Invesco Comstock A (ACSTX)									
62. -Syngenta AG									
63. -Magellan Midstream Partners									
64. -Morgan Stanley Bank									
65.									
66. Trust #2/Estate-See note A in Part VIII	G	Int./Div.	P1	T					
67. -Harris County Toll Rd. Bond					Sold	01/20/15	J		
68. -Conroe, TX ISD Bond					Sold	01/20/15	J		

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69. -Spring, TX ISD Bond					Sold	01/20/15	J		
70. -USAA Cornerstone (USCRX)					Distributed	07/15/15	M		To Heirs
71. -Vanguard stock Market Index fund					Distributed	09/29/15	L		To Heirs
72. -Wells Fargo Bank Acct.					Closed	07/15/15	K		DistribToOtherEstateBankAc
73. -Broadway Bank Account					Closed	07/15/15	K		DistribToOtherEstateBankAc
74. -Colgate Palmolive					Distributed	07/15/15	J		Distrib to Heirs
75. -AT&T					Distributed	07/15/15	J		Distrib to Heirs
76. -Pfizer					Distributed	07/15/15	J		Distrib to Heirs
77. -Wash.Mut.Inv's Fd (AWSHX)					Distributed	07/15/15	K		Distrib to Heirs
78. -Hollan Maine LLC See note B in Part VIII									
79. -Jefferson Bank Acct See note B in Part VIII									
80. -Frost Bank Account See note B in Part VIII									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts, Line 64, Trust#2/Estate, Column C2 should include Value Codes T,W, program only allows entry of one Code.

A. Trust #2 was liquidated in 2015. I remain an Executor on Estate.

B. Items 78-80 are within an estate and are still owned by the Estate.

X. The interited annuity is variable with a fixed portfolio, not publicly traded on an exchange, no investment options..

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edith H. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544