

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S.D.C., EDNY	3. Date of Report 04/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. DISTRICT COURT, EDNY 225 CADMAN PLAZA EAST ROOM 915 SOUTH BROOKLYN, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Trustee of WisdomTree Trust (trustee fees)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. Northwestern Mutual Whole Life Insurance	B	Interest	L	T					
3. IRA #1 (H)									
4. Wells Fargo IR Insured Deposit (cash)	A	Interest	M	T	Open	01/20/17	M		
5. Cisco Systems		None			Sold	01/27/17	K	D	
6. General Electric		None			Sold	01/30/17	K	E	
7. Citigroup		None			Sold	01/30/17	J	A	
8. Intel		None			Sold	01/27/17	J	A	
9. Enterprise Prods Partners		None			Sold	01/27/17	K	D	12, 19
10. BlackRock Global Energy & Resources Trust (BGR)	B	Dividend	K	T					
11. BlackRock Health Sciences Trust	B	Dividend			Sold	06/26/17	K	A	
12. Clearbridge Energy MLP (CEM)	B	Dividend	J	T					
13. Fiduciary/Claymore MLP (FMO)	B	Dividend	K	T					
14. Adobe Systems (ADBE)		None			Sold	01/27/17	K	B	
15. American Water Works Co (AWK)	A	Dividend			Sold	02/24/17	K	A	
16. Tekla Healthcare (HQH)	B	Dividend	K	T					
17. Dow Chemical		None			Sold	01/27/17	K	D	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only)	P4 = More than \$50,000,000 S = Assessment	T = Cash Market	
(See Column C2)	Q = Appraisal U = Book Value	V = Other	W = Estimated		

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18. Cohen & Steers Infrastructure Fund	A	Dividend			Sold	01/30/17	J	B	
19. Kayne Anderson Energy Dev. Co.		None			Sold	01/27/17	K	C	
20. Kayne Anderson MLP Inv. Co.		None			Sold	01/30/17	K	D	
21. Bank of America		None			Sold	01/27/17	K	D	
22. Bank of America preferred		None			Sold	01/30/17	K	A	
23. Bristol Myers Squibb		None			Sold	02/24/17	K		
24. CVS	A	Dividend			Sold	01/31/17	K	A	
25. Target Corporation (TGT)	A	Dividend	J	T					
26. Wells Fargo & Co New (WFC)		None			Sold	01/27/17	K	D	
27. NXP Semiconductors NV (NXPI)		None			Sold	01/30/17	J	B	
28. Sunoco Logistics Part (SXL)		None			Sold	01/30/17	K	B	
29. Pepsico		None			Sold	01/30/17	K	D	
30. Pfizer Inc.		None			Sold	01/30/17	K	B	
31. NY CMNTY CAPITAL Trust	A	Interest			Sold	02/01/17	K	A	
32. SPDR S&P Biotech	A	Dividend			Sold	06/22/17	J	A	
33. Apple		None			Sold	01/30/17	K	E	
34. Ares Commerical Real Estate		None			Sold	01/27/17	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Blackstone Group		None			Sold	05/25/17	K	B	
36. Costco		None			Sold	01/30/17	K	B	
37. Netflix Inc (NFLX)		None			Sold	01/27/17	J	C	
38. Blackstone/GSO Strat Credit FD		None			Sold	01/27/17	K	C	
39. IBM		None			Sold	01/27/17	K	B	
40. Barclays Bank		None			Sold	01/30/17	K	A	
41. HSBC Holdings		None			Sold	02/16/17	K	A	
42. Keycorp		None			Sold	01/30/17	K	B	
43. Eaton Vance Tax Mgd	A	Dividend			Sold	02/17/17	K	A	
44. ishares Nasdaq Biotech ETF	A	Dividend	J	T					
45. Fidelity Extd Market Index Premium Class (FSEVX)	D	Dividend	N	T	Buy	01/20/17	M		
46.					Buy (add'l)	01/30/17	L		
47.					Buy (add'l)	02/03/17	J		
48. Fidelity Mid Cap Index Premium Class (FSCKX)	D	Dividend	N	T	Buy	01/27/17	N		
49. Fidelity Total Emerging Markets FD (FTEMX)	D	Dividend	M	T	Buy	02/14/17	K		
50.					Buy (add'l)	02/15/17	K		
51.					Buy (add'l)	02/27/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	03/16/17	J		
53.					Buy (add'l)	05/23/17	K		
54.					Buy (add'l)	06/20/17	J		
55.					Buy (add'l)	06/22/17	K		
56. Wisdomtree TR US Qlt Shared YLD (QSY)	C	Dividend	N	T	Buy	01/27/17	N		
57. IRA #2 (H)									
58. Morgan Stanley Bank Account (Y)									
59. Dominion Resources (Y)									
60. Kayne Anderson Energy (Y)									
61. Cohen & Steers Infrastructure Fund (Y)									
62. Power Shares (Y)									
63. Bristol Myers Squibb (Y)									
64. Caterpillar (Y)									
65. Freeport McMoran Copper & Gold (Y)									
66. Merck (Y)									
67. Clorox (Y)									
68. Colgate Palmolive (Y)									

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69. Teva Pharmaceuticals (Y)									
70. General Electric (Y)									
71. Kinder Morgan (Y)									
72. Tekla Healthcare (Y)									
73. IRA #3 (H)									
74. Fidelity Small Cap Index Premium Class (FSSVX)	E	Dividend	O	T	Sold (part)	12/11/17	K	D	86
75. Fidelity Extended Market Index Premium Class (FSEVX)	E	Dividend	O	T	Sold (part)	12/11/17	K	C	87
76. IRA #4 (H)									
77. Citibank Accounts (cash) (Y)									
78. Fidelity 500 Index Premium Class (FUSVX)	C	Dividend	M	T	Buy	01/06/17	J		
79.					Sold (part)	12/11/17	J	B	
80. Fidelity Int'l Enhanced Index Fund (FIENX) (X)	B	Dividend	M	T	Buy	08/02/17	M		
81. WisdomTree TR Midcap DN FD (DON)	B	Dividend	K	T	Buy (add'l)	01/09/17	L		90
82.					Sold (part)	08/04/17	M	D	
83. IRA #5 (H)									
84. Fidelity Mid Cap Index Premium Class (FSCKX) (X)	B	Dividend	M	T	Sold (part)	12/11/17	J	A	
85. Brokerage #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. Vanguard Ltd-Term Tax-Exempt Fund (VMLUX)	D	Dividend	N	T	Sold (part)	06/13/17	L		
87.					Sold (part)	09/11/17	K		
88. Vanguard Emerging Markets Index Fund (VWO)	D	Dividend	N	T					
89. Vanguard Mid-Cap Index Fund (VIMAX)	E	Dividend	P1	T					
90. Vanguard High-Yield Tax-Exempt Fund (VWALX)	D	Dividend	N	T					
91. Vanguard European Stock Index Fund (VEUSX)	D	Dividend	N	T					
92. Vanguard Global Real Estate Fund (VNQI)	C	Dividend	L	T					
93. Wisdom Tree U.S. Small Cap. Div. Growth ETF (DGRS)	C	Dividend	M	T					
94. Wisdom Tree Global ex-US Quality Dividend (DNL)	B	Dividend	L	T					
95. iShares Core S&P Small Cap ETF (IJR)	C	Dividend	M	T					
96. Vanguard Explorer Admiral CL (VEXRX)	D	Dividend	M	T	Buy	02/13/17	L		
97.					Buy (add'l)	12/13/17	L		
98. Vanguard Intermediate Term Tax Exempt Adm (VWIUX) (X)	D	Dividend	N	T					
99. Oppenheimer Developing Markets CL Y (ODVYX)	A	Dividend	M	T					639
100. Equifax Inc (EFX)	A	Dividend	K	T	Buy	09/12/17	K		
101. General Electric Co (GE)		None	J	T	Buy	11/14/17	J		
102. Brokerage #2 (H)									

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103. Wisdom Tree Managed Futures ETF		None	L	T					
104. Brokerage #3 (H)									
105. ML Bank Deposit Program (Cash Account) (X)	A	Interest	M	T					
106. Acadia Healthcare Co Inc		None			Buy	01/09/17	J		
107.					Sold (part)	08/11/17	J	A	
108.					Sold (part)	10/27/17	J		
109.					Sold (part)	11/02/17	J		
110.					Sold	11/17/17	J		
111. Adobe Systems Inc (ADBE)		None	K	T	Sold (part)	03/23/17	J	A	107
112. Aetna Inc (AET)	A	Dividend	K	T	Sold (part)	11/02/17	J	B	
113. Air Liquide ADR France ADR (AIQUY)	A	Dividend	K	T	Buy (add'l)	04/25/17	J		
114.					Buy (add'l)	06/05/17	J		
115.					Buy (add'l)	08/15/17	J		
116. Air Prod & Chemical INC (APD)	A	Dividend	J	T	Sold (part)	08/11/17	J		
117. Airbus SE (EADSY)	A	Dividend	J	T	Buy (add'l)	08/15/17	J		215
118.					Sold (part)	12/04/17	J	A	
119. Alexion Pharmaceuticals INC (ALXN)		None	J	T	Sold (part)	02/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	02/27/17	J		
121. Allergan PLC (AGN)	A	Dividend	J	T	Buy	01/19/17	K		
122.					Sold (part)	01/25/17	J		
123.					Sold (part)	01/31/17	J		
124.					Sold (part)	08/11/17	J	A	
125. Alphabet Inc CL C (GOOG)		None	K	T	Sold (part)	01/31/17	J	A	
126.					Sold (part)	05/05/17	J	A	
127.					Sold (part)	05/31/17	J	A	
128.					Sold (part)	11/16/17	J	A	
129. Amazon.com INC (AMZN)		None	K	T	Sold (part)	11/16/17	J	A	
130. American International Group Com New (AIG)	A	Dividend	K	T	Buy (add'l)	03/14/17	J		
131.					Buy (add'l)	05/08/17	J		
132.					Buy (add'l)	09/01/17	J		
133. American Tower Corp. REIT (AMT)	A	Dividend	K	T	Sold (part)	04/21/17	J	A	
134.					Sold (part)	05/31/17	J	A	
135.					Sold (part)	11/16/17	J	A	
136. Anheuser Busch INBEV Spon ADR (BUD)	A	Dividend	J	T	Buy (add'l)	01/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	01/27/17	J		
138.					Buy (add'l)	06/05/17	J		
139.					Buy (add'l)	08/15/17	J		
140. Anthem Inc. (ANTM)	A	Dividend	K	T					
141. Arcelormittal SA NY Registered Foreign Stock (MT)		None	J	T	Buy (add'l)	06/21/17	J		
142.					Buy (add'l)	08/15/17	J		
143.					Sold (part)	12/04/17	J	B	
144. Astrazeneca PLC Spon ADR (AZN)	A	Dividend	K	T	Buy	01/13/17	K		
145.					Buy (add'l)	07/27/17	J		
146.					Sold (part)	08/10/17	J		
147. Australia & New Zealand Banking Group (ANZBY)	B	Dividend	K	T	Sold (part)	07/27/17	J	A	
148.					Buy (add'l)	08/15/17	J		
149. AXA Spons ADR (AXAHY)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		143
150. Banco Santander SA ADR (SAN)	A	Dividend	J	T	Buy	08/16/17	J		
151. Banco Santander Chile Spon ADR (BSAC)	A	Dividend			Sold	08/16/17	J		
152. Bayer AG Sp ADR (BAYRY) (X)	A	Dividend	J	T	Buy (add'l)	01/24/17	J		
153.					Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Becton Dickinson & Co	A	Dividend			Buy	01/13/17	J		
155.					Sold	10/24/17	J	B	
156. Biomarin Pharmaceutical Inc (BMRN)		None	J	T	Buy (add'l)	01/19/17	J		
157.					Buy (add'l)	08/03/17	J		
158. BNP Paribas Sa ADR (BNPQY)	A	Dividend	K	T	Sold (part)	02/10/17	J	A	
159.					Buy (add'l)	08/15/17	J		
160.					Buy (add'l)	12/04/17	J		
161. Bridgestone Corp LTD ADR Japan (BRDCY)	A	Dividend	J	T	Buy (add'l)	01/30/17	J		
162.					Buy (add'l)	08/15/17	J		
163. Brighthouse Finl Inc Reg (BHF) (X)		None	J	T					
164. British American Tobacco (BTI)		None			Sold	01/27/17	J	A	
165. Celgene Corp. (CELG)		None	K	T	Sold (part)	05/31/17	J		
166. Centrica PLC New 2004 Spon ADR (CPYYY)		None			Buy (add'l)	01/24/17	J		
167.					Sold	03/02/17	J		
168. Chevron Corp (CVX)	A	Dividend	K	T	Buy (add'l)	09/18/17	J		
169.					Buy (add'l)	10/23/17	J		
170. Chubb Ltd CHF (CB)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Citigroup Inc (C)	A	Dividend	K	T	Buy (add'l)	08/16/17	J		
172. CK Hutchison Hlds LTD (CKHUY)	A	Dividend			Buy (add'l)	01/24/17	J		
173.					Sold (part)	05/30/17	J		
174.					Sold	06/06/17	J	A	
175. CME Group Inc (CME)	A	Dividend			Sold	05/08/17	J	B	
176. CMS Energy Corp (CMS)	A	Dividend			Sold (part)	03/08/17	J		
177.					Sold (part)	03/09/17	J		
178.					Sold	03/13/17	J	A	
179. CNOOC Ltd. Spon ADR (CEO)	A	Dividend	J	T	Buy (add'l)	05/15/17	J		
180. Coca Cola Co Com (KO)	A	Dividend			Sold	07/25/17	J	A	
181. Comcast Corp New Cl A (CMCSA)	A	Dividend	K	T					
182. Concho Resources Inc (CXO)		None	J	T	Buy (add'l)	01/19/17	J		
183.					Buy (add'l)	02/13/17	J		
184.					Buy (add'l)	03/23/17	J		
185.					Sold (part)	08/11/17	J		
186. ConocoPhillips (COP)	A	Dividend			Sold	02/24/17	J	A	
187. Costco Wholesale Corp (COST)	A	Dividend	K	T	Buy (add'l)	03/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	12/01/17	J	A	
189.					Sold (part)	12/04/17	J	A	
190. Credit Suisse Group Spon ADR	A	Dividend	J	T	Buy	05/23/17	J		
191.					Buy (add'l)	06/09/17	J		
192.					Buy (add'l)	08/15/17	J		
193.					Sold (part)	12/04/17	J	A	
194. CRH Plc ADR (CRH)		None	J	T	Buy	12/04/17	J		
195. CVS Health Corp (CVS)	A	Dividend			Sold (part)	04/10/17	J		
196.					Sold	11/02/17	J		
197. DBS Group Holdings LTD SPON ADR (DBSDY)	A	Dividend	J	T	Buy (add'l)	01/19/17	J		
198.					Buy (add'l)	10/13/17	J		
199.					Sold (part)	12/04/17	J	A	
200. Diageo PLC New GB SPON ADR (DEO)	A	Dividend	K	T	Buy (add'l)	01/31/17	J		
201.					Buy (add'l)	02/06/17	J		
202. Dollar General Corp New	A	Dividend	J	T	Sold (part)	01/24/17	J	A	
203.					Sold (part)	05/22/17	J	A	
204. Dominion Resources Inc VA (D)	A	Dividend			Sold (part)	05/08/17	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	06/07/17	J	B	
206.					Sold (part)	06/26/17	J	A	
207.					Sold	07/25/17	J	A	
208. Dowdupont Inc Com (DWDP)	A	Dividend	K	T	Buy (add'l)	01/11/17	J		204
209.					Buy (add'l)	01/31/17	J		
210.					Buy (add'l)	02/24/17	J		
211.					Buy (add'l)	03/27/17	J		
212.					Buy (add'l)	04/19/17	J		
213.					Sold (part)	11/24/17	J	A	
214. Du Pont De Nemours (DD)	A	Dividend			Sold (part)	01/11/17	J	A	
215.					Sold (part)	02/24/17	J	A	
216.					Sold (part)	03/27/17	J	A	
217.					Sold	04/19/17	J	A	
218. Dr Pepper Snapple Group (DPS)		None	J	T	Buy	08/05/17	J		
219.					Buy (add'l)	08/06/17	J		
220. Enel SPA ADR (ENLAY)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		
221. Equinix Inc. REIT (EQIX)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. Exelon Corp (EXC)	A	Dividend	J	T	Sold (part)	05/08/17	J	A	
223. Exxon Mobil	A	Dividend			Sold (part)	05/08/17	J	A	
224.					Sold	07/25/17	J	A	
225. Facebook Inc CL A (FB)		None	K	T	Sold (part)	01/31/17	J	A	
226.					Sold (part)	05/31/17	J	A	
227.					Sold (part)	06/29/17	J		
228.					Sold (part)	11/16/17	J	A	
229. Fastenal Co (FAST)	A	Dividend	J	T	Buy (add'l)	01/19/17	J		
230.					Buy (add'l)	03/23/17	J		
231.					Buy (add'l)	05/23/17	J		
232.					Sold (part)	07/17/17	J		
233. Ferguson PLC ADR (FERGY)	A	Dividend	J	T	Buy	08/15/17	J		
234.					Sold (part)	12/05/17	J	A	
235. Firstenergy Corp (FE)	A	Dividend	K	T	Buy	07/25/17	J		
236.					Buy (add'l)	10/10/17	J		
237. Gap Inc (GPS)	A	Dividend			Buy (add'l)	01/13/17	J		
238.					Sold	08/02/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. General Electric Co (GE)	A	Dividend	J	T	Sold (part)	02/24/17	J		
240. Goldman Sachs Group Inc (GS)	A	Dividend	K	T	Buy (add'l)	05/08/17	J		
241.					Buy (add'l)	05/22/17	J		
242. Haliburton Company (HAL)	A	Dividend	J	T	Buy	07/25/17	J		
243. Hess Corp (HES)	A	Dividend	J	T	Buy (add'l)	03/13/17	J		
244. Home Depot Inc (HD)	A	Dividend	K	T	Sold (part)	02/24/17	J	B	
245.					Sold (part)	03/14/17	J	B	
246.					Sold (part)	06/26/17	J	B	
247.					Buy (add'l)	11/15/17	J		
248. Honda Motor Co ADR Japan ADR (HMC)	A	Dividend	K	T	Buy (add'l)	03/09/17	J		
249.					Buy (add'l)	05/30/17	J		
250.					Buy (add'l)	08/15/17	J		
251. Honeywell International Inc (HON)	A	Dividend	K	T					
252. HSBC Holding PLC (HSBC)	A	Dividend	K	T	Buy	06/09/17	J		
253.					Buy (add'l)	08/15/17	J		
254.					Buy (add'l)	10/04/17	J		
255.					Buy (add'l)	12/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Iberdrola SA ADR (IBDRY)	A	Dividend	K	T	Buy	03/02/17	J		
257.					Buy (add'l)	03/09/17	J		
258.					Buy (add'l)	08/15/17	J		
259.					Buy (add'l)	12/05/17	J		
260. Illumina Inc Com (ILMN)		None	J	T	Buy	01/19/17	J		
261. Infineon Technologies ADR (IFNNY)	A	Dividend	J	T					
262. ING Groep N V NL Spon ADR (ING)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		253
263. Intel Corp (INTC)	A	Dividend			Buy (add'l)	01/11/17	J		
264.					Sold (part)	03/09/17	J		
265.					Sold	03/14/17	J	B	
266. Intercontinental Hotels Group PLC New Spon ADR (IHG)	A	Dividend	J	T					
267. Intesa San Paolo Spon ADR (ISNPY)	A	Dividend	J	T	Sold (part)	01/25/17	J		
268.					Buy (add'l)	08/15/17	J		
269. International Paper Co Common Stock (IP)	A	Dividend	J	T					
270. Intrapublic Group of CO (IPG)	A	Dividend	J	T	Buy	10/11/17	J		
271. Invesco Ltd (IVZ)	A	Dividend	J	T					
272. ITV PLC ADR (ITVPY)	A	Dividend	J	T	Buy (add'l)	06/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	08/15/17	J		
274. Japan Tobacco Inc ADR (JAPAY)		None	J	T	Buy	05/26/17	J		
275.					Buy (add'l)	06/06/17	J		
276.					Buy (add'l)	08/15/17	J		
277. Johnson & Johnson	A	Dividend			Buy (add'l)	01/13/17	J		
278.					Sold (part)	07/25/17	J	B	
279.					Sold (part)	11/09/17	J	B	
280.					Sold	12/04/17	J	A	
281. JPMorgan Chase & Co (JPM)	B	Dividend	L	T					
282. KBC Group NV ADR (KBCSY)	A	Dividend	J	T	Sold (part)	06/09/17	J	A	
283.					Buy (add'l)	08/15/17	J		
284. Kellogg CO (K)	A	Dividend	J	T	Buy	11/07/17	J		
285. Keycorp New (KEY)	A	Dividend	J	T	Sold (part)	11/09/17	J	B	
286. Koninkluke Philips NV Spon ADR	A	Dividend	K	T	Buy	03/16/17	J		
287.					Buy (add'l)	03/17/17	J		
288.					Buy (add'l)	04/06/17	J		
289.					Buy (add'l)	05/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Kroger Company (KR)	A	Dividend	J	T	Sold (part)	05/22/17	J		
291. Lafargeholcim LTD Unsponsored ADR	A	Dividend	J	T	Buy (add'l)	08/15/17	J		
292.					Buy (add'l)	12/05/17	J		
293. Lloyds Banking Group PLC Spon ADR	A	Dividend			Buy (add'l)	01/24/17	J		
294.					Sold	05/25/17	J	A	
295. Lockheed Martin Corp (LMT)	A	Dividend	J	T					
296. Lowe's Companies Inc (LOW)	A	Dividend	J	T	Buy	09/18/17	J		
297. Marathon Oil Corp (MRO)	A	Dividend			Sold	12/04/17	J	A	
298. Marathon Petroleum Co (MPC)	A	Dividend	J	T					
299. Marsh & McLennan Cos Inc (MMC)	A	Dividend	J	T					
300. Mastercard Inc (MA)	A	Dividend	K	T	Buy	01/25/17	J		
301.					Buy (add'l)	01/31/17	J		
302. Mattel Inc Com (MAT)		None	J	T	Buy	08/04/17	J		
303.					Buy (add'l)	08/07/17	J		
304. McKesson Corp Com (MCK)		None	J	T	Buy	06/26/17	J		
305.					Buy (add'l)	07/25/17	J		
306. Medtronic PLC SHS (MDT)		None	K	T	Buy	10/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	10/25/17	J		
308. Merck & Co Inc New Com (MRK)	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
309.					Buy (add'l)	09/18/17	J		
310. MetLife Inc (MET)	A	Dividend	K	T	Buy (add'l)	01/13/17	J		
311.					Buy (add'l)	06/26/17	J		
312.					Buy (add'l)	08/16/17	J		
313. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	01/11/17	J	B	
314.					Sold (part)	11/09/17	J	B	
315. Mitsubishi UFJ Financial Group Inc (MTU)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		
316.					Sold (part)	12/04/17	J	A	
317. Mobileye N.V. Amstelveen EUR (MBLY)		None			Buy (add'l)	01/19/17	J		
318.					Sold (part)	02/13/17	J		
319.					Sold (part)	03/23/17	J	B	
320.					Sold (part)	06/01/17	J		
321.					Sold (part)	06/02/17	J		
322.					Sold (part)	06/06/17	J	B	
323.					Sold (part)	06/12/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	06/16/17	J	A	
325.					Sold (part)	06/19/17	J	A	
326.					Sold	06/20/17	J		
327. Mondelez Intl Inc (MDLZ)	A	Dividend			Sold	09/01/17	J		
328. Monster Beverage Corp New Com (MNST)		None	K	T					
329. Morgan Stanley (MS)	A	Dividend	K	T	Sold (part)	05/22/17	J	A	
330. Motorola Solutions Inc (MSI)	A	Dividend	J	T					
331. National Grid PLC New Spon ADR (NGG)	A	Dividend			Buy (add'l)	01/24/17	J		
332.					Sold (part)	05/01/17	J		
333.					Sold	05/31/17	J	A	
334. Nextera Energy Inc Com (NEE)	A	Dividend	K	T					
335. Nielsen Holdings PLC SHS (NLSN)	A	Dividend	J	T	Buy	09/19/17	J		
336.					Buy (add'l)	09/20/17	J		
337. Nippon Telegraph & Tel Corp Spon ADR (NTTY)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		
338. Nordea Bank Swed ADR (NRBAY)	A	Dividend			Sold	06/12/17	J	B	
339. Norsk Hydro A.S. New Norway Spon ADR (NHYDY)	A	Dividend	J	T	Sold (part)	04/26/17	J	A	
340.					Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Northrup Grumman Corp (NOC)	A	Dividend	K	T					
342. Novartis AF Spon ADR (NVS)		None	J	T	Buy	06/21/17	J		
343.					Buy (add'l)	08/16/17	J		
344. Novo Nordisk A S ADR (NVO)		None	J	T	Buy	10/13/17	J		
345.					Buy (add'l)	10/16/17	J		
346.					Buy (add'l)	10/24/17	J		
347. Occidental Petroleum CRP Common Stock (OXY)	A	Dividend			Sold (part)	10/25/17	J		
348.					Sold (part)	10/26/17	J		
349.					Sold	10/27/17	J		
350. Oracle Corp. (ORCL)	A	Dividend	K	T	Buy (add'l)	01/11/17	J		
351.					Buy (add'l)	02/24/17	J		
352.					Buy (add'l)	11/07/17	J		
353. Paypal Holdings Inc (PYPL)		None	K	T					
354. Pfizer Inc Common Stock (PFE)	B	Dividend	L	T	Buy (add'l)	01/13/17	K		
355.					Buy (add'l)	05/05/17	J		
356.					Buy (add'l)	08/01/17	J		
357.					Buy (add'l)	10/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. PG&E Corp (PCG)	A	Dividend	K	T	Buy	03/07/17	J		
359.					Buy (add'l)	03/08/17	J		
360.					Buy (add'l)	03/09/17	J		
361.					Buy (add'l)	05/08/17	J		
362.					Buy (add'l)	11/01/17	J		
363.					Buy (add'l)	11/07/17	J		
364.					Buy (add'l)	11/21/17	J		
365. Philip Morris Intl Inc (PM)	A	Dividend	J	T					
366. Praxair Inc (PX)	A	Dividend	J	T					
367. Priceline Group Inc New (PCLN)		None			Sold (part)	02/27/17	J		
368.					Sold (part)	05/05/17	J	A	
369.					Sold (part)	06/29/17	J	A	
370.					Sold	11/03/17	J	A	
371. Procter & Gamble Co (PG)	A	Dividend	J	T					
372. Prudential Financial Inc (PRU)	A	Dividend	K	T	Sold (part)	06/26/17	J	B	
373. Prudential PLC ADR United Kingdom (PUK)	A	Dividend	K	T	Buy (add'l)	08/15/17	J		
374. Public Service Enterprise Group Inc Common Stock (PEG)	A	Dividend	K	T	Buy (add'l)	05/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	05/22/17	J		
376.					Buy (add'l)	06/26/17	J		
377. Qualcomm Inc Common Stock (QCOM)	A	Dividend	K	T	Sold (part)	01/11/17	J	B	
378.					Sold (part)	01/24/17	J	B	
379.					Buy (add'l)	08/16/17	J		
380.					Buy (add'l)	09/01/17	J		
381.					Buy (add'l)	10/10/17	J		
382.					Sold (part)	11/01/17	J	A	
383. Quest Diagnostics (DGX)	A	Dividend	J	T	Sold (part)	07/25/17	J	B	
384. Raytheon Co New (RTN)	A	Dividend			Sold (part)	03/14/17	J	C	
385.					Sold	03/27/17	K	C	
386. Reynolds American Inc	A	Dividend			Sold	01/31/17	J	A	
387. Rio Tinto PLC Spon ADR (RIO)	A	Dividend	J	T	Sold (part)	06/05/17	J		
388.					Buy (add'l)	08/15/17	J		
389. Roche Holding LTD Spons ADR Switzerland ADR (RHHBY)	A	Dividend	J	T	Buy (add'l)	01/24/17	J		
390.					Buy (add'l)	06/05/17	J		
391.					Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Royal Dutch Shell PLC Cl A (RDSA)	B	Dividend	L	T	Buy (add'l)	01/30/17	J		
393.					Buy (add'l)	05/08/17	J		
394.					Buy (add'l)	06/26/17	J		
395.					Buy (add'l)	07/25/17	J		
396.					Sold (part)	07/27/17	J		
397.					Buy (add'l)	08/15/17	J		
398.					Buy (add'l)	10/25/17	J		
399.					Buy (add'l)	10/26/17	J		
400. Ryanair Holdings PLC Spon ADR (RYAAY)		None	J	T	Buy (add'l)	08/15/17	J		
401. S&P Global Inc (SPGI)	A	Dividend	J	T	Buy	04/07/17	J		
402.					Buy (add'l)	04/10/17	J		
403.					Buy (add'l)	04/21/17	J		
404. Salesforce.com Inc (CRM)		None	K	T	Sold (part)	05/31/17	J		
405.					Sold (part)	11/16/17	J	A	
406. Sanofi Spon ADR (SNY)	A	Dividend	J	T	Buy (add'l)	08/15/17	J		
407. Schlumberger LTD Netherlands Antilles Foreign Stock	A	Dividend			Sold	07/25/17	J		
408. Schneider Electric SE Unsponsored ADR (SBGSY)	A	Dividend	J	T	Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	10/04/17	J		
410. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Buy (add'l)	03/23/17	J		
411.					Buy (add'l)	10/10/17	J		
412. ServiceNow Inc (NOW)		None	K	T	Sold (part)	02/13/17	J		
413. Shire PLC Spon ADR (SHPG)	A	Dividend	J	T	Buy (add'l)	01/24/17	J		
414.					Buy (add'l)	05/01/17	J		
415.					Buy (add'l)	06/05/17	J		
416.					Buy (add'l)	08/15/17	J		
417. Siemens A G Spon ADR (SIEGY)	A	Dividend	K	T	Sold (part)	06/05/17	J		
418.					Buy (add'l)	08/15/17	J		
419.					Sold (part)	12/04/17	J	A	
420. Sony Corp. ADR New Japan ADR (SNE)	A	Dividend			Sold	06/05/17	J		
421. Splunk Inc.(SPLK)		None	K	T	Sold (part)	02/13/17	J		
422.					Sold (part)	11/16/17	J	A	
423. Starbucks Corp (SBUX)	A	Dividend	K	T	Sold (part)	04/26/17	J	A	
424.					Sold (part)	04/27/17	J	A	
425. Sumitomo Mitsui Financial Spon ADR (SMFG)	A	Dividend	J	T	Buy (add'l)	08/15/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/17/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Sumitomo Mitsui Trust Holdings Spon ADR (SUTNY)	A	Dividend	J	T	Buy (add'l)	08/15/17	J		
427.					Buy (add'l)	12/04/17	J		
428. Suncor Energy Inc New CAD (SU)	A	Dividend	K	T	Buy (add'l)	02/24/17	J		
429.					Buy (add'l)	08/07/17	J		
430.					Buy (add'l)	09/01/17	J		
431. SunTrust Banks Inc (STI)	A	Dividend	K	T	Sold (part)	01/31/17	J	B	
432. Swiss RE AG Shs (SSREY)		None	J	T	Buy	08/17/17	J		
433.					Buy (add'l)	09/14/17	J		
434.					Buy (add'l)	10/04/17	J		
435. Taiwan S Manufacturing ADR (TSM)	A	Dividend	K	T	Buy	01/24/17	J		
436.					Buy (add'l)	01/25/17	J		
437.					Buy (add'l)	03/09/17	J		
438. Technip FMC PLC Reg Shs (FTI)	A	Dividend	J	T	Buy (add'l)	05/30/17	J		455
439.					Buy (add'l)	08/15/17	J		
440. Telecom Italia SPA New Restg (TI)		None	J	T	Buy (add'l)	01/24/17	J		
441.					Buy (add'l)	08/15/17	J		
442.					Sold (part)	12/07/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Teva Pharmaceuticals	A	Dividend	J	T	Buy	01/24/17	J		
444.					Buy (add'l)	05/25/17	J		
445.					Buy (add'l)	06/05/17	J		
446.					Buy (add'l)	08/15/17	J		
447. The Priceline Group Inc (PCLN) (X)		None	K	T					
448. Total S.A. France Spon ADR (TOT)	B	Dividend	K	T	Buy (add'l)	01/24/17	J		
449.					Buy (add'l)	02/24/17	J		
450.					Sold (part)	06/26/17	J		
451.					Sold (part)	07/27/17	J		
452.					Buy (add'l)	08/15/17	J		
453.					Buy (add'l)	09/01/17	J		
454.					Sold (part)	12/04/17	J	B	
455. Toyota (TM)	A	Dividend	J	T	Buy	03/27/17	J		
456. Transcanada Corp (Holding Co) (TRP)	A	Dividend	J	T	Buy (add'l)	05/26/17	J		
457.					Buy (add'l)	08/15/17	J		
458. Transunion (TRU)		None	J	T	Buy	04/24/17	J		
459.					Buy (add'l)	04/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	05/12/17	J		
461. Travelers Cos. Inc/The (TRV)	A	Dividend	J	T	Buy (add'l)	01/11/17	J		
462.					Sold (part)	03/14/17	J	B	
463. Ulta Beauty Inc (ULTA)		None	J	T	Buy	12/05/17	J		
464. Unilever NV NY SHS New (UN)	A	Dividend	J	T	Buy (add'l)	05/22/17	J		
465. Union Pacific Corp (UNP)	A	Dividend	J	T					
466. United Parcel Service Inc Cl (UPS)	A	Dividend	J	T					
467. United Health Group Inc (UNH)	A	Dividend	K	T	Sold (part)	11/09/17	J	C	
468. US Bancorp Del (New) (USB)	A	Dividend	K	T					
469. Verizon Communications Inc (VZ)	A	Dividend	K	T	Buy (add'l)	09/18/17	J		
470.					Buy (add'l)	11/07/17	J		
471.					Buy (add'l)	12/04/17	J		
472. Visa Inc. CL A (V)	A	Dividend	K	T	Sold (part)	05/31/17	J		
473.					Sold (part)	11/16/17	J	A	
474. Vodafone Group PLC Spon ADR (VOD)	A	Dividend	K	T	Buy	01/24/17	J		
475.					Buy (add'l)	06/05/17	J		
476.					Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy (add'l)	12/04/17	J		
478. Waste Connections Inc (WCN)	A	Dividend	J	T	Buy	06/05/17	J		
479.					Buy (add'l)	06/06/17	J		
480.					Buy (add'l)	06/12/17	J		
481.					Buy (add'l)	10/30/17	J		
482. Wells Fargo & Co New (WFC)	A	Dividend	K	T	Buy (add'l)	09/18/17	J		
483. West Pharmaceuticals Svcs Inc (WST)	A	Dividend	J	T	Buy	07/28/17	J		
484.					Buy (add'l)	08/03/17	J		
485.					Buy (add'l)	11/01/17	J		
486. Weyerhaeuser Co. (WY)	A	Dividend			Sold	09/19/17	J	B	
487. Wolseley PLC Spon ADR (WOSYY) (Y)									
488. Zoetis Inc (ZTS)	A	Dividend	J	T	Buy	05/26/17	J		
489.					Buy (add'l)	05/30/17	J		
490.					Buy (add'l)	06/07/17	J		
491.					Buy (add'l)	06/12/17	J		
492. Zurich Ins Group Ltd Spon ADR (ZURVY)	A	Dividend	K	T	Sold (part)	06/21/17	J		
493.					Buy (add'l)	08/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. 3M Co. (MMM)	A	Dividend	K	T	Buy (add'l)	03/14/17	J		
495. iShares Russell 1000 Growth (IWF)		None			Sold	01/19/17	L	B	
496. iShares MSCI EAFE Value ETF (EFV)		None			Sold	01/24/17	L	A	
497. Vanguard Value ETF (VTV)		None			Sold	01/13/17	L		
498. JP Morgan International Value SMA Fund (JTIVX)		None	M	T	Sold (part)	04/05/17	J		
499.					Sold (part)	05/26/17	J	A	
500.					Sold (part)	06/20/17	J	A	
501.					Sold (part)	07/25/17	J		
502.					Buy (add'l)	08/02/17	J		
503.					Buy (add'l)	08/15/17	K		
504. Magellan Mainstream Partners LP (Y)									
505. Brokerage #4 (H)									
506. New York Thruway Bond [REDACTED]	C	Interest	K	T					642
507. NY State Dormitory Authority Bond [REDACTED]	C	Interest	L	T					644
508. NY State Dormitory Bond [REDACTED]	B	Interest	L	T					645
509. NY State Environmental Bond [REDACTED]	B	Interest	L	T					
510. Bay Shore NY Union Free School District [REDACTED]	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Erie County NY Bond	B	Interest	L	T	Redeemed (part)	05/15/17	K		
512. NYC Muni WTR in Authority Bond (No.1) [REDACTED]	C	Interest	K	T					
513. Massachusetts Bond [REDACTED]	B	Interest	K	T	Redeemed (part)	03/07/17	K	B	
514. Metropolitan Transit Authority Bond [REDACTED]	B	Interest	L	T					
515. Dormitory Auth of NY Bond [REDACTED]	A	Interest	K	T					654
516. Triborough Bridge & Tunnel Authority Bonds (2)	B	Interest	L	T					
517. 34th Street Partnership Bond [REDACTED]	C	Interest	L	T					
518. Tampa Florida Bond [REDACTED]	B	Interest	L	T					
519. NYC Transit Finance Authority Future [REDACTED]	B	Interest	L	T					
520. Shenendehowa NY Bond [REDACTED]	B	Interest	K	T					
521. NYC Transit Bond [REDACTED]	C	Interest	L	T					662
522. Chicago O'Hare Airport Bond [REDACTED]	B	Interest	K	T					
523. NYS Dorm Auth Rev North (X)	A	Interest			Redeemed	05/01/17	K		
524. NY St Dorm Auth Sales Tax (X)	A	Interest	K	T					
525. NY St Dorm Auth RE [REDACTED] (X)	C	Interest	L	T					
526. Mantanuska-Susitna Boro [REDACTED]	B	Interest	L	T	Buy	04/24/17	L		
527. Erie NY Indl Dev Agy Sch FC RV Ref [REDACTED]		None	L	T	Buy	05/18/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. University California Revs [REDACTED]		None	K	T	Buy	09/20/17	K		
529. Brokerage #5 (H)									
530. Dodge & Cox Global Stock Fund (DODWX) (X)	E	Dividend	O	T	Buy (add'l)	11/15/17	L		
531. Dodge & Cox Stock (DODGX) (X)	E	Dividend	N	T					
532. Fidelity Int'l Small Cap (FISMV)	A	Dividend	K	T	Buy	09/29/17	J		
533.					Buy (add'l)	12/06/17	K		
534. Wisdomtree TR US Midcap Dividend (DON)	A	Dividend	L	T	Buy	08/04/17	J		
535.					Buy (add'l)	09/29/17	L		
536.					Buy (add'l)	12/07/17	K		
537. Misc. Holdings (H)									
538. Greenwich Friess Long/Short Fund		None	P1	T	Buy	08/01/17	M		
539. Oak Hill Capital Partners		None	M	T	Buy	02/01/17	L		
540. Park Overlook Equities Fund		None	O	T	Buy	07/01/17	N		
541. Trishield Special Situations Fund		None	N	T	Sold (part)	07/12/17	M	F	551
542.					Sold (part)	09/19/17	M	G	
543. WFG-WPX Partners LLC	E	Distribution	M	T	Sold (part)	01/31/17	J	B	1
544.					Sold (part)	03/31/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	05/31/17	J	B	
546.					Sold (part)	07/31/17	J	D	
547.					Sold (part)	08/31/17	K	D	
548.					Sold (part)	11/30/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Header name changes do not reflect reportable transactions.

Part VII, line 2: No underlying investment options.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Column D: Where assets have been consolidated, undergone name or symbol changes, or transferred between accounts without reportable transactions, line numbers referencing the corresponding entries in the 2016 report have been included for ease of review.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ALLYNE R. ROSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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