

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Battani, Marianne O.	2. Court or Organization USDC, Eastern District of MI	3. Date of Report 04/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 231 West Lafayette Detroit, Michigan 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Pretrial Skills Judge	Cooley Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1982	State of Michigan Defined Benefit Plan(pension)
2.	1982	County of Wayne Defined Benefit Plan(pension)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Cooley Law School, compensation	\$900.00
2. 2012	Michigan Judges' Retirement System	\$36,311.16
3. 2012	Wayne County Retirement System	\$19,208.76
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INDIVIDUAL ACCOUNT									
2. -Fidelity Accounts(cash, cash equivalent)	A	Int./Div.	L	T					
3. -Puritan	A	Dividend			Sold	12/12/12	J		
4.									
5. EDWARD JONES IRA ACCOUNT	E	Int./Div.	N	T					
6. -Capital Income Builder Fund C I A					Buy (add'l)	02/21/12	J		
7. -Capital Income Builder Funds C I B									
8. -Capital World Growth & Income Fund C I A					Sold (part)	02/21/12	J		
9. -Capital World Growth & Income Fund C I B					Sold	08/06/12	J		
10. -Euro Pacific Growth Fund C I A					Sold	02/21/12	J		
11. -Euro Pacific Growth Fund C I B									
12. -Franklin Income Fund C I A									
13. -Fundamental Investors Fund C I A									
14. -Fundamental Investors Fund C I B					Buy (add'l)	08/06/12	J		
15. -Hartford Balanced Income Fund C I A					Buy	11/21/12	J		
16. -American Balanced Fund C I A					Buy (add'l)	02/21/12	J		
17. -American Balanced Fund C I B					Buy	08/06/12	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American High Income Trust C1 A					Buy (add'l)	08/06/12	J		
19.					Buy (add'l)	08/07/12	J		
20. -American International Growth & Income fund C1 A					Sold	02/21/12	J		
21. -American Mutual Fund C1 A					Buy (add'l)	05/08/12	J		
22. -Bond Fund of America C1 A					Sold (part)	02/21/12	J		
23. -Capital World Bond Fund C1 A					Sold (part)	02/21/12	J		
24. -Franklin Small Cap Value Fund C1 A									
25. -Growth Fund of America C1 A									
26. -Hartford Inflation Plus Fund C1 C					Sold	02/21/12	J		
27. -Income Fund of America Fund C1 A					Buy (add'l)	02/21/12	J		
28. -New Economy Fund C1 A									
29. -New World Fund C1 A									
30. -Oppenheimer International Bond Fund C1 A					Buy (add'l)	02/21/12	J		
31. -VanKampen Build America Bonds Income Trust Ser #					Sold (part)	08/06/12	J		
32.					Sold (part)	11/23/12	J		
33. -Invesco Build America Bonds Income Trust Series #					Sold (part)	11/23/12	J		
34. -Invesco Build America Bonds Income Trust series #									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -First Trust Build America Bonds Monthly Cash Series					Sold (part)	11/23/12	J		
36. -Invesco Invst Grd Incm 10-20 Yr Series #					Sold (part)	11/23/12	J		
37. -Invesco Invst Grd Incm 10-20 Yr Series					Sold (part)	11/23/12	J		
38. -Invesco Invst Grd Incm Tr 20+ year, Series					Sold (part)	11/23/12	J		
39. -Hartford Inflation Plus Fund Cl A					Buy (add'l)	02/21/12	J		
40.					Sold (part)	05/08/12	J		
41.					Sold (part)	11/21/12	J		
42.									
43. EDWARD JONES SINGLE ACCOUNT									
44. -Cash & money market funds	A	Dividend	K	T					
45. -Federated Strategic Income Fund Cl A	A	Dividend			Sold (part)	03/19/12	J	A	
46.					Sold	09/25/12	J	A	
47. -Federated Kaufman Small Cap Fund Cl A	A	Dividend			Sold	09/25/12	J	A	
48. -Federated Kaufman Fund Cl A	A	Dividend			Sold (part)	03/19/12	J	A	
49.					Sold	09/25/12	J	A	
50. -Lord Abbett Core Fixed Incm A	A	Dividend	J	T					
51. -Lord Abbett Short Dur Inc Fd A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. -Franklin High Yield Tax Free Income Fund CI A	A	Dividend	K	T	Buy	09/25/12	J		
53.					Buy (add'l)	11/21/12	J		
54.					Buy (add'l)	12/13/12	J		
55. -Franklin Michigan Tax Free Income Fund CI A	A	Dividend	K	T	Buy	09/25/12	J		
56.					Buy (add'l)	12/13/12	J		
57.									
58. Chase Bank (cash and cash equivalent)	A	Interest	K	T					
59. MassMutual: whole life policy	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marianne O. Battani**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544