

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HANSEN, LeROY	2. Court or Organization U.S. DISTRICT COURT, New Mexico	3. Date of Report 04/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court P.O. Box 669 Albuquerque, NM 87103-0669		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New York Life Whole Life Policies		None	K	T					
2. Land in Estancia, NM		None	J	W					
3. IRA (H)									
4. -Ivy Global Natural Resources FD	A	Dividend	J	T					
5. -Deutsche CROCI Equity Dividend Inst Fund	A	Dividend	J	T					
6. -Thornburg Invst Income Builder Fund	A	Dividend	K	T					
7. -VOYA Global Equity Div Fund (IGD)	B	Dividend	K	T					
8. -iShares S&P U.S. Preferred Stock Index ETF	B	Dividend	K	T					
9. -Cohen & Steers Infrastructure FD	A	Dividend	J	T					
10. -Clearbridge Energy MLP FD Inc	A	Dividend	J	T					
11. -Barclay's Bk PLC 6.625% Non Cum PFD ADR Ser 2	A	Dividend			Redeemed	09/15/16	J	A	
12. -Exelon Corp	A	Dividend	J	T					
13. -First Energy Corp	A	Dividend	J	T					
14. -Government Properties Income Trust	A	Dividend			Sold (part)	07/06/16	J		
15. -Reaves Util Incom FD	A	Dividend	J	T					
16. -Morgan Stanley Private Bank NA Account	A	Interest	K	T					
17. -SPDR Wells Fargo Preferred (PSK)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Centurylink Inc	A	Dividend	J	T					
19. -Entergy LA LLC (ELU)	A	Interest	J	T					
20. Brokerage Account (H)									
21. -Conagra Brands Inc (formerly Conagra Foods Inc)	A	Dividend	J	T					
22. -ConocoPhillips common stock	A	Dividend	J	T					
23. -Olin Corp common stock	A	Dividend	J	T					
24. -Eaton Vance Tax Mngd Dividend Equity Fund	B	Dividend	J	T					
25. -Wells Fargo Multi Sector Inc	B	Dividend	K	T					
26. -Tortoise MLP Fund Inc	A	Dividend	J	T					
27. -GE common stock	A	Dividend	J	T	Sold (part)	03/02/16	J	B	
28. -Molson Coors Brewing Co	A	Dividend	J	T	Sold (part)	06/07/16	J	D	
29. -BB&T Corp 5.85%	A	Dividend	J	T					
30. -Phillips 66 common stock	A	Dividend	J	T					
31. -Morgan Stanley Private Bank NA Account	A	Interest	J	T					
32. -AT&T comon stock	A	Dividend	J	T					
33. -Blackrock Energy & Resources (BGR)	A	Dividend	J	T					
34. -New York Community Bankcorp Inc (NYCB) common stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. -Proctor & Gamble (PG) common stock	A	Dividend	J	T					
36. -Blackrock Util & Infra (BUI)	B	Dividend	K	T					
37. -Williams Co Inc	A	Dividend			Sold	11/17/16	J		
38. -Philip Morris Int'l Inc	A	Dividend	J	T					
39. -Centurylink Inc	A	Dividend	J	T					
40. -Morgan Stanley Private Bank N.A.	A	Interest	J	T					
41. -General Motors Co	A	Dividend	J	T	Buy	03/01/16	J		
42. -Western Refining Inc	A	Dividend	J	T	Buy	06/07/16	J		
43. -Lamb Weston Hldgs Inc (Spinoff from Conagra Foods Inc)		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. line 14 asset became unreportable after partial sale on 07/06/16; remainder sold on 07/07/16

line 16 formerly Morgan Stanley Bank N.A.

line 24 formerly Eaton Vance Tax Mngd Gbl Dividend Equity Fund

line 25 formerly Wells Fargo Multi Sector Fund

line 29 formerly BB&T PFD Stock

line 31 formerly Morgan Stanley Bank N.A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LeROY HANSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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