

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Steven J.	2. Court or Organization U.S. District Court - New Hampshire	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Warren B. Rudman U.S. Courthouse 55 Pleasant Street, Room 416 Concord, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC
6.	Member	Pleasant Lake Tributaries, LLC
7.	Member	Pleasant Lake Cottages, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA American Funds: Investment Company of America	B	Dividend	L	T					
3. IRA American Funds: Washington Mutual Investors Fund	A	Dividend	J	T					
4. ExxonMobil Corp	A	Dividend	J	T					
5. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
6. IRA Franklin Templeton For. Fund		None			Sold	01/22/14	L	A	
7. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	J	T					
8. -UBS RMA Cash Account	A	Interest	J	T					
9. -Guided Therapeutics Inc		None	J	T					
10. -Bolt Tech Corp	A	Dividend			Sold	11/19/14	J	A	
11. -Facebook Inc	A	Dividend	J	T					
12. -Autodesk Inc	A	Dividend	J	T					
13. -Ambarella Inc	A	Dividend	J	T					
14. -InvenSense Inc	A	Dividend	J	T	Buy (add'l)	03/06/14	J		
15. -Allegiant	A	Dividend	J	T	Buy	03/05/14	J		
16. -Exact Sciences Corp		None	J	T	Buy	12/08/14	J		
17. -Ford Motor Company	A	Dividend	J	T	Buy	11/10/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Kandi Technologies		None	J	T	Buy	11/14/14	J		
19. -NXP Semiconductors	A	Dividend	J	T	Buy	06/04/14	J		
20. -Zoe's Kitchen Inc		None	J	T	Buy	09/08/14	J		
21. CVS Health Corp. (Name Changed 9/3/14)	A	Dividend	J	T					
22. IRA iShares Russell Fund	B	Dividend	K	T					
23. IRA Midcap SPDR TR	B	Dividend	K	T					
24. UBS Bank USA Dep Acct	A	Dividend	M	T					
25. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
26. Enterprise Products Partners LP	B	Dividend	K	T					
27. Kinder Morgan Energy Partners LP	A	Dividend			Sold	03/27/14	J	A	
28. iShares TR Russell 2000 Index Fund	B	Dividend	L	T					
29. Norfolk Southern Corp	A	Dividend			Sold	10/30/14	J	A	
30. IRA iShares Russell Midcap	A	Dividend	J	T					
31. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
32. IRA Grainger WW Inc	A	Dividend			Sold	07/28/14	J	A	
33. Apple Inc	B	Dividend	K	T					
34. Johnson & Johnson Inc	A	Dividend	J	T					

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35. Colgate-Palmolive Company	A	Dividend			Sold	10/30/14	J	A	
36. Northern Trust Prime Obligation Fund 9/1	A	Dividend	K	T					
37. Simon Property Group Inc	B	Dividend	K	T					
38. Florida St Brd Ed Pub Ed Cap	B	Interest	K	T					
39. iShares iBoxx Invnt Grade DE Corp Bond Fund	B	Dividend	K	T					
40. Tennessee St Ser A	A	Interest	K	T					
41. Fairfax Cty VA Pub Ser A	B	Interest	K	T					
42. Autoliv Inc	A	Dividend			Sold	10/15/14	L	E	
43. JM Smucker Company New		None			Sold	01/22/14	K	D	
44. First Colebrook Bank	A	Dividend	J	T					
45. AFLAC Inc	A	Dividend			Sold	07/03/14	J	B	
46. Danaher Corp	A	Dividend	J	T					
47. Google Inc (MCSB)	A	Dividend	J	T					
48. JP Morgan Chase & Company	A	Dividend	J	T					
49. Oracle Corp	A	Dividend	J	T					
50. Starbucks Corp	A	Dividend	J	T					
51. Sysco Corp	A	Dividend			Sold	07/03/14	J	C	

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52. BHP Billiton Ltd	A	Dividend			Sold	10/30/14	J	A	
53. iShare MSCI EAFE Index Fund	B	Dividend	L	T					
54. iShare Trust MSCI Emerging Markets	A	Dividend			Sold	03/27/14	J	B	
55. NH St Genl Ob Ser A	A	Interest	K	T					
56. NH Muni Bond Ser B	A	Interest	K	T					
57. TJX Companies Inc	A	Dividend	J	T					
58. VISA Inc	B	Dividend	K	T					
59. Qualcomm Inc	A	Dividend	J	T					
60. Chevron Corp (MCSB)	A	Dividend			Sold	10/30/14	J	C	
61. Citrix Systems Inc	A	Dividend			Sold	07/03/14	J	A	
62. Coca Cola Company	A	Dividend			Sold	07/03/14	J	B	
63. Emerson Electric Company	A	Dividend			Sold	10/30/14	J	A	
64. Prudential Financial Inc	A	Dividend			Sold	10/30/14	J	C	
65. S&P 400 Midcap Index	A	Dividend			Sold	03/27/14	J	C	
66. Google Inc (UBS)	A	Dividend	K	T					
67. Home Depot Inc	A	Dividend	K	T					
68. General Electric Company	A	Dividend	K	T					

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69. Henderson Global Equity	A	Dividend	K	T					
70. Brown-Forman Corp	A	Dividend	K	T					
71. Chevron Corp (UBS)	A	Dividend	K	T					
72. iShares Trust Russell 1000	A	Dividend	K	T					
73. Abbott Laboratories	A	Dividend	J	T					
74. American Express Company	A	Dividend	J	T					
75. BB&T Corp	A	Dividend			Sold	07/03/14	J	C	
76. Celgene Corp	B	Dividend	K	T					
77. Comcast Corp New	A	Dividend			Sold	03/27/14	J	A	
78. eBay Inc	A	Dividend			Sold	07/03/14	J	A	
79. Fluor Corp	A	Dividend			Sold	03/27/14	J	A	
80. US Bancorp	A	Dividend			Sold	10/30/14	J	B	
81. AbbVie Inc	A	Dividend	J	T					
82. Neuberger Berman MLP Income Fund	A	Dividend			Sold	03/27/14	J	A	
83. Valero Energy Corp	A	Dividend			Sold	07/03/14	J	B	
84. Michael Kors	A	Dividend	J	T	Sold (part)	03/27/14	J	A	
85. CH Robinson Worldwide Inc		None			Sold	03/27/14	J	A	

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86. Fluor Corp		None			Sold	03/27/14	J	A	
87. Cerner Corp		None	J	T	Sold (part)	07/03/14	J	A	
88. Chubb Corp	A	Dividend	K	T					
89. Gilead Sciences Inc	A	Dividend	K	T					
90. Henderson Global FDS Euro	A	Dividend	K	T					
91. Washington Prime Group Inc Com	A	Dividend	J	T	Spinoff (from line 37)	05/29/14	J		
92. Biogen Idec	A	Dividend	K	T	Buy	02/19/14	K		
93. British American Tobacco PLC	A	Dividend	K	T	Buy	04/22/14	K		
94. Cigna Corp	B	Dividend	K	T	Buy	01/16/14	K		
95. HCA Holdings Inc	B	Dividend	K	T	Buy	01/16/14	K		
96. International Paper Company	A	Dividend	K	T	Buy	02/19/14	K		
97. Parexel International Corp	A	Dividend	K	T	Buy	02/19/14	K		
98. Veritiv Corp Com		None	J	T	Spinoff (from line 96)	02/19/14	J		
99. SPDR Barclays Convertible Securities	A	Dividend	K	T	Buy	03/19/14	K		
100. SPDR S&P MidCap 400 ETF TR	C	Dividend	L	T	Buy	03/19/14	L		
101. Technology Sector SPDR Trust SHS	B	Dividend	K	T	Buy	01/16/14	K		
102. Johnson County Kansas Water District #1 Bond	A	Interest	K	T	Buy	01/16/14	K		

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103. Brentwood Tennessee G.O. Bond	A	Interest	K	T	Buy	01/16/14	K		
104. Minn St Colleges & SR A Revenue Bond	A	Interest	K	T	Buy	01/16/14	K		
105. Vermont Municipal Bond Bank SRL Revenue Bond	A	Interest	K	T	Buy	01/16/14	K		
106. Pimco Real Return Fund Class A	A	Dividend	K	T	Buy	10/09/14	K		
107. Calamos Market Neutral Income Fund Class A	A	Dividend	K	T	Buy	10/09/14	K		
108. First Eagle Global Fund Class A	A	Dividend	K	T	Buy	10/09/14	K		
109. Helmerich & Payne Inc	A	Dividend			Buy	01/16/14	K		
110. Helmerich & Payne Inc	A	Dividend			Sold	09/11/14	K	C	
111. Templeton Global Income Fund (DELA)	A	Dividend			Buy	03/19/14	L		
112. Templeton Global Income Fund (DELA)	A	Dividend			Sold	11/20/14	L	A	
113. IRA Henderson Global Investors European Focus Fund	B	Dividend	L	T	Buy	01/16/14	L		
114. Shumac LLC 50% Int (Holds Lake Property in Merrimack County, NH)		None	N	W					
115. Pleasant Lake Tributaries, LLC (Real Estate)		None	K	W	Buy	09/10/14	K		
116. Pleasant Lake Cottages, LLC (Real Estate)		None	L	W	Buy	09/10/14	L		
117. R.P. Jobin, Personal Loan		None	K	T					
118. Oppenheimer Developing Markets	B	Dividend	K	T	Buy	03/28/14	K		
119. Ivy International Core Equity	B	Dividend	K	T	Buy	03/28/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Convergence Core Plus Institutional	B	Dividend	K	T	Buy	03/28/14	K		
121. Delaware Small Cap Value Institutional	B	Dividend	K	T	Buy	03/28/14	K		
122. Disney	A	Dividend	J	T	Buy	07/03/14	J		
123. National Oilwell Varco	A	Dividend	J	T	Buy	07/03/14	J		
124. Vanguard Information Technology ETF	A	Dividend	J	T	Buy	10/30/14	J		
125. Verizon Communications Inc		None	J	T	Buy	10/30/14	J		
126. VMware Inc Class A		None	J	T	Buy	10/30/14	J		
127. Walgreen Company	A	Dividend	J	T	Buy	10/30/14	J		
128. Wells Fargo & Company	A	Dividend	J	T	Buy	10/30/14	J		
129. PNC Financial Services Group		None	J	T	Buy	10/30/14	J		
130. PepsiCo Inc		None	J	T	Buy	10/30/14	J		
131. T. Rowe Price	A	Dividend	J	T	Buy	10/30/14	J		
132. Priceline Group		None	J	T	Buy	10/30/14	J		
133. Procter & Gamble Company		None	J	T	Buy	10/30/14	J		
134. Salesforce.com Inc		None	J	T	Buy	10/30/14	J		
135. Schlumberger Ltd		None	J	T	Buy	10/30/14	J		
136. Simon Property Group Inc	A	Dividend	J	T	Buy	10/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Tesoro Petroleum Corp	A	Dividend	J	T	Buy	10/30/14	J		
138. Thermo Fisher Scientific Inc		None	J	T	Buy	10/30/14	J		
139. Union Pacific Corp		None	J	T	Buy	10/30/14	J		
140. United Technologies Corp	A	Dividend	J	T	Buy	10/30/14	J		
141. UnitedHealth Group	A	Dividend	J	T	Buy	10/30/14	J		
142. EOG Resources Inc		None	J	T	Buy	10/30/14	J		
143. Express Scripts Holding Company		None	J	T	Buy	10/30/14	J		
144. FedEx Corp		None	J	T	Buy	10/30/14	J		
145. Ford Motor Company	A	Dividend	J	T	Buy	10/30/14	J		
146. General Electric Company		None	J	T	Buy	10/30/14	J		
147. Gilead Sciences Inc		None	J	T	Buy	10/30/14	J		
148. International Business Machines	A	Dividend	J	T	Buy	10/30/14	J		
149. Lowe's Companies Inc		None	J	T	Buy	10/30/14	J		
150. MetLife Inc	A	Dividend	J	T	Buy	10/30/14	J		
151. Microsoft Corp	A	Dividend	J	T	Buy	10/30/14	J		
152. Nike Inc Class B		None	J	T	Buy	10/30/14	J		
153. Occidental Petroleum Company		None	J	T	Buy	10/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Barrick Gold Corp	A	Dividend	J	T	Buy	10/30/14	J		
155. Berkshire Hathaway Inc		None	J	T	Buy	10/30/14	J		
156. Boeing Company	A	Dividend	J	T	Buy	10/30/14	J		
157. Cerner Corp		None	J	T	Buy	10/30/14	J		
158. Chubb Corp		None	J	T	Buy	10/30/14	J		
159. Costco Wholesale Corp	A	Dividend	J	T	Buy	10/30/14	J		
160. Dominion Resources Inc		None	J	T	Buy	10/30/14	J		
161. E.I. DuPont de Nemours & Company	A	Dividend	J	T	Buy	10/30/14	J		
162. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	K	T					
163. Johnson & Johnson [C]	A	Dividend	J	T					
164. Deere & Company [C]	A	Dividend	J	T					
165. AFLAC Inc [C]	A	Dividend			Sold	10/30/14	J	A	
166. Apple Inc [C]	A	Dividend	K	T					
167. Abbott Labs [C]	A	Dividend			Sold	10/30/14	J	B	
168. Chevron Corp [C]	A	Dividend			Sold	09/03/14	K	D	
169. Colgate-Palmolive Company [C]	A	Dividend	J	T					
170. Danaher Corp [C]	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. E.I. DuPont de Nemours & Company [C]	A	Dividend	J	T					
172. JP Morgan Chase & Company [C]	A	Dividend	K	T					
173. Nike Inc [C]	B	Dividend	K	T					
174. Oracle Corp [C]	A	Dividend	K	T					
175. Procter & Gamble Company [C]	A	Dividend	K	T					
176. Spectra Energy Corp [C]	A	Dividend	J	T					
177. Starbucks Corp [C]	A	Dividend	J	T					
178. Sysco Corp [C]	A	Dividend			Sold	07/03/14	J	C	
179. UnitedHealth Group [C]	A	Dividend	K	T					
180. Wells Fargo & Company [C]	A	Dividend	K	T					
181. Buffalo Small Cap Fund [C]	A	Dividend			Sold	07/03/14	J	C	
182. iShares Trust MSCI EAFE Index Fund [C]	A	Dividend			Sold	03/11/14	J	C	
183. iShares Trust MSCI Emerging Markets [C]	A	Interest			Sold	03/11/14	K	B	
184. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
185. F. Templeton Global Bond A [K]	A	Dividend	J	T					
186. Arbitrage Fund [K]	A	Dividend	J	T					
187. BlackRock Equity Dividend Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Calamos Market Neutral Income Fund [K]	A	Dividend	J	T					
189. Calamos International Growth Fund [K]	A	Dividend	J	T					
190. Dreyfus Small Cap Value Fund [K]	A	Dividend	J	T					
191. Natixis Loomis Sayles Core Plus Bond Fund [K]	A	Dividend	J	T					
192. Royce Premier Fund [K]	A	Dividend	J	T					
193. Laudus Growth Inv US Large Cap Fund [K]	A	Dividend	J	T					
194. UBS Pimco College Fund 529(1) [K]	A	Interest	J	T					
195. UBS Pimco College Fund 529(2) [K]	A	Interest	K	T					
196. Fidelity Advisor Materials Fund Class A [K]	A	Dividend	J	T					
197. Invesco Developing Markets Fund Class A [K]	A	Dividend	J	T					
198. Oakmark International Fund [K]	A	Dividend	J	T					
199. Delaware Corporate Bond Fund A [K]	A	Dividend	J	T					
200. Ivy High Income Fund [K]	A	Dividend	J	T					
201. Metropolitan West Total Return Bond Fund [K]	A	Dividend	J	T					
202. Pimco Real Return Fund [K]	A	Dividend	J	T					
203. RidgeWorth High Grade Municipal Bond Fund [K]	A	Dividend	J	T					
204. T. Rowe Price Tax Free Short Intermediate Fund [K]	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. TCW Emerging Markets Income Fund [K]	A	Dividend	J	T					
206. MainStay Marketfield Fund [K]	A	Dividend	J	T					
207. First Eagle Global Fund [K]	A	Dividend	J	T					
208. Prudential Financial Inc [C]	A	Dividend	J	T					
209. S&P 400 Midcap Index [C]	A	Dividend	J	T					
210. Citrix Systems Inc [C]	A	Dividend			Sold	07/03/14	J	A	
211. Cognizant Technology Solutions [C]	A	Dividend			Sold	03/11/14	K	D	
212. Cummins Inc [C]	A	Dividend	J	T					
213. BlackRock Equity Dividend Fund A [C]	A	Dividend	K	T					
214. Harbor International Fund Investor Class [C]	A	Dividend	J	T					
215. Henderson Global Investors International Opportunities Fund A [C]	A	Dividend	J	T					
216. Voya Global Real Estate Fund Class A [C] (Name Change)	A	Dividend	J	T					
217. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend	K	T					
218. Neuberger Berman Genesis Fund Investor Class [C]	A	Dividend	J	T					
219. Oppenheimer Developing Markets Fund Class A [C]	A	Dividend	K	T					
220. Royce Premier Fund [C]	A	Dividend	J	T					
221. F. Templeton Global Bond A [C]	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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222. Pimco Total Return Fund Class A [C]	B	Dividend	K	T					
223. Wilmington Short/Intermediate-Term Bond Fund [C]	B	Dividend	K	T					
224. Aberdeen Equity Long-Short Fund Class A [C]	A	Dividend	J	T					
225. JP Morgan Multi-cap Market Neutral Fund [C]	A	Dividend	J	T					
226. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T					
227. VISA Inc [C]	A	Dividend	K	T					
228. Qualcomm Inc [C]	A	Dividend	J	T					
229. Whole Foods Market Inc [C]	A	Dividend			Sold	07/03/14	J	C	
230. iShares FTSE/Xinhua China 25 Index Fund [C]	A	Dividend			Sold	03/11/14	J	A	
231. BB&T Corp [C]	B	Dividend			Sold	10/30/14	J	B	
232. Celgene Corp [C]	A	Dividend	K	T	Sold (part)	10/30/14	J	B	
233. Comcast Corp New [C]	A	Dividend			Sold	03/11/14	J	C	
234. eBay Inc [C]	A	Dividend			Sold	07/03/14	J	A	
235. Fluor Corp [C]	A	Dividend			Sold	03/11/14	K	D	
236. US Bancorp [C]	A	Dividend			Sold	10/30/14	K	C	
237. AbbVie Inc [C] (From Abbott Labs)	A	Dividend	J	T	Sold (part)	10/30/14	J	A	
238. JP Morgan Perpetual Preferred [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239. iShares MSCI Pacific [C]	A	Dividend			Sold	03/11/14	J	A	
240. Kinder Morgan Energy Partners LP [C]	A	Dividend			Sold	03/11/14	J	A	
241. Neuberger Berman Income Fund [C]	A	Dividend			Sold	07/03/14	J	A	
242. Valero Energy Corp [C]	A	Dividend			Sold	09/03/14	K	C	
243. Michael Kors [C]	A	Dividend	J	T					
244. Chesapeake Energy Corp [C]	A	Dividend			Sold	03/11/14	J	A	
245. CH Robinson Worldwide Inc [C]		None			Sold	03/11/14	K	A	
246. Barrick Gold Corp [C]	A	Dividend	J	T	Buy	10/30/14	J		
247. Berkshire Hathaway Inc [C]		None	J	T	Buy	10/30/14	J		
248. Chubb Corp [C]		None	J	T	Buy	10/30/14	J		
249. Costco Wholesale Corp [C]	A	Dividend	J	T	Buy	10/30/14	J		
250. Disney [C]		None	J	T	Buy	10/30/14	J		
251. Dominion Resources [C]	A	Dividend	J	T	Buy	10/30/14	J		
252. EOG Resources Inc [C]	A	Dividend	J	T	Buy	10/30/14	J		
253. ExxonMobil Corp [C]	A	Dividend	J	T	Buy	10/30/14	J		
254. Ford Motor Company [C]	A	Dividend	J	T	Buy	10/30/14	J		
255. General Electric Company [C]	A	Dividend	J	T	Buy	10/30/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Lowe's Companies Inc [C]		None	J	T	Buy	10/30/14	J		
257. MetLife Inc [C]	A	Dividend	J	T	Buy	10/30/14	J		
258. Morgan Stanley [C]	A	Dividend	J	T	Buy	10/30/14	J		
259. National Oilwell Varco [C]	A	Dividend	J	T	Buy	10/30/14	J		
260. Occidental Petroleum Corp [C]		None	J	T	Buy	10/30/14	J		
261. PNC Financial Services Group [C]	A	Dividend	J	T	Buy	10/30/14	J		
262. PepsiCo Inc [C]		None	J	T	Buy	10/30/14	J		
263. Priceline Group Inc [C]		None	J	T	Buy	10/30/14	J		
264. Schlumberger Ltd [C]		None	J	T	Buy	10/30/14	J		
265. Simon Property Group Inc [C]	A	Dividend	J	T	Buy	10/30/14	J		
266. T. Rowe Price Group Inc [C]	A	Dividend	J	T	Buy	10/30/14	J		
267. Tesoro Petroleum Corp [C]	A	Dividend	J	T	Buy	10/30/14	J		
268. TJX Companies [C]	A	Dividend	J	T	Buy	10/30/14	J		
269. Verizon Communications Inc [C]		None	J	T	Buy	10/30/14	J		
270. Walgreen Company [C]	A	Dividend	J	T	Buy	10/30/14	J		
271. Oppenheimer Developing Markets [C]	A	Dividend	J	T	Buy	10/30/14	J		
272. Ivy International Core Equity [C]	A	Dividend	K	T	Buy	10/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Convergence Core Plus Institutional [C]	A	Dividend	J	T	Buy	10/30/14	J		
274. Delaware Small Cap Value [C]	A	Dividend	J	T	Buy	10/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 7. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven J. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544