

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kayatta, William J.	2. Court or Organization First Circuit	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 156 Federal Street Portland, ME 04101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Co-Trustee	Trust #2
3.	Member	University of Maine School of Law Board of Visitors
4.	Member (Resigned 3/7/13)	Board of Regents of the American College of Trial Lawyers
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Withdrawal Agreement with Pierce Atwood LLP
2. 2010	Pierce Atwood LLP Pension Plan (former employer's plan; no control)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Pierce Atwood LLP Salary 1/1/13 to 3/7/13	\$586.00
2. 2013	Pierce Atwood LLP buyout payments under Withdrawal Agreement	\$65,725.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American College of Trial Lawyers	2-25-13 to 2-28-13	Naples, Fl.	Board of Regents Meeting	Transportation, meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Pierce Atwood LLP	Contribution to cost of investiture reception	\$12,626.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. -iShares Russell 1000 Gr. Index (IWF)	A	Dividend	K	T					
3. -iShares Russell 1000 Value Index (IWD)	B	Dividend	K	T					
4. -iShares Russell 2000 Gr. Index (IWO)	A	Dividend	K	T	Donated (part)				
5.					Donated (part)				
6. -ALPS Alerian MLP ETF (AMLPE)	C	Dividend	L	T					
7. -iShares Russell 2000 Index (IWM)	A	Dividend	K	T					
8. -Vanguard Energy ETF (VDE)	A	Dividend	J	T					
9. -Vanguard Inform. Tech. ETF (VGT)	A	Dividend	K	T					
10. -iShares NASDAQ Biotech. Index (IBB)	A	Dividend	J	T					
11. -Consumer Discretionary SPDR (XLY)	A	Dividend	J	T					
12. -Fidelity Advisors New Insights Fund (FNICX)	E	Dividend	M	T					
13. -Health CARE Select SPDR (XLV)	A	Dividend	J	T					
14. -Oppenheimer Intern. Bond Fund (OIBCX)	B	Dividend	L	T					
15. -Ivy Asset Strategy Fund (WASCX)	A	Dividend	K	T					
16. -iShares Barclays MBS Bon Fund (MBB)	A	Dividend	K	T					
17. -iShares IBOXX \$ Invst Gr. Corp. Bd. Fund	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

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18. -iShares MSCI EAFE Index Fund (EFA)	A	Dividend	K	T					
19. -Materials Select Sector SPDR Fund (XLB)	A	Dividend	J	T					
20. -Sector SPDR Consumers STPL (XLP)	A	Dividend	J	T					
21. -Sector SPDR Energy (XLE)	A	Dividend	J	T					
22. -Sector SPDR Industrial (XLI)	A	Dividend	K	T					
23. -Sector SPDR Utilities (XLU)	A	Dividend	J	T					
24. -SPDR Barclays High Yield Bond ETF	C	Dividend	L	T					
25. -Vanguard Financial ETF (VFH)	A	Dividend	K	T					
26. -Vanguard Intermediate Term Bond ETF (BIV)	A	Dividend	J	T					
27. -Vanguard MSCI Emerging Mkts ETF (VWO)	A	Dividend	J	T					
28. -Vanguard Short Term Bond (BSV)	A	Dividend	J	T					
29. -Vanguard Telecommunications SRVCS ETF (VOX)	A	Dividend	J	T					
30. -Boardwalk Pipe line PTNRS	B	Dividend			Sold	03/05/13	L		
31. -FIA Card SVS NA RASP	A	Int./Div.	K	T					
32. -Elements-Rogers Tr	A	Interest	K	T					
33. -Merrill Lynch Bank Deposit Program	A	Interest	O	T					
34. -BIF Money Fund	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Blackrock Energy & Resources (BGR)	D	Dividend	M	T	Buy	12/11/13	M		
36. -Henderson Global Equity (HFQAX)	A	Dividend	K	T	Buy	12/09/13	K		
37. -SPDR S&P 500 ETF (SPY)	A	Dividend	M	T	Buy	10/11/13	M		
38. 401(k) Account #1(H)									
39. -Vanguard Bond Index Fund (BND)	D	Dividend	M	T	Buy (add'l)	02/06/13	J		
40.					Buy (add'l)	03/27/13	J		
41.					Sold (part)	06/25/13	K		
42.					Sold (part)	10/03/13	K		
43. -SPDR BarCap Short-term Corp. Bond (SCPB)	B	Dividend	L	T	Buy (add'l)	06/25/13	L		
44.					Sold (part)	10/09/13	K	A	
45. -iShares Barclays 7-10 Treasury Bond Fund (IEF)	A	Dividend	K	T	Buy (add'l)	03/27/13	J		
46. -iShares Aaa-A Rated Corp. Bond (QLTA)	A	Dividend	K	T					
47. -Vanguard Total Stock Market Index (VTI)	B	Dividend	L	T	Buy (add'l)	10/03/13	J		
48. -Vanguard Dividend Appreciation (VIG)	C	Dividend	M	T	Sold (part)	06/28/13	K	C	
49. -Powershares S&P ETF (SPLV)	B	Dividend	L	T	Sold (part)	10/03/13	K	C	
50. -Powershares QQQ Trust, Series 1 (QQQ)	B	Dividend	M	T	Sold (part)	02/06/13	L	D	
51.					Sold (part)	10/03/13	J	C	

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52. -iShares Russell 2000 Index (IWM)	B	Dividend	M	T	Buy (add'l)	06/12/13	L		
53. -EGA: Emerging Markets Consumer ETF (ECON)	A	Dividend	K	T					
54. -SPDR Gold Trust ETF (GLD)		None			Sold	02/20/13	K	A	
55. -Powershares DB Commodity Index Tracking Fund (DBC)		None	L	T					
56. -iShares Gold Trust ETF (IAU)	A	Dividend	L	T	Buy (add'l)	03/27/13	J		
57.					Buy (add'l)	06/28/13	K		
58. -Vanguard MSCI EAFE ETF (VEA)	B	Dividend	L	T	Buy (add'l)	10/03/13	K		
59. -Vanguard MSCI Emerging Markets Index (VWO)	A	Dividend	K	T					
60. -iShares MSCI Hong Kong Index (EWH)		None			Buy (add'l)	02/06/13	L		
61.					Sold	06/07/13	M		
62. -iShares MSCI Germany Index (EWG)	B	Dividend	M	T	Buy (add'l)	06/17/13	K		
63. --iShares Barclays Tips Bond Fund ((TIP)	A	Dividend	K	T	Sold (part)	02/06/13	K	A	
64. -Wisdon Tree Asia Local Debt (ALD)	B	Dividend	L	T	Buy (add'l)	03/27/13	J		
65. -Powershares Emerging Markets Sovereign Debt (PCY)	A	Dividend			Sold	01/17/13	L	A	
66. -Vanguard REIT Index (VNQ)	C	Dividend	K	T	Sold (part)	02/06/13	L	D	
67.					Sold (part)	06/25/13	K	D	
68. -iShares FTSE EPRA/NAREIT (IFGL)	A	Dividend			Sold	06/12/13	M	D	

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69. -Schwab Sweep Account	A	Interest	K	T					
70. -iShares MSCI United K. (EWU)	B	Dividend	L	T	Buy	06/07/13	L		
71. -SPDR S&P 500 (SPY)	B	Dividend	M	T	Buy	02/20/13	L		
72.					Buy (add'l)	06/12/13	L		
73. -Vanguard Int. Equity ETF	B	Dividend	K	T	Buy	10/09/13	K		
74. -Wisdom Tree Japan (DXJ)	B	Dividend	L	T	Buy	01/17/13	L		
75.					Buy (add'l)	02/06/13	L		
76.					Sold (part)	06/28/13	J	D	
77. -Wisdom Tree Trust (BZF)		None	L	T	Buy	10/09/13	L		
78. -Pimco ETF (HYS)	B	Dividend	L	T	Buy	02/06/13	K		
79.					Buy (add'l)	06/25/13	K		
80. Brokerage Account #2 (H)									
81. -Citibank NA Account (X)	A	Interest	J	T					
82. -Global X. Norway ETF (NORW)	A	Dividend	K	T	Buy	02/27/13	K		
83.					Buy (add'l)	08/07/13	J		
84.					Sold (part)	12/16/13	J	A	
85. -iShares N. America Tech ETF (IGM)	A	Dividend	K	T	Buy	12/16/13	K		

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86. -iShares NASDAQ Biotech ETF (IBB)	A	Dividend	M	T	Buy	02/22/13	L		
87.					Buy (add'l)	08/02/13	K		
88. -iShares US Consumer Goods ETF (IYK)	A	Dividend	K	T	Buy	02/22/13	K		
89. -iShares US Energy ETF (IYE)	A	Dividend	L	T	Buy	02/22/13	L		
90. -iShares US Oil Equip. & SER ETF (IEZ)	A	Dividend	L	T	Buy	02/22/13	L		
91. -iShares US Pharma ETF (IHE)	B	Dividend	M	T	Buy	02/22/13	L		
92. -iShares US Tech ETF (IYW)	A	Dividend	L	T	Buy	02/22/13	L		
93. -iShares US Telecomm ETF (IYZ)	B	Dividend	L	T	Buy	02/22/13	L		
94. -Powershares Water Res ETF (PHO)	A	Dividend	K	T	Buy	12/19/13	K		
95. -Clearbridge Aggressive Growth (SAGYX)	A	Dividend	L	T	Buy	02/22/13	L		
96. -Achillon Pharma (ACHN) (X)		None			Sold	02/22/13	J	A	
97. -Amgen (AMGN) (X)	A	Dividend			Sold	02/22/13	K	A	
98. -Biogen Idec (BIIB) (X)		None			Sold	02/22/13	K	A	
99. -Bristol Myers Squibb (BMY)(X)		None			Sold	02/22/13	K	A	
100. -Calgene (CELG)(X)		None			Sold	02/22/13	K	A	
101. -ExxonMobil (XOM)(X)	A	Dividend			Sold	02/22/13	K	A	
102. -Kraft Foods (KRFT)(X)		None			Sold	02/22/13	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -NPS Pharmaceutical (NPSD)(X)		None			Sold	02/22/13	J	A	
104. -Nuance Communications (NUAN) (X)		None			Sold	02/08/13	J	A	
105. -iShares Gold Trust (X)		None			Sold	06/25/13	J	A	
106. -Vodafone (VOD) (X)		None			Sold	02/22/13	J	A	
107. -Cabot Oil and Gas (COG) (X)	A	Dividend			Sold	02/22/13	K	A	
108. -Centurylink (CTL)(X)		None			Sold	02/22/13	K	A	
109. -General Motors (GM)(X)	A	Dividend			Sold	12/03/13	J	A	
110. -Gilead Science (GILD) (X)		None			Sold	02/22/13	K	A	
111. -IBM (IBM)(X)	A	Dividend			Sold	02/22/13	K	A	
112. -Ironwood Pharma (IRWD)(X)		None			Sold	02/22/13	K	A	
113. -Regeneron Pharma (REGN) (X)		None			Sold	02/22/13	K	A	
114. -Apple (AAPL) (X)	A	Dividend			Sold	02/22/13	K	A	
115. -AT&T (T) (X)	A	Dividend			Sold	02/22/13	K	A	
116. -Google (GOOG)(X)		None			Sold	02/22/13	K	A	
117. -Merck (MRK) (X)		None			Sold	02/22/13	K	A	
118. -Schlumberger (SLB) (X)	A	Dividend			Sold	02/22/13	J	A	
119. -Seadrill LTD (SDRL) (X)		None			Sold	02/22/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Vodafone (VOD) (X)		None			Sold	02/22/13	K	A	
121. Pierce Atwood Capital Contribution Notes	E	Interest	N	U	Matured (part)	03/01/13	L	A	
122. Key Bank IRA (CD)	A	Interest	J	T					
123. Bank of America Deposit Account	A	Interest	J	T					
124. Trust #1 (H)									
125. -Fidelity Growth Company	A	Dividend	J	T					
126. Trust # 2 (H)									
127. -ACHN		None			Sold	02/22/13	J	A	
128. -AMZN		None			Sold	02/22/13	K	C	
129. -AMGN	A	Dividend			Sold	02/22/13	K	D	
130. -AAPL	A	Dividend			Sold	02/22/13	K	D	
131. -T	A	Dividend			Sold	02/22/13	K	D	
132. -BPFH	A	Dividend			Sold	02/22/13	J	A	
133. -COG	A	Dividend			Sold	02/22/13	K	D	
134. -CAT		None			Sold	02/22/13	J	B	
135. -CELG		None			Sold	02/22/13	J	C	
136. -CTL		None			Sold	02/22/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Kayatta, William J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -CVX	A	Dividend			Sold	02/22/13	J	C	
138. -CMI	A	Dividend			Sold	02/22/13	J	B	
139. -DEG		None			Sold	02/22/13	J	C	
140. -EW		None			Sold	02/22/13	K	C	
141. -XOM	A	Dividend			Sold	02/22/13	J	B	
142. -GILD		None			Sold	02/22/13	K	D	
143. -GOOG		None			Sold	02/22/13	K	C	
144. -HNZ		None			Sold	02/22/13	J	D	
145. -IRWD		None			Sold	02/22/13	K	C	
146. -IAU		None			Sold (part)	06/25/13	J		
147.					Sold	12/06/13	J		
148. -KUB		None			Sold	02/22/13	J	B	
149. -NPSP		None			Sold	02/22/13	J	D	
150.					Buy	10/03/13	K		
151.					Sold	12/16/13	L	A	
152. -PHO	A	Dividend	J	T					
153. -PG	A	Dividend			Sold	02/22/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -REGN		None			Sold	02/22/13	K	E	
155. -SLB	A	Dividend			Sold	02/22/13	J	B	
156. -SDRL		None			Sold	02/22/13	K	D	
157. -UNM	A	Dividend			Sold	02/22/13	J	B	
158. -VZ	A	Dividend			Sold	02/22/13	J	A	
159. -VOD	A	Dividend			Sold	02/22/13	J	A	
160. -WMT		None			Sold	02/22/13	J	C	
161. -WPRT		None			Sold	02/22/13	J	A	
162. -WFM	A	Dividend			Sold	02/22/13	J	B	
163. -CHK.D		None			Sold	02/22/13	J	A	
164. -GM.B	A	Dividend			Sold	02/22/13	J	A	
165. -Maine ST. Genl Purp Bond	A	Interest			Redeemed	06/17/13	J	A	
166. -Maine Hlth & Higher EDL FACS AUTH REV SER-A	A	Interest	J	T					
167. -Maine EDL LN AUTH STUDENT LN REV	A	Interest	K	T					
168. -Stratasys LTD (SSYS)		None			Buy	01/22/13	J		
169.					Sold	02/22/13	J	A	
170. -3D Systems Corp		None			Buy	01/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Kayatta, William J.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	02/22/13	J		
172. -Global X Norway ETF (NORW)	A	Dividend	K	T	Buy	02/22/13	K		
173. -iShares NASDAQ Biotech ETF (IBB)	A	Dividend	M	T	Buy	02/22/13	L		
174. -iShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Buy	02/22/13	L		
175. -iShares US Energy ETF (IYE)	A	Dividend	K	T	Buy	02/22/13	K		
176. -iShares US Financials ETF (IYF)	A	Dividend	K	T	Buy	02/22/13	K		
177. -iShares Industrials ETF (IYJ)	A	Dividend	K	T	Buy	02/22/13	K		
178. -iShares US Medical Devices ETF (IHI)	A	Dividend	K	T	Buy	02/22/13	K		
179. -iShares US Oil & Equip & SER ETF (IEZ)	A	Dividend	J	T	Buy	02/22/13	J		
180. -iShares US Pharm (IHE)	A	Dividend	K	T	Buy	02/22/13	K		
181. -iShares US Tech ETF (IYZ)	A	Dividend	K	T	Buy	02/22/13	K		
182. -iShares US Telecom ETF (IYZ)	B	Dividend	L	T	Buy	02/22/13	L		
183. -Morgan Stanley Liquid Asset Fund	A	Interest	L	T	Buy	02/22/13	L		
184.									
185.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William J. Kayatta**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544