

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jolson, Kimberly A.	2. Court or Organization U.S. District Court for the Southern District of Ohio	3. Date of Report 08/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 235 Joseph P. Kinneary U.S. Courthouse 85 Marconi Blvd Columbus, OH 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2010	Retirement plan with Jones Day (former law firm)
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Jones Day (salary)	\$6,147.50
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Nationwide Mutual Insurance Company (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Education Services	Student Loan	K
2.	American Education Services	Student Loan	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One (various accounts) (Y)									
2. Fifth Third Bank (various accounts) (X)	A	Interest	J	T					
3. Ohio Public Employees Retirement System (H)									
4. OPERS Stable Value Fund		None	J	T					
5. OPERS Bond Index Fund		None	J	T					
6. OPERS Stock Index Fund		None	K	T					
7. OPERS Large Cap Index Fund		None	K	T					
8. OPERS Small Cap Index Fund		None	J	T					
9. OPERS Non-US Stock Index Fund		None	J	T					
10. 529 Plan #1 (H)									
11. Aggressive Age-Based College Advantage 529 Vanguard Port. & 500 Index	A	Interest			Sold	11/22/16	J		
12. Vanguard Aggressive Age-Based Portfolio, Aggressive Growth Index Port.		None	J	T	Buy	11/22/16	J		
13. 529 Plan #2 (H)									
14. Aggressive Age-Based College Advantage 529 Vanguard Port. & 500 Index	A	Interest			Sold	11/22/16	J		
15. Vanguard Aggressive Age-Based Portfolio, Aggressive Growth Index Port.		None	K	T	Buy	11/22/16	J		
16. 401K #1 (H)									
17. Fidelity 2045 Model Portfolio		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. 401K #2 (H)									
19. Jones Day 2015 Fund		None	K	T					
20. Jones Day 2045 Fund		None	M	T					
21. Brokerage Account #1 (H)									
22. Bond PIMCO Total Return ETF		None			Sold	02/11/16	J		
23. SPLV Powershares SNP 500 (Y)									
24. BP PLC-ADR (BP)	A	Dividend	J	T					
25. GE Co. Stock (Y)									
26. PSCH Powershares ETF (PSCH)		None	J	T					
27. SCHG Schwab Strategic TR (Y)									
28. VDE Vanguard Energy ETF (VDE)	A	Dividend	J	T					
29. IRA #1 (H)									
30. Vanguard Total Stock Market Index Inv.	A	Dividend	J	T					
31. Insurance Policies (H)									
32. Guardian Whole Life Insurance Policy	B	Interest	K	T					
33. USAA Whole Life Insurance	A	Interest	J	T					
34. USAA Universal Life Insurance (policy #1)	A	Interest	J	T					

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35. USAA Universal Life Insurance (policy #2)	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II, line 1: This information was reflected only in Part VII of my prior report.

Part III A.: Earnings for work in the private sector before taking the bench.

Part VI, line 3 of the initial report was below the reporting threshold throughout 2016 and therefore was omitted from this filing.

Part VII, lines 4-9, 12, 15, 17, 19, and 20: These investments report only adjustments to unit value, not income earned on individual holdings, therefore Column B(2) reflects "None," per page 40 of the filing instructions.

Part VII, line 22: The sale of this asset was inadvertently omitted from my prior report.

Part VII, line 30: Name change; see line 15 of my prior report.

Part VII, lines 34 and 35: These entries were listed cumulatively in my prior report on line 17.

Wageworks BYN Mellon Health Savings identified in Part VIII of the initial report was below the reporting threshold throughout 2016 and therefore was omitted from this filing.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kimberly A. Jolson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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