

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rainey, John D.	2. Court or Organization U. S. District Court, Texas	3. Date of Report 10/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 312 S. Main Room 406 Victoria, TX 77901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Rainey, John D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Rainey, John D.

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10/02/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prosperity Bank (IRA)	B	Distribution	L	T	Distributed (part)	12/15/16	J		
2. State Farm Insurance	C	Interest	L	T					
3. Wells Fargo, Victoria, TX	A	Interest	O	T					
4. Land, Edwards County, TX		None	O	W					
5. Fidelity Brokerage Services		None			Closed				*See Part VIII
6. Fidelity Money Market	A	Interest	K	T					
7. Fidelity Brokerages Services IRA	D	Distribution	N	T					
8. -Aberdeen Emerging Markets Instl. Fd.		None			Sold	02/19/16	K		
9. -Boston Prtns Long Short Research Instl		None	L	T					
10. -SPDR S&P 500 EFT Trust	D	Distribution	L	T					
11. -Spartan Intl. Investor Class		None			Sold	02/19/16	L		
12. -Equinox Chesapeake Strategy Fund	A	Dividend	J	T					
13. -Blackrock Global		None	J	T					
14. T. Rowe Price Cap Appreciation		None			Sold	02/19/16	K	C	
15. Harbor International Institutional Fd		None			Sold	02/19/16	J	A	
16. Keeley Small Cap Value Class A		None			Sold	02/19/16	J	B	
17. Matthews Pacific Tiger Fund		None			Sold	02/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Twenty Express, Limited	C	Distribution	J	W					
19. -Vanguard Small Cap Value Index Admiral	A	Dividend	K	T					
20. -Vanguard Mid-Cap Value Index Admiral		None			Sold	02/19/16	J		
21. -Vanguard Dividend Growth Investor C1		None			Sold	02/19/16	J	A	
22. -Eagle MLP Strategy Fund CL	C	Dividend	K	T					
23. -Vanguard Small Cap Value Index Investor	A	Dividend	K	T					
24. District Columbia Income Tax Rev Bds	B	Interest	K	T					
25. San Antonio Tex Wtr Rev	B	Interest	K	T					
26. Grand Prairie Tex ISD Ultl	B	Interest	K	T					
27. Vanguard Mid-Cap Value Index Admiral		None			Sold	02/19/16	K		
28. -John Hancock Gl Abso Return Strats C1		None			Sold	02/19/16	J		
29. Vanguard Developed Merkits Index Admiral		None			Sold	03/08/16	K		
30. Equinox Chesapeake Strategy Fund C1		None	K	T					
31. BrainDead Brewing Deep Ellum, LLC	C	Distribution	K	W					
32. Juniper Sharyland Ltd.	B	Distribution	K	W					
33. AQR Multi Strgy Alternative	B	Dividend	K	T					
34. PayPal Hldgs Inc		None	K	T					

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35. Juniper Portland, Ltd.	B	Distribution	K	W					
36. John Hancock GI Abso		None			Sold	02/19/16	J		
37. Eagle MLP Strategy Fund (X)	C	Dividend	K	T	Buy	02/19/16	J		
38. Boston Partners Long Short Research Instl (X)		None	L	T	Buy	02/19/16	K		
39. AQR Multi Strgy Alternative Cl I (X)	A	Dividend	K	T	Buy	02/19/16	K		
40. Principal Real Estate Sec Instl Cl (X)	A	Dividend	J	T	Buy	02/19/16	J		
41. Vanguard Developed Mkts Index Admiral (X)	B	Dividend			Buy	02/19/16	K		
42. Vanguard Developed Mkts Index Admiral (X)		None			Sold	03/08/16	K	D	
43. DFA US Core Equity II (X)	A	Dividend	K	T	Buy	02/19/16	K		
44. Vanguard 500 Index Admiral (X)	B	Dividend	K	T	Buy	02/19/16	K		
45. Causeway Internatl Opportunities instl (X)	B	Dividend	K	T	Buy	03/08/16	K		
46. Equinox Chesapeake Strategy Fund (X)		None	K	T	Buy	06/22/16	K		
47. Prosperity Bank (X)	A	Interest	M	T	Open	12/15/16	M		
48.									
49.									
50.									
51.									

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69.									
70.									
71.									
72.									
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 5 was originally used as a header but all individual stocks are now shown so this is being closed.

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Name of Person Reporting

Rainey, John D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John D. Rainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544