

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dubina, Joel F.	2. Court or Organization U.S. Court of Appeals/11th Cir	3. Date of Report 09/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address Post Office Box 867 Montgomery, AL 36101-0867		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Dwight D. Opperman
Foundation

9/24/2012-9/26/2012

Washington, D.C.

Panel to choose DeVitt
Award Recipient

Room, food, airfare

2. Dwight D. Opperman
Foundation

12/4/2012-12/6/2012

Washington, D.C.

Attend DeVitt Award
Ceremony

Room, food, airfare

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. L									
2. COMMON STOCKS									
3. Insignia Fini Group Inc.	A	Dividend	J	T					
4. Torchmark Corp	A	Dividend	K	T					
5. U. S. Shelter Corp. Del	A	Dividend	J	T					
6. Money Market Funds Sterne, Agee & Leach	A	Interest	J	T					
7. 12.5% interest Investments Unlimited, an L.L.C.	D	Rent	P1	W					See Section VIII.
8. 12.5% interest in house, Ada, AL		None	L	W					
9. II. TRUSTS ASSETS #1									
10. Money Market Funds Sterne, Agee & Leach	B	Interest	K	T					
11. University AL Huntsville (X)	B	Interest	L	T					
12. Montgomery AL Tax CPN	B	Interest	L	T					
13. General Electric	B	Dividend	K	T	Sold (part)	12/31/12	K	D	
14. Torchmark Corp.	B	Dividend	M	T	Sold (part)	4/5/12	J	D	
15.					Sold (part)	7/23/12	J	D	
16.					Sold (part)	12/31/12	K	E	
17. River Bank and Trust (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Huntsville, AL Warrants Series A	B	Interest	L	T					
19. Irondale, AL Wts - Ser. D Ins. XLCA 4%	B	Interest	L	T					
20. Intermediate Mun. Tr Sh Ben. Int.	C	Dividend	M	T					
21. Opp, AL Wts FSA B/E Cpn	B	Interest	L	T					
22. U.S. Treasury Bond 5/375%	C	Interest	L	T					
23. U.S. Treasury Bond 7.25%	C	Interest	L	T					
24. Altria Group Inc.	B	Dividend	K	T	Sold (part)	7/23/12	J	D	
25. John Hancock Flexible Prem. Life Ins.		None	L	U					
26. Adobe Systems		None	K	T					
27. Freeport McMoran	A	Dividend	J	T					
28. Microsoft Corp.	A	Dividend	J	T					
29. Novartis A G	A	Dividend	J	T					
30. Priceline Com		None	L	T	Sold (part)	7/23/12	J	D	
31. IShares Trust S&P	B	Dividend	K	T	Sold (part)	4/15/12	J	A	
32. Protective Life Corp.	A	Dividend			Sold	12/31/12	J	C	
33. Equity Residential PPTYS	A	Dividend			Sold	7/23/12	K	D	
34. Rent-A-Center	A	Dividend	K	T					

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35. Toronto Dominion Bank	A	Dividend	J	T					
36. Gartner, Inc.		None	J	T					
37. Google, Inc.		None	K	T					
38. Mosaic Company	A	Dividend	J	T					
39. JP Morgan USD Emerging MRKTS Bond		None			Sold	7/23/12	J	A	
40. Apple Computer, Inc.	A	Dividend	J	T					
41. Amazon.Com, Inc.		None	J	T					
42. Communications HLDGS	A	Dividend			Sold	4/5/12	J		
43. Emerson Electric Co.	A	Dividend	K	T					
44. iShares GSCI Commodity Index		None	J	T					
45. iShares DJ Sel	A	Dividend	J	T					
46. Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy	12/27/12	J		
47. Eaton Vance Floating-Rate Fund Class 1	A	Dividend	K	T	Buy	7/10/12	K		
48. Google Inc. CL A		None	K	T	Buy	7/23/12	K		
49. International Business Machines Corp.	A	Dividend	J	T	Buy	4/15/12	J		
50. Qualcomm, Inc.	A	Dividend	J	T	Buy	12/3/12	J		
51. SPDR Gold Trust		None	K	T	Buy	7/23/12	J		

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52.					Buy	12/31/12	J		
53. Vanguard GNMA Fund INV	A	Interest	K	T	Buy	7/18/12	K		
54.					Buy	12/27/12	K		
55. [REDACTED] HOLDINGS: [REDACTED]									
56. Money Market Funds [REDACTED] Sterne, Agee	D	Interest	N	T					
57. Torchmark Corp.	B	Dividend	N	T					
58. 56 TMK, United Inc.	A	Dividend	J	T					
59. 12.5% interest Investments Unlimited, as L.L.C.	D	Rent	P1	W					See Section VIII
60. 12.5% interest in house, Ada, AL		None	L	W					
61. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII
62. General Electric Com.	A	Dividend	J	T					
63. Fairhope, AL Utilities	A	Interest	J	T	Buy (add'l)	1/24/12	J		
64. Tuscaloosa, AL Warrant FSA B/E	A	Interest	K	T					
65. Waddell & Reed	A	Dividend	J	T					
66. Proshares Trust Powershares Ultrashort Real Estate		None	J	T	Sold	2/16/12	J	A	
67. Pimco FDS Total Retu FD CLA	A	Dividend	J	T					
68. Powershares GloBale Trust Aggregate PFD Portfolio	A	Dividend	J	T					

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69. Birmingham, AL Spl CR FAC Fing Ath Children's Hospital	B	Interest	K	T					
70. Southern Company	B	Interest	K	T					
71. Huntsville AL RFDG & Cap Improvements WTS Ser A		None	K	T					
72. ALCOA Inc.	A	Dividend	J		Buy	03/07/12	J		
73. Chesapeake Energy Corp.	A	Dividend	J		Buy	03/7/12	J		
74. Univ. AL at B'ham Hosp. Rev RFDG		None	K		Buy	03/22/12	K		
75. Allergan Inc (X)	A	Dividend			Sold	2/16/12	J		See Section VIII for lines
76. Altria Group (X)	A	Dividend	K	T					61- _____
77. American Express COMRMT (X)	A	Dividend	J	T					
78. Apple Inc. RMT (X)		None			Sold	2/16/12	J	E	
79. BCE INC NEW RMT (X)		None			Sold	2/16/12	J	D	
80. BP PRUDHOE BAY ROYALALMT TRUST (X)	B	Dividend	J	T					
81. BAIDU INC RMT (X)		None			Sold	12/28/12	J		
82. BRUKER CORP. RMT (X)		None			Sold	2/16/12	J	D	
83. CELGENE CORP (X)		None			Sold	2/16/12	J	C	
84. Companhia De Bebidasrmt Das Amers Ambev Spons (X)		None			Sold	2/16/12	J	D	
85. Darden Restaurants IRMT (X)	A	Dividend			Sold	2/16/12	J	D	

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86. Du Pont E.I.De Nemourmt & Co. (X)	A	Dividend			Sold	2/16/12	J		
87. Express Scripts Inc. RMT (X)		None	J	T					
88. Gartner Inc. RMT (X)		None			Sold	2/16/12	J		
89. Genuine Parts Companrmt (X)		None			Sold	7/16/12	K		
90. ISHARES Silver Trustrmt (X)		None	J	T	Buy	7/20/12	J		
91. ISHARES Trust RMT S & P US PFD Stock Index Fund (X)	A	Dividend	J	T					
92. MICROS Systems Inc RMT (X)	A	Dividend			Sold	2/16/12	J	B	
93. Microchip Technology RMT (X)		None			Sold	2/16/12	J		
94. Nike Inc Class B RMT (X)		None			Sold	2/16/12	J	B	
95. Novartis AG RMT Sponsored ADR (X)	A	Dividend	J	T					
96. Praxair Inc. RMT (X)	A	Dividend	J	T					
97. Priceline com Inc. Nermt (X)		None	J	T					
98. Sally Beauty Holdingrmt Inc. (X)		None			Sold	2/16/12	K	D	
99. SPDR Gold Trust RMT Gold Shares (X)		None	K	T					
100. TJX Companies Inc. NERMT (X)	A	Dividend			Sold	2/16/12	K	D	
101. WD 40 Company RMT (X)	A	Dividend			Sold	2/16/12	J	B	
102. Torchmark Corp RMT (X)	C	Dividend	N	T	Sold (part)	7/3/12	L	F	

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103. River Bank & Trust RMT (X)	A	Interest	K	T					
104. Alabama Water Pollut EDP CTL AUTH CPN 4.000% Due 8/15/17 (X)	A	Interest	J	T					
105. Arab AL Sewer Bd. EDP RFD SYNCORA OID @98.336 4.5% (X)	A	Interest	K	T					
106. Birmingham Baptist AL. MD Ctr Spl Cure 6.125 cpm 5.875 (X)	A	Interest	K	T					
107. Birmingham Baptist Med EDP AL Spl Care @96.947 CPN 5.000%	A	Interest	K	T	Buy	3/6/12	K		
108. B'ham AL SPL Childrens Hosp. OID @98.672 6.23 CPN 6.125 (X)	B	Interest	L	T					
109. Clarke & Mobile Cnty AL Gas Dist. 4.375%		None	K	T	Buy	12/28/12	K		
110. Madison Cnty Brd Ed Cap OID 96.801 4.7% CPN 4.500%	A	Dividend	K	T					
111. B'ham AL WaterWEDP & Sewer Board 5.000% due 1/1/40 (X)	A	Interest	J	T					
112. Alexander City AL RF WTS SER OID @98.889 3.16% CPN 3.000%	A	Interest	J	T	Buy	1/24/12	J		
113. Elmore Cnty AL Pub E Coop Dist Pub Ed OID @98.506 3.45%	A	Interest	K	T	Buy	1/24/12	K		
114. Foley Al Pub Facs Co Dist Rev CPN 4.000% Due 9/1/19	A	Interest	K	T	Buy	1/24/12	K		
115. Huntsville AL Hlth C Autho Ser B Rev 4.25% CPN 4.000%	A	Interest	K	T	Buy	2/2/12	K		
116. Huntsville AL Hlthcare Auth Rev Ser A 5.25%		None	K	T	Buy	12/4/12	K		
117. Huntsville AL RFDG & EDP Improvement @97.712 4.27%		None	K	T	Buy	5/22/12	K		
118. Huntsville AL HealthEDP Care OID @98.922 4.58% (X)	A	Interest	J	T					
119. Madison Cnty AL Brd EDP Cap Outlay Cpm 5.000% Due 9/1/36 (X)	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Madison Cnty AL Brd Edp Cap Outlay Rfdg OID @96.801 4.7% (X)	B	Interest	K	T					
121. Opelika AL School Warrants SER A CPN 3.500% Due 10/1/20	A	Int./Div.	K	T	Buy	2/02/12	K		
122. Opelika AL Public PAEDP Rec BD OID@98.009 4.75% (X)	A	Interest	J	T					
123. Prattville AL Economedp Dev. OID @97.631 4.57% (X)	A	Interest	J	T					
124. Russellville AL WTRW SWR BD REV OID@98.839 2.5% CPN 2.300%	A	Interest	J	T	Buy	1/24/12	J		
125. Phenix City AL Warrant Ser A CPN 5.000% Due 1/1/17	A	Interest	J	T	Buy	1/24/12	J		
126. Shelby Cnty AL Brd E Unref 10 mill CPN 4.000% due 2/1/18	B	Interest	K	T	Buy	1/24/12	K		
127. Shelby Cnty AL Brd EEDP Cap Outlay SCH OID@97.921 4.43% (X)	A	Interest	K	T					
128. Shelby Cnty AL Brd EEDP RFDG 10 Mill B/E CPN 5.0% due 2/1(X)	B	Interest	K	T					
129. Trussville AL Warranedp Ser A CPN 5.000% Due 10/1/31(X)	A	Interest	J	T					
130. Tuscaloosa AL WarranEDP AGM OID @99.03 4.1% (X)	A	Interest	J	T					
131. Tuscaloosa AL WarranEDP OID 96.969 4.56% (X)	A	Interest	K	T					
132. University of AL Ser A Birmingham Hosp. Rev OID @98.827 4.26	B	Interest	K	T	Buy	1/24/12	K		
133. University AL AT EDP Bham Hosp CPN 5.000% due 9/1/36	A	Interest	J	T	Buy	3/22/12	J		
134. AT&T Inc. (X)	A	Dividend	J	T					
135. ALCOA Inc	A	Dividend	J	T	Buy	3/7/12	J		
136. Alliance Data Systems Corp. (X)		None			Sold	2/16/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Amgen Inc. (X)	B	Dividend	K	S					
138. Barrick Gold Corp.	A	Dividend	K	T	Buy	7/20/12	K		
139. Chesapeake Energy Co (X)	A	Dividend	J	T	Buy	3/7/12	J		
140. Conoco Phillips EDP (X)	A	Dividend	K	T					
141. First Trust Stragic High Income Fund (X)	A	Dividend			Sold	2/16/12	J	C	
142. Ford Motor Co. (X)	A	Dividend	J	T					
143. Frontier Communications Corp (X)		None			Sold	2/16/12	J	A	
144. General Electric ComeDP (X)	A	Dividend	J	T					
145. Goldman Sachs Grap Inc. 5.95%		None	K	T	Buy	10/31/12	K		
146. Invesco Van Kampen Dynamic Credit Opportunities Fund (X)	A	None			Sold	2/16/12	J	C	
147. JOS A. Bank Clothiers EDP (X)		None			Sold	12/28/12	K		
148. Linn Energy United P Representing United Liability Int. (X)	B	Dividend	K	T					
149. Mosaic Company	A	Dividend	K	T	Buy	8/23/12	J		
150.					Buy	10/5/12	J		
151. Phillips 66 (X)	A	Dividend	J	T					
152. Powershares Global ETF (X)	A	Dividend	K	T					
153. Reynolds American (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Southern Copper Corp. (X_	B	Dividend	J	T					
155. SPDR Gold Trust EDP Gold Shares		None	J	T	Buy	7/20/12	J		
156. Torchmark Capital Trust III Tr PFD 7.1% (X)	A	Interest	J	T					
157. Verizon Communications EDP Inc. (X)	A	Dividend			Sold	8/22/12	J	B	
158.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 8 & 61. Property located in Montgomery County, AL; Walton County, FL; Lowndes County, AL

No. 63. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally [REDACTED]. I formed an L.L.C. named "Hancey Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hancey Mill Road Farm, L.L.C. and then gifted to [REDACTED] in equal shares. The total value of this property at the time it gifted was \$790,000 to be equally shared [REDACTED]. I filed a gift tax return showing this transfer on 4/15/08.

No. ___ through ___ : (X) These assets were inherited and put into a charitable account after settlement of [REDACTED] estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel F. Dubina**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544